Refine Search

Search Results -

Terms	Documents
monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3

Database:

Database:

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Database:

Database:

Database:

Database:

Derwent World Patents Index IBM Technical Disclosure Bulletins

L3

Refine Search:

Recall Text

Clear

Linterrupt

Search History

DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	Hit Count	<u>Set</u> <u>Name</u> result set
DB=B	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

END OF SEARCH HISTORY

Hit List

Clear Generate Collection Print Fwd Refs Bkwd Refs
Generate OACS

Search Results - Record(s) 1 through 3 of 3 returned.

1. Document ID: US 20040082438 A1

L3: Entry 1 of 3

File: PGPB

Apr 29, 2004

PGPUB-DOCUMENT-NUMBER: 20040082438

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040082438 A1

TITLE: Method and apparatus for speed controlled eccentric exercise training

PUBLICATION-DATE: April 29, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47 LaStayo, Paul Salt Lake City UΤ US Lindstedt, Stan Flagstaff ΑZ US Hoppeler, Hans Bolligen CO CH Madden, Henry Boulder CO US Estoque, Daniel A. Boulder CO US Stephens, William B. Boulder CO US Volan, Gregory D. Longmont US

US-CL-CURRENT: 482/8; 482/57, 482/63

Full Title Citation Front	Review Classification Date	Reference Sequences	Attachments Claims	KNNC Draw De
				<u>-</u>

2. Document ID: US 5751910 A

L3: Entry 2 of 3

File: USPT

May 12, 1998

US-PAT-NO: 5751910

DOCUMENT-IDENTIFIER: US 5751910 A

** See image for <u>Certificate of Correction</u> **

TITLE: Neural network solder paste inspection system

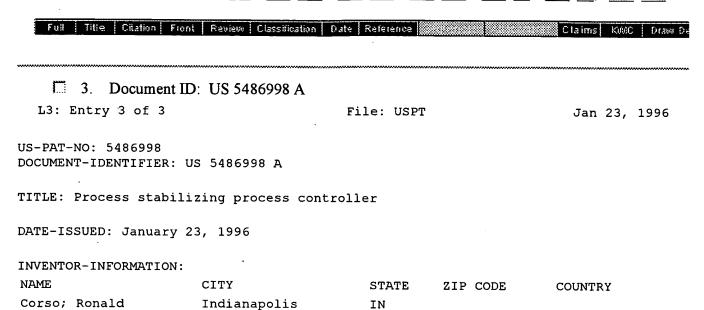
DATE-ISSUED: May 12, 1998

INVENTOR-INFORMATION:

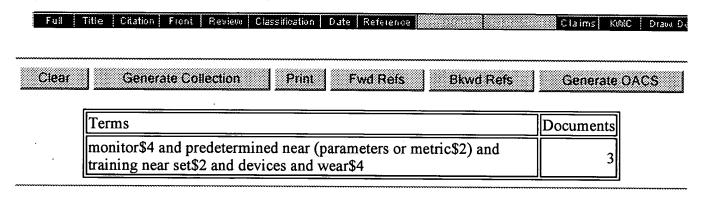
NAME CITY STATE ZIP CODE COUNTRY

Bryant; Steven M. Holley NY Loewenthal; Kenneth H. Reston VA

US-CL-CURRENT: $\underline{706/2}$; $\underline{382/145}$, $\underline{382/147}$, $\underline{382/157}$, $\underline{382/159}$, $\underline{706/16}$, $\underline{706/23}$, $\underline{706/912}$



US-CL-CURRENT: 700/32; 706/23



Change Format **Display Format:** -Previous Page Next Page Go to Doc#

Refine Search

Search Results -

Terms	Documents
L1 and coefficients and radial near basis and neural	10

Database:

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:

L4			Refine Search
	Recall Text 🗢	Clear	 Interrupt

Search History

DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	<u>Hit</u> <u>Count</u>	<u>Set</u> <u>Name</u> result set
DB=B	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	L1

END OF SEARCH HISTORY

Hit List

Clear Generate Collection Print Fwd Refs Bkwd Refs
Generate OACS

Search Results - Record(s) 1 through 10 of 10 returned.

1. Document ID: US 20050114279 A1

L4: Entry 1 of 10

File: PGPB

May 26, 2005

PGPUB-DOCUMENT-NUMBER: 20050114279

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050114279 A1

TITLE: Development of electronic employee selection systems and methods

. PUBLICATION-DATE: May 26, 2005

INVENTOR-INFORMATION:

CITY	STATE	COUNTRY	RULE-47
West Linn	OR	US	
Portland	OR	us	
Portland	OR	US	
Beaverton	OR	us	
Lake Oswego	OR	us	
Portland	OR	us	
Portland	OR	US	
Portland	OR	US	
West Linn	OR	us	
Beaverton	OR	US	
Hillsboro	OR	US	
	West Linn Portland Portland Beaverton Lake Oswego Portland Portland Portland West Linn Beaverton	West Linn OR Portland OR Portland OR Beaverton OR Lake Oswego OR Portland OR Portland OR Portland OR West Linn OR Beaverton OR	West Linn OR US Portland OR US Portland OR US Beaverton OR US Lake Oswego OR US Portland OR US Portland OR US Portland OR US Portland OR US Beaverton OR US

US-CL-CURRENT: <u>706/21</u>; <u>705/1</u>

-Full Title Citation Front Review Class	ssification Date Reference Sequences /	Attachments Claims KOMC Draw De
		-
2. Document ID: US 20040	059694 A1	
L4: Entry 2 of 10	File: PGPB	Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining lifetime prediction of a system using neural networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Darken, Christian J.

Carmel Valley

CA

US

Loecher, Markus

Princeton Jct.

NJ

US

US-CL-CURRENT: 706/21

Full Title Citation Front Review Classification Date Reference Sequences Altachments Claims KNNC Draw De

3. Document ID: US 20030191728 A1

L4: Entry 3 of 10

File: PGPB

Oct 9, 2003

PGPUB-DOCUMENT-NUMBER: 20030191728

. PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030191728 A1

TITLE: Performance of artificial \underline{neural} network models in the presence of

instrumental noise and measurement errors

PUBLICATION-DATE: October 9, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Kulkarni, Bhaskar Dattatray	Pune		IN	
Tambe, Sanjeev Shrikrishna	Pune		IN	
Lonari, Jayaram Budhaji	Pune		IN	
Valecha, Neelamkumar	Mumbai		IN	•
Dheshmukh, Sanjay Vasantrao	Mumbai		· IN	
Shenoy, Bhavanishankar	Mumbia		IN	
Ravichandran, Sivaraman	Mumbai		IN	

US-CL-CURRENT: <u>706/21</u>; <u>706/31</u>

Full Title Citation Front Review Classifica	ation Date Reference Sequences A	ktiachments Claims KWWC Draw.Do
		······································
4. Document ID: US 20030140	0023 A1	
L4: Entry 4 of 10	File: PGPB	Jul 24, 2003

PGPUB-DOCUMENT-NUMBER: 20030140023

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030140023 A1

TITLE: System and method for pre-processing input data to a non-linear model for

use in electronic commerce

PUBLICATION-DATE: July 24, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Ferguson, Bruce

Round Rock

TX

Hartman, Eric

Austin

TX

US US

US-CL-CURRENT: 706/21; 706/15

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

5. Document ID: US 20030078901 A1

L4: Entry 5 of 10

File: PGPB

Apr 24, 2003

PGPUB-DOCUMENT-NUMBER: 20030078901

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030078901 A1

TITLE: Neural network based predication and optimization for groundwater / surface

water system

PUBLICATION-DATE: April 24, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Coppola, Emery J. JR.

Lawrenceville

NJ

Poulton, Mary M.

Tucson

ΑZ

US US US

COUNTRY

Szidarovszky, Ferenc

US-CL-CURRENT: 706/21

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims 1000 Draw De

6. Document ID: US 20020046199 A1

L4: Entry 6 of 10

File: PGPB

Apr 18, 2002

PGPUB-DOCUMENT-NUMBER: 20020046199

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020046199 A1

TITLE: Electronic employee selection systems and methods

PUBLICATION-DATE: April 18, 2002

INVENTOR-INFORMATION:

CITY Scarborough, David J. Chambless, Bjorn Becker, Richard W. Portland

West Linn Portland

OR OR

STATE

RULE-47

Check, Thomas F. Clainos, Deme M.

NAME

Beaverton Lake Oswego

OR OR

OR

US US

COUNTRY

US

US

US

Eng, Maxwell W.	Portland	OR	US
Levy, Joel R.	Portland	OR	US
Mertz, Adam N.	Portland	OR	US
Paajanen, George E.	West Linn	OR	US
Smith, David R.	Beaverton	OR	US
Smith, John R.	Hillsboro	OR	US

US-CL-CURRENT: 706/21

.Full Title Citation Front	Review Classification	Date Reference	Sequences	Attachments	Claims	Killic	Отави Ов
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7. Document ID:	US 20020042786	A1					
L4: Entry 7 of 10		File: PG	PB		Apr	11,	2002

PGPUB-DOCUMENT-NUMBER: 20020042786

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020042786 A1

TITLE: Development of electronic employee selection systems and methods

PUBLICATION-DATE: April 11, 2002

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Scarborough, David J.	West Linn	OR	US	
Chambless, Bjorn	Portland	OR	US	
Becker, Richard W.	Portland	OR	US	
Check, Thomas F.	Beaverton	OR	US	
Clainos, Deme M.	Lake Öswego	OR	US	
Eng, Maxwell W.	Portland	OR	US	
Levy, Joel R.	Portland	OR	US	
Mertz, Adam N.	Portland	OR	US	
Paajanen, George E.	West Linn	OR	US .	
Smith, David R.	Beaverton	OR	US	
Smith, John R.	Hillsboro	OR	US	

US-CL-CURRENT: 706/21

Full Title Citation Front Review Classification	Date Reference S	equences Attachmen	ts Claims KWC Draw De
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8. Document ID: US 6879971 B1	***************************************	······································	
L4: Entry 8 of 10	File: USPT	1	Apr 12, 2005

US-PAT-NO: 6879971

DOCUMENT-IDENTIFIER: US 6879971 B1

TITLE: Automated method for building a model

DATE-ISSUED: April 12, 2005

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Keeler; James D. Austin TX Hartman; Eric J. Austin ΤX Godbole; Devendra B. TXAustin Piche; Steve Austin TX Arbila; Laura Austin ΤX Ellinger; Joshua Austin ΤX Ferguson, II; R. Bruce Round Rock ТX Krauskop; John Austin ΤX Kempf; Jill L. Austin TX O'Hara; Steven A. Round Rock TXStrauss; Audrey Austin ΤX Telang; Jitendra W. Austin TX

US-CL-CURRENT: <u>706/21</u>; <u>706/15</u>, <u>706/23</u>, <u>706/903</u>, <u>706/906</u>, <u>706/907</u>

•	 Reference	Claims KMC Draw De
	•	
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9. Document ID: US 6647377 B2

L4: Entry 9 of 10

File: USPT

Nov 11, 2003

US-PAT-NO: 6647377

DOCUMENT-IDENTIFIER: US 6647377 B2

TITLE: Multi-kernel network concurrent learning, monitoring, and forecasting

system

DATE-ISSUED: November 11, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE ZIP CODE COUNTRY

Jannarone; Robert J.

Atlanta

GΑ

US-CL-CURRENT: <u>706/16</u>; <u>706/21</u>

Full Title	Citation Front	Review Classification	Date Reference		Elaims	KOMC	Drawn De
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<b>1</b> 0.	Document ID:	US 6243696 B1					
L4: Entry	10 of 10		File:	USPT	Jun	5,	2001

US-PAT-NO: 6243696

DOCUMENT-IDENTIFIER: US 6243696 B1

TITLE: Automated method for building a model

DATE-ISSUED: June 5, 2001

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP	CODE	COUNTRY
Keeler; James D.	Austin	TX			
Hartman; Eric J.	Austin	TX			
Godbole; Devendra B.	Austin	TX			
Piche; Steve	Austin	TX			•
Arbila; Laura	Austin	TX			
Ellinger; Joshua	Austin	TX			
Ferguson, II; R. Bruce	Round Rock	TX			
Krauskop; John	Austin	TX .			
Kempf; Jill L.	Austin	TX			
O'Hara; Steven A.	Round Rock	TX			
Strauss; Audrey	Austin	TX			
Telang; Jitendra W.	Austin	TX			

US-CL-CURRENT: <u>706/21</u>; <u>706/906</u>, <u>706/907</u>

Full	Title Citation	Frent	Review	Classificatio	n Date	Referenc	ė				Claims	KAMIC	Draw, De
*******************************	·····	**********	*************	****			••••						
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I													
	Terms								][	Docu	ments		
	L1 and coef	ficient	s and ra	adial near	basis a	and neur	al					10	

Change Format Display Format: -Previous Page Next Page Go to Doc#

# **Refine Search**

### Search Results -

Terms	Documents
L4 and predict\$5 and train\$5	10

Database:

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:

			Refine Search
	Recall Text 👄	Clear	Interrupt

### Search History

DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	Hit Count	<u>Set</u> <u>Name</u> result set
DB=B	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

**END OF SEARCH HISTORY** 

## **Hit List**

Clear Generate Collection Print Fwd Refs **Bkwd Refs** Generate OACS

### Search Results - Record(s) 1 through 10 of 10 returned.

1. Document ID: US 20050114279 A1

L5: Entry 1 of 10

File: PGPB

May 26, 2005

PGPUB-DOCUMENT-NUMBER: 20050114279

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050114279 A1

TITLE: Development of electronic employee selection systems and methods

PUBLICATION-DATE: May 26, 2005

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Scarborough, David J.	West Linn	OR	US	
Chambless, Bjorn	Portland	OR	US	
Becker, Richard W.	Portland	OR	US	
Check, Thomas F	Beaverton	OR	US	
Clainos, Deme M.	Lake Oswego	OR	US	
Eng, Maxwell W.	Portland	· OR	US	
Levy, Joel R.	Portland	OR	US	·
Mertz, Adam N.	Portland	OR	US	
Paajanen, George E.	West Linn	OR	บร	
Smith, David R.	Beaverton	OR	US	
Smith, John R.	Hillsboro	OR	US	

US-CL-CURRENT: <u>706/21</u>; <u>705/1</u>

Full Title Citation Front	Review Classification	Date Refere	nce Sequences	Attachments	Claims	KOMC	Drawi De
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2. Document ID: US 20040059694 A1

L5: Entry 2 of 10

File: PGPB

Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining lifetime <u>prediction</u> of a system using <u>neural</u> networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Darken, Christian J.

Carmel Valley

CA

Loecher, Markus

Princeton Jct.

NJ

US US

US-CL-CURRENT: 706/21

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMAC	Draw De
	_											

3. Document ID: US 20030191728 A1

L5: Entry 3 of 10

File: PGPB

Oct 9, 2003

PGPUB-DOCUMENT-NUMBER: 20030191728

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030191728 A1

TITLE: Performance of artificial neural network models in the presence of

instrumental noise and measurement errors

PUBLICATION-DATE: October 9, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Kulkarni, Bhaskar Dattatray	Pune		IN	
Tambe, Sanjeev Shrikrishna	Pune		IN	
Lonari, Jayaram Budhaji	Pune		IN	
Valecha, Neelamkumar	Mumbai		IN	
Dheshmukh, Sanjay Vasantrao	Mumbai		IN	
Shenoy, Bhavanishankar	Mumbia		IN	
Ravichandran, Sivaraman	Mumbai		IN	

US-CL-CURRENT: <u>706/21</u>; <u>706/31</u>

Full Titl	e Citation Front Review Classification Date	Reference Sequences	Attachments Claims	KOMC Draws De
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□ 4.	Document ID: US 20030140023 A1			

L5: Entry 4 of 10

File: PGPB

Jul 24, 2003

PGPUB-DOCUMENT-NUMBER: 20030140023

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030140023 A1

TITLE: System and method for pre-processing input data to a non-linear model for use in electronic commerce

PUBLICATION-DATE: July 24, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Ferguson, Bruce

Round Rock

TΧ

Hartman, Eric

Austin

TX

US US

US-CL-CURRENT: 706/21; 706/15

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

5. Document ID: US 20030078901 A1

L5: Entry 5 of 10

File: PGPB

Apr 24, 2003

PGPUB-DOCUMENT-NUMBER: 20030078901

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030078901 A1

TITLE: Neural network based predication and optimization for groundwater / surface

water system

PUBLICATION-DATE: April 24, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Coppola, Emery J. JR.

Lawrenceville

NJ

Poulton, Mary M.

COUNTRY

Szidarovszky, Ferenc

Tucson

ΑZ

US US

US

US-CL-CURRENT: 706/21

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims 1000 Draw De

6. Document ID: US 20020046199 A1

L5: Entry 6 of 10

File: PGPB

Apr 18, 2002

PGPUB-DOCUMENT-NUMBER: 20020046199

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020046199 A1

TITLE: Electronic employee selection systems and methods

PUBLICATION-DATE: April 18, 2002

INVENTOR-INFORMATION:

Clainos, Deme M.

NAME Scarborough, David J. Chambless, Bjorn Becker, Richard W. Check, Thomas F.

CITY West Linn Portland

Portland

STATE OR OR OR

COUNTRY US US US

RULE-47

Beaverton Lake Oswego

OR OR

US

US

Apr 11, 2002

Eng, Maxwell W.	Portland	OR	US
Levy, Joel R.	Portland	OR	US
Mertz, Adam N.	Portland	OR	US
Paajanen, George E.	West Linn	OR	US
Smith, David R.	Beaverton	OR	US
Smith, John R.	Hillsboro	OR	US

US-CL-CURRENT: <u>706/21</u>

Full		Title	Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw Da	
	·····	<b></b>		
	,	7.	Document ID: US 20020042786 A1	

File: PGPB

PGPUB-DOCUMENT-NUMBER: 20020042786

PGPUB-FILING-TYPE: new

L5: Entry 7 of 10

DOCUMENT-IDENTIFIER: US 20020042786 A1

TITLE: Development of electronic employee selection systems and methods

PUBLICATION-DATE: April 11, 2002

### INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Scarborough, David J.	West Linn	OR	US	
Chambless, Bjorn	Portland	OR	US	
Becker, Richard W.	Portland	OR	us	
Check, Thomas F.	Beaverton	OR	us	
Clainos, Deme M.	Lake Oswego	OR	US	
Eng, Maxwell W.	Portland	OR	us	
Levy, Joel R.	Portland	OR	US	
Mertz, Adam N.	Portland	OR	US	
Paajanen, George E.	West Linn	OR	US	
Smith, David R.	Beaverton	OR	US	
Smith, John R.	Hillsboro	OR	US	

US-CL-CURRENT: 706/21

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	 ······

8. Document ID: US 6879971 B1

L5: Entry 8 of 10

File: USPT

Apr 12, 2005

US-PAT-NO: 6879971

DOCUMENT-IDENTIFIER: US 6879971 B1

TITLE: Automated method for building a model

Record List Display Page 5 of 6

DATE-ISSUED: April 12, 2005

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY Keeler; James D. Austin TX Hartman; Eric J. Austin Godbole; Devendra B. Austin TΥ Piche; Steve Austin TX Arbila; Laura Austin TX Ellinger; Joshua Austin TX Ferguson, II; R. Bruce Round Rock TX Krauskop; John Austin ΤX Kempf; Jill L. Austin ТX O'Hara; Steven A. Round Rock ΤX Strauss; Audrey Austin TX Telang; Jitendra W. Austin ТX

US-CL-CURRENT: 706/21; 706/15, 706/23, 706/903, 706/906, 706/907

9. Document ID: US 6647377 B2

L5: Entry 9 of 10

File: USPT

Nov 11, 2003

US-PAT-NO: 6647377

DOCUMENT-IDENTIFIER: US 6647377 B2

TITLE: Multi-kernel network concurrent learning, monitoring, and forecasting

system

DATE-ISSUED: November 11, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Jannarone; Robert J. Atlanta GA

US-CL-CURRENT: <u>706/16</u>; <u>706/21</u>

File: USPT

US-PAT-NO: 6243696

L5: Entry 10 of 10

DOCUMENT-IDENTIFIER: US 6243696 B1

TITLE: Automated method for building a model

Jun 5, 2001

DATE-ISSUED: June 5, 2001

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Keeler; James D.	Austin	TX		
Hartman; Eric J.	Austin	TX		
Godbole; Devendra B.	Austin	ТX		
Piche; Steve	Austin	TX .		
Arbila; Laura	Austin	TX		
Ellinger; Joshua	Austin	ТX		
Ferguson, II; R. Bruce	Round Rock	TX		
Krauskop; John	Austin	TX		
Kempf; Jill L.	Austin	TX		
O'Hara; Steven A.	Round Rock	TX		
Strauss; Audrey	Austin	TX		
Telang; Jitendra W.	Austin	TX		

US-CL-CURRENT: 706/21; 706/906, 706/907

Full Title (	itation Front	Review Cla	essification	Date	Reference			Claims	KWAC	Draws De
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Clear	Senerate Coll	ection	Print	I eccessors	wd Refs		vd Refs	v <b>a</b>	ate OA	cs I
						<u> </u>				
Term	3						Documen	ts		
L4 an	d predict\$5 a	and train\$	5						10	

Display Format: - Change Format

Previous Page Next Page Go to Doc#

## Refine Search

### Search Results -

Terms	Documents
L5 and (wear or deteriorate or fatifue or diminish)	1

Database:

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:

L6			Refine Search
	Recall Text 🗢	Clear	 Interrupt

### Search History

DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	<u>Hit</u> Count	<u>Set</u> <u>Name</u> result set
DB=B	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

**END OF SEARCH HISTORY** 

## **Hit List**

Clear Generate Collection Fwd Refs **Bkwd Refs** Print Generate OACS

Search Results - Record(s) 1 through 1 of 1 returned.

1. Document ID: US 20040059694 A1

L6: Entry 1 of 1

File: PGPB

Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining

lifetime <u>prediction</u> of a system using <u>neural</u> networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Darken, Christian J.

Carmel Valley

CA

Loecher, Markus

Princeton Jct.

NJ

US US

COUNTRY

US-CL-CURRENT: 706/21

Full	Title Citation	Front F	(eviem CI	assification	Date	Reference	Sequences	Attachmer	nts Claims	KOMC	Drawn De
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Clear	<u>Genera</u>	ite Colle	ction	Print	] <u> </u>	wd Refs	Bkwd	Refs	Gener	ate O	ACS
	Terms							Do	cuments	_	
	L5 and (wea	ar or det	eriorate	or fatifu	e or d	iminish)				1	

Display Format: -Change Format

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Next Page

Go to Doc#

# **Refine Search**

#### Search Results -

Terms Terms	Documents
(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

L7

Search:

Refine Search
Interrupt

### Search History

# DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	<u>Hit</u> Count	Set Name result set
DB=I	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L7</u>	(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20	<u>L7</u>
<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

### **END OF SEARCH HISTORY**

## **Hit List**

Clear Generate Collection Print Fwd Refs Bkwd Refs
Generate OACS

Search Results - Record(s) 1 through 20 of 20 returned.

1. Document ID: US 20050165556 A1

L7: Entry 1 of 20

File: PGPB

Jul 28, 2005

PGPUB-DOCUMENT-NUMBER: 20050165556

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050165556 A1

TITLE: Colon cancer biomarkers

PUBLICATION-DATE: July 28, 2005

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Barnhill, Stephen Savannah GA US Weston, Jason New York NY US Guyon, Isabelle Berkeley CA US

US-CL-CURRENT: 702/19

Full Title Citation Front	Review Classification	Date Reference	Sequences	Attachments	Claims	KAMIC	Draw De

2. Document ID: US 20050096873 A1

L7: Entry 2 of 20 File: PGPB May 5, 2005

PGPUB-DOCUMENT-NUMBER: 20050096873

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050096873 A1

TITLE: METHOD AND SYSTEM FOR DIAGNOSTICS AND PROGNOSTICS OF A MECHANICAL SYSTEM

PUBLICATION-DATE: May 5, 2005

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Klein, Renata Misgav IL

US-CL-CURRENT: 702/184

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

3. Document ID: US 20050075846 A1

L7: Entry 3 of 20

File: PGPB

Apr 7, 2005

PGPUB-DOCUMENT-NUMBER: 20050075846

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050075846 A1

TITLE: Methods for monitoring structural health conditions

PUBLICATION-DATE: April 7, 2005

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Kim, Hyeung-Yun

Palo Alto

CA

US

US-CL-CURRENT: 703/1

Full Title Citation Front Review Class	sification Date Reference Sequences A	tachments Claims Kooc Draw De
	·····	
4. Document ID: US 200500	066075 A1	
L7: Entry 4 of 20	File: PGPB	Mar 24, 2005

PGPUB-DOCUMENT-NUMBER: 20050066075

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050066075 A1

TITLE: Method, apparatus and software for lossy data compression and function

estimation

PUBLICATION-DATE: March 24, 2005

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Kecman, Vojislav

Auckland

NZ

COUNTRY

Robinson, Jonathan

Christchurch

NZ

US-CL-CURRENT: 710/31

——————————————————————————————————————		
Full Title Citation Front Review Classific	pation Date Reference Sequences .	Attachmenta Claims KWC Draw De
5. Document ID: US 20040200	6190 A 1	
13 J. Document ID. US 20040200	0189 A1	
L7: Entry 5 of 20	File: PGPB	Oct 21, 2004

PGPUB-DOCUMENT-NUMBER: 20040206189

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040206189 A1

TITLE: Correcting for two-phase flow in a digital flowmeter

PUBLICATION-DATE: October 21, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE COUNTRY

RULE-47

Henry, Manus P.

Oxford

GB

De La Fuente, Maria Jesus

Valladolid

ES

US-CL-CURRENT: <u>73/861.356</u>

Full Title Citation Front	Review Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw, De

6. Document ID: US 20040059694 A1

L7: Entry 6 of 20

File: PGPB

Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining

lifetime prediction of a system using neural networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Darken, Christian J.

Carmel Valley

CA US

Loecher, Markus

Princeton Jct.

NJ

US

COUNTRY

US-CL-CURRENT: 706/21

Fisil	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KOBSC	Drawn De
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7. Document ID: US 20030200189 A1

L7: Entry 7 of 20

File: PGPB

Oct 23, 2003

PGPUB-DOCUMENT-NUMBER: 20030200189

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030200189 A1

TITLE: Automatic neural-net model generation and maintenance

PUBLICATION-DATE: October 23, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Meng, Zhuo

Broadview Heights

ОН

US

COUNTRY

Pao, Yoh-Han

Cleveland Heights

OH

US

US-CL-CURRENT: 706/26

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims RMC Draw Do

8. Document ID: US 20030172043 A1

L7: Entry 8 of 20

File: PGPB

Sep 11, 2003

PGPUB-DOCUMENT-NUMBER: 20030172043

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030172043 A1

TITLE: Methods of identifying patterns in biological systems and uses thereof

PUBLICATION-DATE: September 11, 2003

INVENTOR-INFORMATION:

NAME CITY

STATE COUNTRY RULE-47

Guyon, Isabelle

Berkeley

CA US

US

Weston, Jason St. Leonard's on Sea GB

US-CL-CURRENT: 706/48

Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | KMC | Draw De

9. Document ID: US 20030154804 A1

L7: Entry 9 of 20

File: PGPB

Aug 21, 2003

PGPUB-DOCUMENT-NUMBER: 20030154804

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030154804 A1

TITLE: Correcting for two-phase flow in a digital flowmeter

PUBLICATION-DATE: August 21, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE COUNTRY

RULE-47

Henry, Manus P.

Oxford

GB

Fuente, Maria Jesus De La

Valladolid

ES

US-CL-CURRENT: <u>73/861.356</u>

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims RMC Draw Do

10. Document ID: US 20010045134 A1

L7: Entry 10 of 20

File: PGPB

Nov 29, 2001

PGPUB-DOCUMENT-NUMBER: 20010045134

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20010045134 A1

TITLE: Correcting for two-phase flow in a digital flowmeter

PUBLICATION-DATE: November 29, 2001

INVENTOR-INFORMATION:

NAME

CITY

STATE COUNTRY

RULE-47

Henry, Manus P.

Oxford

GB

De La Fuente, Maria Jesus

Valladolid

ES

US-CL-CURRENT: 73/861.356

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KOSC	Draw De
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	11	Docum	ent ID	. US 6	882990 B1							

11. Document ID: US 6882990 B1

L7: Entry 11 of 20

File: USPT

Apr 19, 2005

US-PAT-NO: 6882990

DOCUMENT-IDENTIFIER: US 6882990 B1

TITLE: Methods of identifying biological patterns using multiple data sets

DATE-ISSUED: April 19, 2005

INVENTOR-INFORMATION:

NAME

CITY

STATE

ZIP CODE

COUNTRY

Barnhill; Stephen

Savannah

GΑ

Guyon; Isabelle

Berkeley

CA

Weston; Jason

New York

NY

US-CL-CURRENT: <u>706/16</u>; <u>706/12</u>

Full   Title   Citation   Front	Review Classification Date	Reference	Claims	KMC Draw De
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12. Document ID: US 6799141 B1

L7: Entry 12 of 20

File: USPT

Sep 28, 2004

US-PAT-NO: 6799141

DOCUMENT-IDENTIFIER: US 6799141 B1

TITLE: Method for determining the channel gain between emitters and receivers

Record List Display Page 6 of 9

DATE-ISSUED: September 28, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Stoustrup; Jakob Sk.o slashed.rping DK Cour-Harbo; Anders La Nibe DK

US-CL-CURRENT: 702/159; 342/192, 342/204, 342/61, 345/158, 345/163

Full Title Citation Front Review Classification Date Reference Cla

File: USPT

US-PAT-NO: 6789069

L7: Entry 13 of 20

DOCUMENT-IDENTIFIER: US 6789069 B1

TITLE: Method for enhancing knowledge discovered from biological data using a

learning machine

DATE-ISSUED: September 7, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: 706/12; 706/45

Full Title Citation Front Review Classification Date Reference Claims KUNC Draws Do

14. Document ID: US 6760715 B1

L7: Entry 14 of 20 File: USPT Jul 6, 2004

US-PAT-NO: 6760715

DOCUMENT-IDENTIFIER: US 6760715 B1

TITLE: Enhancing biological knowledge discovery using multiples support vector

machines

DATE-ISSUED: July 6, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY Sep 7, 2004

US-CL-CURRENT: 706/16; 706/12, 706/20, 706/25, 706/45

15. Document ID: US 6758102 B2

L7: Entry 15 of 20

File: USPT

Jul 6, 2004

US-PAT-NO: 6758102

DOCUMENT-IDENTIFIER: US 6758102 B2

TITLE: Correcting for two-phase flow in a digital flowmeter

DATE-ISSUED: July 6, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Henry; Manus P. Oxford GB Fuente; Maria Jesus De La Valladolid ES

US-CL-CURRENT: 73/861.356

Full Title Citation Front Review Classification Date Reference Citation Claims KNMC Draw De

16. Document ID: US 6714925 B1

L7: Entry 16 of 20

File: USPT

Mar 30, 2004

US-PAT-NO: 6714925

DOCUMENT-IDENTIFIER: US 6714925 B1

TITLE: System for identifying patterns in biological data using a distributed

network

DATE-ISSUED: March 30, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: 706/48; 706/16

Full Title Citation Front Review Classification Date Reference Classification Date Classification Date Reference Classificatio

L7: Entry 17 of 20

File: USPT

Sep 23, 2003

US-PAT-NO: 6625569

DOCUMENT-IDENTIFIER: US 6625569 B2

TITLE: Real-time spatio-temporal coherence estimation for autonomous mode

identification and invariance tracking

DATE-ISSUED: September 23, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

James; Mark L. Pasadena Mackey; Ryan M. E. Pasadena

Park; Han G. Arcadia CA

Zak; Michail · Cypress CA

US-CL-CURRENT: 702/183; 702/104, 702/190, 702/191, 702/196, 702/84

Full Title Citation Front Review Classification Date Reference Citation Claims KMC Draw De

CA

CA

18. Document ID: US 6581048 B1

L7: Entry 18 of 20

File: USPT

Jun 17, 2003

US-PAT-NO: 6581048

DOCUMENT-IDENTIFIER: US 6581048 B1

TITLE: 3-brain architecture for an intelligent decision and control system

DATE-ISSUED: June 17, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Werbos; Paul J. Arlington VA 22202

US-CL-CURRENT: 706/23; 250/369, 706/16

19. Document ID: US 6505519 B2

L7: Entry 19 of 20 File: USPT Jan 14, 2003

US-PAT-NO: 6505519

DOCUMENT-IDENTIFIER: US 6505519 B2

TITLE: Correcting for two-phase flow in a digital flowmeter

DATE-ISSUED: January 14, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE ZIP CODE

COUNTRY

Henry; Manus P.

Oxford

.... --- ---

GB

de la Fuente; Maria Jesus

Valladolid

ES

US-CL-CURRENT: <u>73/861.356</u>

Full Title Citation Front Review Classification Date Reference Claims NMC Draw De

20. Document ID: US 6169981 B1

L7: Entry 20 of 20

File: USPT

Jan 2, 2001

US-PAT-NO: 6169981

DOCUMENT-IDENTIFIER: US 6169981 B1

TITLE: 3-brain architecture for an intelligent decision and control system

DATE-ISSUED: January 2, 2001

INVENTOR-INFORMATION:

NAME

CITY

STATE

ZIP CODE

COUNTRY

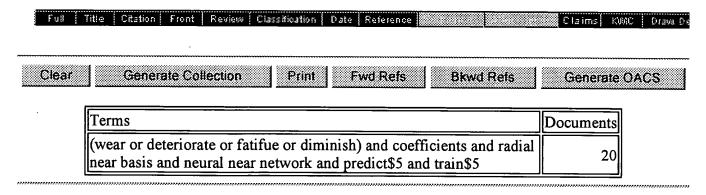
Werbos; Paul J.

College Park

MD

20740-2403

US-CL-CURRENT: 706/23; 706/15, 706/16, 706/26, 706/27



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## **Refine Search**

### Search Results -

Terms	Documents
L8 and (formula or algorithm)	19

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:

Database:

L9			<u> </u>	Refine Search
•	Recall Text	Clear		Interrupt

## Search History

# DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	<u>Hit</u> Count	Set Name result set
DB=I	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L9</u>	L8 and (formula or algorithm)	19	<u>L9</u>
<u>L8</u>	L7 and ag\$5	20	<u>L8</u>
<u>L7</u>	(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20	<u>L7</u>
<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

## **END OF SEARCH HISTORY**

## **Hit List**

Clear Generate Collection Print Fwd Refs Bkwd Refs
Generate OACS

### Search Results - Record(s) 1 through 19 of 19 returned.

1. Document ID: US 20050165556 A1

L9: Entry 1 of 19

File: PGPB

STATE

COUNTRY

US

Jul 28, 2005

RULE-47

PGPUB-DOCUMENT-NUMBER: 20050165556

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050165556 A1

TITLE: Colon cancer biomarkers

PUBLICATION-DATE: July 28, 2005

INVENTOR-INFORMATION:

NAME

Barnhill, Stephen Savannah GA

Weston, Jason New York NY US Guyon, Isabelle Berkeley CA US

CITY

US-CL-CURRENT: 702/19

Full Title Citation Front	Review Classification	Date	Reference	Sequences	Attachments	Claims	Kosc	Draw De
						-		

2. Document ID: US 20050096873 A1

L9: Entry 2 of 19

File: PGPB

May 5, 2005

PGPUB-DOCUMENT-NUMBER: 20050096873

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050096873 A1

TITLE: METHOD AND SYSTEM FOR DIAGNOSTICS AND PROGNOSTICS OF A MECHANICAL SYSTEM

PUBLICATION-DATE: May 5, 2005

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Klein, Renata Misgav

US-CL-CURRENT: 702/184

3. Document ID: US 20050075846 A1

L9: Entry 3 of 19

File: PGPB

Apr 7, 2005

PGPUB-DOCUMENT-NUMBER: 20050075846

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050075846 A1

TITLE: Methods for monitoring structural health conditions

PUBLICATION-DATE: April 7, 2005

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Kim, Hyeung-Yun

Palo Alto

CA

US

US-CL-CURRENT: 703/1

Full Title Citation Front	Review Classification	Date Reference	Sequences Attachments	Claims KNNC Draw Da
				<del></del>

4. Document ID: US 20050066075 A1

L9: Entry 4 of 19

File: PGPB

Mar 24, 2005

PGPUB-DOCUMENT-NUMBER: 20050066075

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050066075 A1

TITLE: Method, apparatus and software for lossy data compression and function

estimation

PUBLICATION-DATE: March 24, 2005

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Kecman, Vojislav

Auckland

ΝZ

COUNTRY

Robinson, Jonathan

Christchurch

NZ ·

US-CL-CURRENT: 710/31

Full Title Citation Front	Review Classification	Date	Reference	Sequences	Attachments	Claims	KWAC Draws De
				•	<u>-</u>		

5. Document ID: US 20040206189 A1

L9: Entry 5 of 19

File: PGPB

Oct 21, 2004

PGPUB-DOCUMENT-NUMBER: 20040206189

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040206189 A1

Record List Display Page 3 of 9

TITLE: Correcting for two-phase flow in a digital flowmeter

PUBLICATION-DATE: October 21, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Henry, Manus P. Oxford GB
De La Fuente, Maria Jesus Valladolid ES

US-CL-CURRENT: 73/861.356

1	Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachmente	Claims	KMIC	Отам, Ок
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										······································		***********	~~~~~

6. Document ID: US 20040059694 A1

L9: Entry 6 of 19 File: PGPB

Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining lifetime <u>prediction</u> of a system using neural networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Darken, Christian J. Carmel Valley CA US Loecher, Markus Princeton Jct. NJ US

US-CL-CURRENT: 706/21

Fuil	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KNNC D	ram De
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7. Document ID: US 20030172043 A1

L9: Entry 7 of 19 File: PGPB Sep 11, 2003

PGPUB-DOCUMENT-NUMBER: 20030172043

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030172043 A1

TITLE: Methods of identifying patterns in biological systems and uses thereof

PUBLICATION-DATE: September 11, 2003

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Guyon, Isabelle Berkeley CA US

Weston, Jason St. Leonard's on Sea

GB

US-CL-CURRENT: 706/48

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

8. Document ID: US 20030154804 A1

L9: Entry 8 of 19

File: PGPB

Aug 21, 2003

PGPUB-DOCUMENT-NUMBER: 20030154804

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030154804 A1

TITLE: Correcting for two-phase flow in a digital flowmeter

PUBLICATION-DATE: August 21, 2003

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Henry, Manus P. Oxford . GB Fuente, Maria Jesus De La Valladolid ES

US-CL-CURRENT: 73/861.356

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw Do

9. Document ID: US 20010045134 A1

L9: Entry 9 of 19

File: PGPB

Nov 29, 2001

PGPUB-DOCUMENT-NUMBER: 20010045134

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20010045134 A1

TITLE: Correcting for two-phase flow in a digital flowmeter

PUBLICATION-DATE: November 29, 2001

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Henry, Manus P. Oxford GB De La Fuente, Maria Jesus Valladolid ES

US-CL-CURRENT: 73/861.356

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims ROMC Draw De

10. Document ID: US 6882990 B1

L9: Entry 10 of 19 File: USPT Apr 19, 2005

US-PAT-NO: 6882990

DOCUMENT-IDENTIFIER: US 6882990 B1

TITLE: Methods of identifying biological patterns using multiple data sets

DATE-ISSUED: April 19, 2005

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: <u>706/16</u>; <u>706/12</u>

Full Title	Citation Front Revi	ew Classification	Date   Reference		Claims	KOME	Drawe De
			······	·····	·		······································
□ 11.	Document ID: US	S 6799141 B1					
L9: Entry	11 of 19		File: U	SPT	Sep	28,	2004

US-PAT-NO: 6799141

DOCUMENT-IDENTIFIER: US 6799141 B1

TITLE: Method for determining the channel gain between emitters and receivers

DATE-ISSUED: September 28, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Stoustrup; Jakob Sk.o slashed.rping DK

Cour-Harbo; Anders La Nibe

US-CL-CURRENT: 702/159; 342/192, 342/204, 342/61, 345/158, 345/163

Full Title Citation Front Revie	w Classification Date Reference	Claims KWC Draw De
		······································
12. Document ID: US	6789069 B1	
L9: Entry 12 of 19	File: USPT	g 7 0004
Do. Direty 12 Of 15	riie. USPI	Sep 7, 2004

US-PAT-NO: 6789069

DOCUMENT-IDENTIFIER: US 6789069 B1

TITLE: Method for enhancing knowledge discovered from biological data using a learning machine

Record List Display Page 6 of 9

DATE-ISSUED: September 7, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: 706/12; 706/45

Full	Title	Citation Front Review Classification Date Reference
		·
<b>I</b> 1	3.	Document ID: US 6760715 B1

File: USPT

US-PAT-NO: 6760715

L9: Entry 13 of 19

DOCUMENT-IDENTIFIER: US 6760715 B1

TITLE: Enhancing biological knowledge discovery using multiples support vector

machines

DATE-ISSUED: July 6, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: 706/16; 706/12, 706/20, 706/25, 706/45

Full Title	Citation Frent	Review Classification		6	Claims KVMC Draw, De
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	······	·	***************************************		<del></del>
<b>1</b> 4.	Document ID:	US 6758102 B2			
L9: Entry	14 of 19		File:	USPT	Jul 6, 2004

US-PAT-NO: 6758102

DOCUMENT-IDENTIFIER: US 6758102 B2

TITLE: Correcting for two-phase flow in a digital flowmeter

DATE-ISSUED: July 6, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Henry; Manus P. Oxford GB Fuente; Maria Jesus De La Valladolid ES

Jul 6, 2004

Record List Display Page 7 of 9

US-CL-CURRENT: 73/861.356

Full Title Citation Front Review Classification Date Reference

15. Document ID: US 6714925 B1

L9: Entry 15 of 19

File: USPT

Mar 30, 2004

US-PAT-NO: 6714925

DOCUMENT-IDENTIFIER: US 6714925 B1

TITLE: System for identifying patterns in biological data using a distributed

network

DATE-ISSUED: March 30, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: 706/48; 706/16

Full Title Citation Front Review Classification Date Reference

16. Document ID: US 6625569 B2

L9: Entry 16 of 19

l6 of 19 File: USPT

Sep 23, 2003

US-PAT-NO: 6625569

DOCUMENT-IDENTIFIER: US 6625569 B2

TITLE: Real-time spatio-temporal coherence estimation for autonomous mode

identification and invariance tracking

DATE-ISSUED: September 23, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

James; Mark L. Pasadena CA
Mackey; Ryan M. E. Pasadena CA
Park; Han G. Arcadia CA
Zak; Michail Cypress CA

US-CL-CURRENT: 702/183; 702/104, 702/190, 702/191, 702/196, 702/84

17. Document ID: US 6581048 B1

L9: Entry 17 of 19

File: USPT

Jun 17, 2003

US-PAT-NO: 6581048

DOCUMENT-IDENTIFIER: US 6581048 B1

TITLE: 3-brain architecture for an intelligent decision and control system

DATE-ISSUED: June 17, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE ZIP CODE COUNTRY

Werbos; Paul J.

Arlington

٧A

22202

US-CL-CURRENT: <u>706/23</u>; <u>250/369</u>, <u>706/16</u>

Review   Classification   Date   Reference

18. Document ID: US 6505519 B2

L9: Entry 18 of 19

File: USPT

Jan 14, 2003

US-PAT-NO: 6505519

DOCUMENT-IDENTIFIER: US 6505519 B2

TITLE: Correcting for two-phase flow in a digital flowmeter

DATE-ISSUED: January 14, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Henry; Manus P. Oxford GB de la Fuente; Maria Jesus Valladolid ES

US-CL-CURRENT: <u>73/861.356</u>

# Full Title Citation Front Review Classification Date Reference

19. Document ID: US 6169981 B1

L9: Entry 19 of 19

File: USPT

Jan 2, 2001

US-PAT-NO: 6169981

DOCUMENT-IDENTIFIER: US 6169981 B1

TITLE: 3-brain architecture for an intelligent decision and control system

DATE-ISSUED: January 2, 2001

INVENTOR-INFORMATION:

NAME

CITY

STATE

ZIP CODE

COUNTRY

Werbos; Paul J.

College Park

MD

20740-2403

US-CL-CURRENT:  $\underline{706/23}$ ;  $\underline{706/15}$ ,  $\underline{706/16}$ ,  $\underline{706/26}$ ,  $\underline{706/27}$ 

"Full	Title   Cit	ation	Frent	Review	Classification	Date	Reference				Claims	KMC	Draw. D
Clear	G	enera	te Col	lection	Print	F	wd Refs	В	kwd Refi		Gener	ate OA	cs_
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## Refine Search

### Search Results -

Terms	Documents
L7 and (age or aging)	10

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:

Database:

L10			<u> </u>	Refine Search
	Recall Text 🗢	Clear		Interrupt

## Search History

## DATE: Monday, August 01, 2005 Printable Copy Create Case

<u>Set</u>	Onorr	<u>Hit</u>	<u>Set</u>
<u>Name</u> side by	Query	Count	Name result
side			set
DB=B	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L10</u>	L7 and (age or aging)	10	<u>L10</u>
<u>L9</u>	L8 and (formula or algorithm)	19	<u>L9</u>
<u>L8</u>	L7 and ag\$5	20	<u>L8</u>
<u>L7</u>	(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20	<u>L7</u>
<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

END OF SEARCH HISTORY

## **Hit List**

Clear Generate Collection Print Fwd Refs Bkwd Refs
Generate OACS

Search Results - Record(s) 1 through 10 of 10 returned.

1. Document ID: US 20050165556 A1

L10: Entry 1 of 10

File: PGPB

Jul 28, 2005

PGPUB-DOCUMENT-NUMBER: 20050165556

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050165556 A1

TITLE: Colon cancer biomarkers

PUBLICATION-DATE: July 28, 2005

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Barnhill, Stephen

Savannah

GΑ

US

Weston, Jason

New York

NY

US

Guyon, Isabelle

Berkeley .

CA

US

US-CL-CURRENT: 702/19

Full Title Citation Front	Review Classification	Date Reference	Sequences Attachments	Claims KOMC Draw De

2. Document ID: US 20050075846 A1

L10: Entry 2 of 10

File: PGPB

Apr 7, 2005

PGPUB-DOCUMENT-NUMBER: 20050075846

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20050075846 A1

TITLE: Methods for monitoring structural health conditions

PUBLICATION-DATE: April 7, 2005

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Kim, Hyeung-Yun

Palo Alto

CA

US

US-CL-CURRENT: 703/1

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw De

3. Document ID: US 20040059694 A1

L10: Entry 3 of 10

File: PGPB

Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining

lifetime prediction of a system using neural networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE COUNTRY

RULE-47

Darken, Christian J.

Carmel Valley

CA

US

Loecher, Markus

Princeton Jct.

NJ

US

US-CL-CURRENT: 706/21

Full Title Citation Fr	ont Review Classification	Date Reference Sequ	ences Attachments Claims	KWAC Draws De

4. Document ID: US 20030172043 A1

L10: Entry 4 of 10

File: PGPB

Sep 11, 2003

PGPUB-DOCUMENT-NUMBER: 20030172043

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030172043 A1

TITLE: Methods of identifying patterns in biological systems and uses thereof

PUBLICATION-DATE: September 11, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE COUNTRY

RULE-47

Guyon, Isabelle

Berkeley

CA

US

Weston, Jason

St. Leonard's on Sea

GB

US-CL-CURRENT: <u>706/48</u>

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims RWC Draw De

5. Document ID: US 6882990 B1

L10: Entry 5 of 10

File: USPT

Apr 19, 2005

US-PAT-NO: 6882990

DOCUMENT-IDENTIFIER: US 6882990 B1

Record List Display

TITLE: Methods of identifying biological patterns using multiple data sets

DATE-ISSUED: April 19, 2005

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: 706/16; 706/12

Full Title Citation Front Review Class	sification Date Reference	Claims KMC Draw De
		_
6. Document ID: US 678906	69 B1	
L10: Entry 6 of 10	File: USPT	Sep 7, 2004

US-PAT-NO: 6789069

DOCUMENT-IDENTIFIER: US 6789069 B1

TITLE: Method for enhancing knowledge discovered from biological data using a

learning machine

DATE-ISSUED: September 7, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Barnhill; Stephen Savannah GA Guyon; Isabelle Berkeley CA Weston; Jason New York NY

US-CL-CURRENT: 706/12; 706/45

Full   Tifl≥   Citation   Front	Review Classification Date	Reference	Claims   KWMC   Drawn De
7. Document ID:	US 6760715 B1	File: USPT	Jul 6, 2004

US-PAT-NO: 6760715

DOCUMENT-IDENTIFIER: US 6760715 B1

TITLE: Enhancing biological knowledge discovery using multiples support vector

machines

DATE-ISSUED: July 6, 2004

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Record List Display Page 4 of 5

Barnhill; Stephen Guyon; Isabelle

Savannah

GA

Weston; Jason

Berkeley New York

CA NY

US-CL-CURRENT: 706/16; 706/12, 706/20, 706/25, 706/45

Full Title Citation Front Review Classification Date Reference Claims KMC Graw Do

8. Document ID: US 6714925 B1

L10: Entry 8 of 10

File: USPT

Mar 30, 2004

US-PAT-NO: 6714925

DOCUMENT-IDENTIFIER: US 6714925 B1

TITLE: System for identifying patterns in biological data using a distributed

network

DATE-ISSUED: March 30, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

ZIP CODE

COUNTRY

Barnhill; Stephen

Savannah Berkeley

CA

Guyon; Isabelle Weston; Jason

New York

NY

GΑ

US-CL-CURRENT: <u>706/48</u>; <u>706/16</u>

-	Full	Title	Citation	Front	Review	Classification	Date	Reference Claims KMC Draw De
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9. Document ID: US 6581048 B1

L10: Entry 9 of 10

File: USPT

Jun 17, 2003

US-PAT-NO: 6581048

DOCUMENT-IDENTIFIER: US 6581048 B1

TITLE: 3-brain architecture for an intelligent decision and control system

DATE-ISSUED: June 17, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE

ZIP CODE

COUNTRY

Werbos; Paul J.

Arlington

VA

22202

US-CL-CURRENT: <u>706/23</u>; <u>250/369</u>, <u>706/16</u>

Full Title Citation Front Review Classification Date Reference Claims KWC Draw De 10. Document ID: US 6169981 B1

L10: Entry 10 of 10

File: USPT

Jan 2, 2001

US-PAT-NO: 6169981

DOCUMENT-IDENTIFIER: US 6169981 B1

TITLE: 3-brain architecture for an intelligent decision and control system

DATE-ISSUED: January 2, 2001

INVENTOR-INFORMATION:

NAME

CITY

STATE ZIP CODE

COUNTRY

Werbos; Paul J.

College Park

MD.

20740-2403

US-CL-CURRENT: 706/23; 706/15, 706/16, 706/26, 706/27

Full T	itle Citation	Front	Review	Classification	Date	Reference			Claim	s KOOC	Drawa De
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	Terms						Docu	ments			
	L7 and (age	or agi	ng)	=						10	

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## **Refine Search**

### Search Results -

Terms	Documents
L10 and increments	1

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Search:

L11

Database:

		Refine Search
Recall Text 🗢	Clear	Interrupt

## Search History

## DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	<u>Hit</u> Count	Set Name result set
DB=I	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L11</u>	L10 and increments	1	<u>L11</u>
<u>L10</u>	L7 and (age or aging)	10	<u>L10</u>
<u>L9</u>	L8 and (formula or algorithm)	19	<u>L9</u>
<u>L8</u>	L7 and ag\$5	20	<u>L8</u>
<u>L7</u>	(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20	<u>L7</u>
<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>

<u>L1</u> 706/21.ccls.

177 <u>L1</u>

**END OF SEARCH HISTORY** 

## **Refine Search**

#### Search Results -

Terms	Documents
wear near (increments or metrics) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1
network and predicts and trains	<u> </u>

US Pre-Grant Publication Full-Text Database
US Patents Full-Text Database
US OCR Full-Text Database
EPO Abstracts Database
JPO Abstracts Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

L12

Refine Search

Recall Text
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Interrupt

## Search History

## DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	Hit Count	Set Name result set
DB=I	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L12</u>	wear near (increments or metrics) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1	<u>L12</u>
<u>L11</u>	L10 and increments	1	<u>L11</u>
<u>L10</u>	L7 and (age or aging)	10	<u>L10</u>
<u>L9</u>	L8 and (formula or algorithm)	19	<u>L9</u>
<u>L8</u>	L7 and ag\$5	20	<u>L8</u>
<u>L7</u>	(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20	<u>L7</u>
<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training	3	<u>L3</u>

near set\$2 and devices and wear\$4

L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4

L1 706/21.ccls. 177 L1

## END OF SEARCH HISTORY

## **Refine Search**

### Search Results -

Terms	Documents
L13 and ((wear or deteriorate or fatifue or diminish) near (increments or metrics))and	
coefficients and radial near basis and neural near network and predict\$5 and train\$5	1

Database:

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Database:

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L14

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Database:

US Pre-Grant Publication Full-Text Database
US OCR Full-Text Database
US OCR Full-Text Database
US OCR Full-Text Database
US OCR Full-Text Database
Derwent World Patents Index
IBM Technical Disclosure Bulletins

Refine Search

Clear

Interrupt

## Search History

## DATE: Monday, August 01, 2005 Printable Copy Create Case

<u>Set</u>		<b>II</b> :4	Set
<u>Name</u>	Query	Hit	Name
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side			set
DB=1	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L14</u>	L13 and ((wear or deteriorate or fatifue or diminish) near (increments or metrics))and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1	<u>L14</u>
<u>L13</u>	706/12-60.ccls.	5196	<u>L13</u>
<u>L12</u>	wear near (increments or metrics) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1	<u>L12</u>
<u>L11</u>	L10 and increments	. 1	<u>L11</u>
<u>L10</u>	L7 and (age or aging)	10	<u>L10</u>
<u>L9</u>	L8 and (formula or algorithm)	19	<u>L9</u>
<u>L8</u>	L7 and ag\$5	20	<u>L8</u>
<u>L7</u>	(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20	<u>L7</u>

<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

## END OF SEARCH HISTORY

## **Hit List**

Clear Generate Collection Fwd Refs Print **Bkwd Refs** Generate OACS

Search Results - Record(s) 1 through 1 of 1 returned.

1. Document ID: US 20040059694 A1

L14: Entry 1 of 1

File: PGPB

Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining

lifetime prediction of a system using neural networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY RULE-47

Darken, Christian J.

Carmel Valley

CA

Loecher, Markus

Princeton Jct.

NJ

US US

US-CL-CURRENT: 706/21

Full Title Citation	Front Review Classification Date Reference Sequences Attachme	nts Claims I	amo Dram
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Terms		Documents	
	wear or deteriorate or fatifue or diminish) near (increments and coefficients and radial near basis and neural near	]	

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## Refine Search

## Search Results -

Terms	Documents
((wear or deteriorate or fatigue or diminish) near (increments or metrics)) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1
and radial hear basis and hedrar herwork and predicts and trains	

Database:	US Pre-Grant Publication Full-Text Database US Patents Full-Text Database US OCR Full-Text Database EPO Abstracts Database JPO Abstracts Database Derwent World Patents Index IBM Technical Disclosure Bulletins	
Search:	L16	Refine Search
	Recall Text Clear	Interrupt

# Search History

## DATE: Monday, August 01, 2005 Printable Copy Create Case

Set Name side by side	Query	Hit Count	Set Name result set
DB=	PGPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=NO; OP=OR		
<u>L16</u>	((wear or deteriorate or fatigue or diminish) near (increments or metrics)) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1	<u>L16</u>
<u>L15</u>	L13 and ((wear or deteriorate or fatigue or diminish) near (increments or metrics))and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1	<u>L15</u>
<u>L14</u>	L13 and ((wear or deteriorate or fatifue or diminish) near (increments or metrics))and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1	<u>L14</u>
<u>L13</u>	706/12-60.ccls.	5196	<u>L13</u>
<u>L12</u>	wear near (increments or metrics) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	1	<u>L12</u>
<u>L11</u>	L10 and increments	1	<u>L11</u>

<u>L10</u>	L7 and (age or aging)	10	<u>L10</u>
<u>L9</u>	L8 and (formula or algorithm)	19	<u>L9</u>
<u>L8</u>	L7 and ag\$5	20	<u>L8</u>
<u>L7</u>	(wear or deteriorate or fatifue or diminish) and coefficients and radial near basis and neural near network and predict\$5 and train\$5	20	<u>L7</u>
<u>L6</u>	L5 and (wear or deteriorate or fatifue or diminish)	1	<u>L6</u>
<u>L5</u>	L4 and predict\$5 and train\$5	10	<u>L5</u>
<u>L4</u>	L1 and coefficients and radial near basis and neural	10	<u>L4</u>
<u>L3</u>	monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	3	<u>L3</u>
<u>L2</u>	L1 and monitor\$4 and predetermined near (parameters or metric\$2) and training near set\$2 and devices and wear\$4	0	<u>L2</u>
<u>L1</u>	706/21.ccls.	177	<u>L1</u>

## **END OF SEARCH HISTORY**

## **Hit List**

Clear Generate Collection **Bkwd Refs** Print Fwd Refs Generate OACS

**Search Results** - Record(s) 1 through 1 of 1 returned.

1. Document ID: US 20040059694 A1

L15: Entry 1 of 1

File: PGPB Mar 25, 2004

PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining

lifetime prediction of a system using neural networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Darken, Christian J.

Carmel Valley

CA

Loecher, Markus

Princeton Jct.

NJ

US US

US-CL-CURRENT: 706/21

**Full	Title Citation	Front Revie	o Classification	Date	Reference	Sequences	Attachments	S Claims	KOMC	Drawn D
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## **Hit List**

Clear Generate Collection Bkwd Refs Print Fwd Refs Generate OACS

Search Results - Record(s) 1 through 1 of 1 returned.

1. Document ID: US 20040059694 A1

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PGPUB-DOCUMENT-NUMBER: 20040059694

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040059694 A1

TITLE: Method and apparatus for providing a virtual age estimation for remaining

lifetime prediction of a system using neural networks

PUBLICATION-DATE: March 25, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

RULE-47

Darken, Christian J.

Carmel Valley

CA

Loecher, Markus

Princeton Jct.

NJ

US US

COUNTRY

US-CL-CURRENT: 706/21

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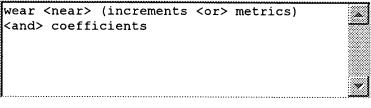
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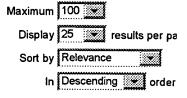
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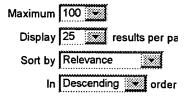
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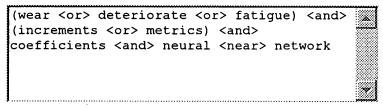
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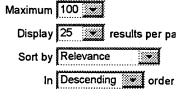




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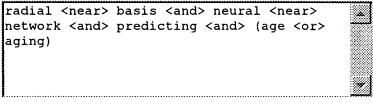
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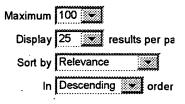
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Information Technology Applications in Biomedicine, 2000. Proceedings, 2000

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             WISE? OR BIT (2W) BIT OR INCH (2W) INCH OR PIECEMEAL?
S13
                DECREMENT? OR PROTOCOL? OR GRADATION? OR GRADUAT?
       112111
S14
       724556
                SAMPLE? OR TRAINING? OR REPRESENTATIV? OR ILLUSTRATIV? OR -
             SPECIMEN? OR SYMBOLIC? OR (TEST OR CONTROL?)()(CASE? OR SET? -
             OR DEVIC?)
S15
      2702673
                GIVEN? OR ACTUAL? OR EXAMPL? OR LITERAL? OR EXTANT? OR CUR-
             RENT? OR INSTANT()(CASE? OR DEVIC?) OR REAL OR EXTANT? OR EXI-
             STING? OR GENUIN? OR DEFACTO? OR DE() FACTO? OR FACTUAL?
S16
                NEURAL()NETWORK? OR MACHINE()(LEARN? OR INTELLIGEN?) OR AR-
             TIFICIAL()INTELLIGEN? OR AI OR GAUSS?()(DISTRIBUT? OR CURVE?)
S17
          430
                RADIAL()BASIS OR BASIS()FUNCTION? OR RBF OR RADIALBASIS? OR
              BASISFUNCTION? OR RBFN
S18
                COVER? (2N) THEOREM? (5N) SEPARAB? (5N) PATTERN?
S19
                 (INPUT?(10N)OUTPUT?)(10N)(MAP OR MAPS)(10N)(APPROXIMATOR? -
             OR APPROXIMATER?)
S20
                 (APPROXIMATOR? OR APPROXIMATER?) (10N) LINEAR? (3N) (COMBINE? -
             OR COMBINING? OR COMBINATION?)
S21
      1279094
                FORMULA? OR METRIC? OR NUMERICAL? OR ARITHMET? OR MATHEMAT-
             IC? OR LOGORITHM? OR LOGARITHM?
S22
       163805
                ALGEBRA? OR EQUATION? OR CALCULUS? OR COMPUTATION? OR ALGO-
             RITHM? OR ALGARITHM?
S23
       818752
                SMOOTH? OR NORMALIZ? OR NORMALIS? OR OPTIMIS? OR OPTIMIZ? -
             OR AVERAGE? OR EVEN? () OUT
S24
        29821
                AVERAGING? OR NORM? ? OR PAR OR PARS OR PARVALUE? OR REGUL-
             ARIS? OR REGULARIZ?
S25
         1482
                IC=G06E?
S26
       914423
                MC = (T01? OR T01-J?)
S27
        15041
                S1:S3 AND S4:S6 AND S7
S28
           63
                S27 AND S16
S29
                S27 AND S17:S20
            O
S30
         2912
                S1:S3 AND S4:S6 AND S8:S9 AND S10:S15
S31
                S30 AND S16:S20
                S30 AND S21:S22
S32
          509
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S33

68

S32 AND S23:S24

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S34
           43
                S33 AND S7
S35
          333
                S32 AND S7
S36
            0
                S35 AND S25
S37
           18
                S35 AND S26
S38
          151
                S28 OR S31 OR S33:S34 OR S37
       838044
S39
                PR=2001:2005
S40
          131
                S38 NOT S39
S41
          131
                IDPAT (sorted in duplicate/non-duplicate order)
           31
S42
                S16 AND S17
S43
           24
                S42 AND S1:S7
           23
                S42 AND (S12:S15 OR S21:S24 OR S8:S9)
S44
S45
           31
                S42:S44
S46
           28
                S45 NOT S38:S39
S47
           28
                IDPAT (sorted in duplicate/non-duplicate order)
File 347: JAPIO Nov 1976-2005/Apr (Updated 050801)
         (c) 2005 JPO & JAPIO
File 350: Derwent WPIX 1963-2005/UD, UM &UP=200549
         (c) 2005 Thomson Derwent
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41/3,K/5 (Item 5 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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011781090 **Image available**
WPI Acc No: 1998-198000/199818

XRPX Acc No: N98-157054

Fault diagnosis method for power source e.g. motor of industrial robot - involves detecting failure in diagnosed apparatus based on output of neural network which considers computed angular velocity and mechanical momentum of oscillation of diagnosed apparatus as input

Patent Assignee: NISSAN MOTOR CO LTD (NSMO ) Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week JP 10049223 A 19980220 JP 96202159 A 19960731 199818 B

Priority Applications (No Type Date): JP 96202159 A 19960731 Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes JP 10049223 A 7 G05B-023/02

Fault diagnosis method for power source e.g. motor of industrial robot...

- ...involves detecting failure in diagnosed apparatus based on output of neural network which considers computed angular velocity and mechanical momentum of oscillation of diagnosed apparatus as input
- ... Abstract (Basic): The method involves detecting the oscillating component emitted by a diagnosed apparatus (3) using a waveform data communication module (11). A calculation module (12) computes the angular velocity and mechanical momentum from the detected oscillating component...
- ...The computed angular velocity and mechanical momentum are considered inputs, based on the operation state of the diagnosed apparatus, for the back propagation neural network (13) which appoints and learns the operation of the diagnosed apparatus. A decision module (14) determines the failure state of the diagnosed apparatus based on the output of the neural network.
- ...ADVANTAGE Performs diagnosis without using FFT analysis. Abnormal state of diagnosed apparatus and diagnosis can be shown quantitatively

... Title Terms: APPARATUS ;

41/3,K/62 (Item 62 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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012597814 **Image available**
WPI Acc No: 1999-403920/199934

XRPX Acc No: N99-301000

Neural network fault diagnostic system for commercial and

military aircraft

Patent Assignee: MCDONNELL DOUGLAS CORP (MCDD )

Inventor: BOND W E; URNES J M

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week US 5919267 A 19990706 US 97833770 A 19970409 199934 B

Priority Applications (No Type Date): US 97833770 A 19970409

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 5919267 A 8 G06F-013/00

Neural network fault diagnostic system for commercial and military aircraft

# Abstract (Basic):

. . .

- ... actual performance of every subsystems of host system such as aircraft with modeled performance of neural network unit in normal and possible failure modes. A neural network processor is programmed to employ comparison voting technique to determine operating condition of host system...
- ... changing operating condition of the system. An INDEPENDENT CLAIM is also included for operation condition monitoring method for host system .
- ...In aircraft, heavy equipments for e.g. earth moving equipment, locomotives, ships, power stations like electric and nuclear power plants, wind turbines, automobiles...
- ...Operating conditions are **monitored** continuously during operation of aircraft which includes subsystems like actuation components, **engines**, hydraulic system, brake system, flight control system and radar systems to ensure safety and operational...
- ... The figure shows block diagram of fault diagnostics system

(Item 68 from file: 350) 41/3,K/68 DIALOG(R) File 350: Derwent WPIX (c) 2005 Thomson Derwent. All rts. reserv. 011589434 **Image available** WPI Acc No: 1998-006563/199801 XRAM Acc No: C98-002316 XRPX Acc No: N98-005401 Soldering inspection apparatus using correlation neural has artificial intelligence recognition unit that recognises breakdown capacity and breakdown conditions based on established boundary conditions using algorithm of shape recognition Patent Assignee: SAMSUNG ELECTRONICS CO LTD (SMSU ) Inventor: KIM J; KIM J H Number of Countries: 003 Number of Patents: 004 Patent Family: Patent No Kind Date Applicat No Kind Date Week JP 9275272 . A 19971021 JP 96224189 Α 19960826 199801 KR 97073259 Α 19971107 KR 9610514 Α 19960408 199846 US 6023663 Α 20000208 US 97833451 Α 19970407 200014 19990615 KR 200215 В1 KR 9610514 Α 19960408 200060 Priority Applications (No Type Date): KR 9610514 A 19960408 Patent Details: Patent No Kind Lan Pg Main IPC Filing Notes JP 9275272 Α 16 H05K-003/34 KR 97073259 Α H05K-003/34 US 6023663 Α G06F-017/00 KR 200215 В1 H05K-003/34 Soldering inspection apparatus using correlation neural

- ...has artificial intelligence recognition unit that recognises breakdown capacity and breakdown conditions based on established boundary conditions using algorithm of shape recognition
- ... Abstract (Basic): The apparatus has a measurement unit that extracts three- dimensional video image of a solder part (2) under multi-colour
- ... The boundary conditions of the soldered part are established from the obtained video information. An artificial intelligence recognition unit recognises breakdown capacity and the breakdown condition · based on established boundary conditions using an algorithm for shape recognition .
- ... Avoids influence due to fluctuation of soldering part position. Suits application to problems of tough breakdown recognition . Improves reliability
- ... Title Terms: APPARATUS ;

41/3,K/76 (Item 76 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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011033474 **Image available**
WPI Acc No: 1997-011398/199701

XRPX Acc No: N97-009979

Neural network auto-associator appts for diagnosing faults in electrodynamic machinery - includes sensing device for measuring set of current values for motor being monitored and processing device which compares input and output vectors for providing error metric

Patent Assignee: SIEMENS CORP RES INC (SIEI )

Inventor: HANSON S J; PETSCHE T

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week US 5576632 A 19961119 US 94269466 A 19940630 199701 B

Priority Applications (No Type Date): US 94269466 A 19940630

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

US 5576632 A 10 G06G-007/60

Neural network auto-associator appts for diagnosing faults in electrodynamic machinery - ...

- ...includes sensing device for measuring set of current values for motor being monitored and processing device which compares input and output vectors for providing error metric
- ...Abstract (Basic): The appts includes a sensing device for measuring a set of current values for a motor being monitored. A first signal processing device derives frequency spectral components associated with the set of current values. A neural network auto-associator receives at least a portion of the frequency spectral components and at least...
- ...ADVANTAGE Capable of detecting internal fault which will lead to mechanical breakdown .
- ... Title Terms: APPARATUS ;

41/3,K/84 (Item 84 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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010403311 **Image available**

WPI Acc No: 1995-304625/199540

Fault diagnosis appts. for production machine - has display unit for displaying ladder circuit sequence to be started based on signals output to neural network

Patent Assignee: NISSAN MOTOR CO LTD (NSMO )

Number of Countries: 001 Number of Patents: 002

Patent Family:

Patent No Kind Date Applicat No Kind Date Week JP 7200053 Α 19950804 JP 93337852 Α 19931228 199540 B JP 3309533 B2 20020729 JP 93337852 Α 19931228 200256

Priority Applications (No Type Date): JP 93337852 A 19931228

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

JP 7200053 A 5 G05B-023/02

JP 3309533 B2 5 G05B-019/048 Previous Publ. patent JP 7200053

Fault diagnosis appts. for production machine - ...

- ...display unit for displaying ladder circuit sequence to be started based on signals output to neural network
- ...Abstract (Basic): The diagnosis appts. includes a **neural network** (32) and obtains input signals (x1,x2) and output signals (y1,y2) of a ladder...
- ...R2) related to an internal coil of the ladder network are also output to the neural network, along with another set of signals (K1 Kn...
- ...All the above signals are used by the **neural network** to **predict** the next starting of the ladder sequence circuit. This result is displayed on a display circuit (38). Thus, trouble in production **machine** is diagnosed, as per the storage data of the ladder circuit...
- ... Title Terms: APPARATUS;

41/3,K/85 (Item 85 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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010339739 **Image available** WPI Acc No: 1995-241821/199532

XRPX Acc No: N95-188508

Machine condition monitoring and fault prediction system - uses combination of neural networks export systems physical models and fuzzy logic to identify developing faults

Patent Assignee: CATERPILLAR INC (CATE )

Inventor: HUANG H; KNAPP G M; LIN C; LIN S; SPOERRE J K; WANG H

Number of Countries: 003 Number of Patents: 003

Patent Family:

Patent No Kind Date Applicat No Kind Date Week DE 4447288 A1 19950706 DE 4447288 Α 19941230 199532 FR 2714750 A1 19950707 FR 9415525 Α 19941216 199532 US 5566092 Α 19961015 US 93176482 Α 19931230 199647

Priority Applications (No Type Date): US 93176482 A 19931230

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

DE 4447288 A1 50 G05B-017/02 US 5566092 A 39 G01B-007/00 FR 2714750 A1 G06F-019/00

Machine condition monitoring and fault prediction system - ...

- ...uses combination of neural networks export systems physical models and fuzzy logic to identify developing faults
- ...Abstract (Basic): The system uses a combination of neural networks expert systems, physical models and fuzzy logic. The processing algorithms are implemented using a transputer...
- ...system. A typical system has a data acquisition module (410), a diagnosis technology module (420), machine model module (430), a data bank (440), user interface (450) and a system control module (460). The machine model can cover bearing and gears and provides information for training the neural network.
- $\dots$  USE/ADVANTAGE For on line fault detector on **machines** . Provides early warning of fault conditions
- ... Abstract (Equivalent): A method for diagnosing a physical machine or process, the method comprising the steps of...
- $\dots$ 1) acquiring a first set of data from the physical machine or process  $\dots$
- ...3) detecting abnormal conditions in said autoregressive parameter using an overall root means square (RMS) **measurement** and a covariance statistic of an exponentially weighted moving average (EWMA), wherein if an abnormal...
- ...a) identifying whether said physical machine or process has a fault, including...
- ...i) determining a hypothesis with the aid of a **fault diagnostic** network, and if said **fault diagnostic** network cannot generate a hypothesis, then...

Title Terms: MACHINE ;

41/3,K/87 (Item 87 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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010044660 **Image available**
WPI Acc No: 1994-312371/199439

XRPX Acc No: N94-245934

Machine health monitoring system using neural network - combines vibration analysis techniques and self organising map neural networks to perform fault detection and diagnosis and tachometer and vibration sensors as input devices from machinery

Patent Assignee: UNIV BRUNEL (UYBR-N)

Inventor: HARRIS T J

Number of Countries: 001 Number of Patents: 002

Patent Family:

Patent No Kind Date Applicat No Kind Date Week GB 2277151 19941019 GB 937096 Α Α 19930405 199439 GB 2277151 19970625 GB 937096 В Α 19930405 199728

Priority Applications (No Type Date): GB 937096 A 19930405

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

GB 2277151 A 6 G01H-017/00 GB 2277151 B 1 G01H-017/00

Machine health monitoring system using neural network - ...

- ...combines vibration analysis techniques and self organising map neural networks to perform fault detection and diagnosis and tachometer and vibration sensors as input devices from machinery
- ...Abstract (Basic): The analysis system comprises a database of either component specifications within the **machine** to be **monitored** from which a set of key frequencies can be established by control computer with reference to the **machines** running speed, or a set of previously established key frequencies for the **machine** to be **monitored**. The relative amplitudes of the established key frequencies are used by the self organising map...
- ...spectrum analysis carried out. Running is also collected. The control computer uses the key frequencies **derived** from the database and the running speed to select parts of the vibration spectrum to...
- ...vector to the self organising map network. Training data is collected and used while the **machine** is running normally...
- ...ADVANTAGE Needs only training examples taken from vibration of machine when known to be in good condition...
- ...Abstract (Equivalent): A method of monitoring machine health comprising the steps of storing in a database initial data concerning vibration derived from the component specification of a machine, running the machine normally for training a self-organising neural. network in conjunction with the initial data so as to establish normal vibration running criteria, and thereafter monitoring the machine in operative use by comparison of its vibration running data with the running criteria in the neural network so as to perform fault detection and diagnosis.

Title Terms: MACHINE ;

41/3,K/90 (Item 90 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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009635656 **Image available** WPI Acc No: 1993-329205/199342

XRPX Acc No: N93-254177

Self-diagnosis and self-repair system for electrophotographic image forming appts. - uses artificial intelligence, knowledge engineering and fuzzy inference to develop inference admitting ambiguity from point of view of maintenance and makes self-diagnosis of machine state using inference

Patent Assignee: MITA IND CO LTD (MTAI )

Inventor: MOTEGI Y; SHIMOMURA Y; TOMIYAMA T; UMEDA Y; YOSHIKAWA H

Number of Countries: 005 Number of Patents: 004

Patent Family:

racent ramity.	•						
Patent No	Kind	Date	Applicat No	Kind	Date	Week	
EP 565761	A1	19931020	EP 92106557	Α	19920415	199342	В
US 5467355	Α	19951114	US 92867509	Α	19920413	199551	N
			US 94299082	Α	19940901		
EP 565761	В1	19970709	EP 92106557	Α	19920415	199732	
DE 69220776	E	19970814	DE 620776	Α	19920415	199738	
			EP 92106557	Δ	19920415		

Priority Applications (No Type Date): EP 92106557 A 19920415; US 94299082 A 19940901

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

EP 565761 A1 E 35

Designated States (Regional): DE FR GB IT

US 5467355 A 25 Cont of application US 92867509

EP 565761 B1 E 35

Designated States (Regional): DE FR GB IT

DE 69220776 E Based on patent EP 565761

- ... uses artificial intelligence, knowledge engineering and fuzzy inference to develop inference admitting ambiguity from point of view of maintenance and makes self-diagnosis of machine state using inference
- ...Abstract (Basic): The self-diagnosis and repair system calculates the amount of degradation relative to the time elapsed after the image forming appts. starts to be used, on the basis of degradation data representing the relationship between the time elapsed after the appts. starts to be used, and the amount of degradation. The value of a parameter changed by the amount of degradation calculated, is converted into a fuzzy qualitative value using membership functions of the parameter, and is replaced with an expression used on qualitative data...
- ...Data sensed by a series of sensors is converted into a fuzzy qualitative value using the membership functions of the parameter, and is judged on the basis of the fuzzy qualitative value as to whether or not a fault exists. Fault diagnosis is made using as an initial value the value of the parameter changed by the amount of degradation. The result of the diagnosis is output as an expression having ambiguity...
- ...combining fuzzy theory with qualitative inference. Faults serially occurring can be inferred from changes in values of parameters .

... Abstract (Equivalent): An image forming apparatus provided with a self-diagnosis system comprising: a) objective model storage means (15) for storing data representing the image forming apparatus as a combination of a plurality of elements, said data including qualitative data representing behaviours or attributes of the respective elements, combinational relationships between the elements and fault diagnosis knowledge; b) a plurality of sensor means (la, lb, lc) for sensing quantitative parameter values representing the functional state for predetermined elements of said image forming apparatus and for outputting current state data; c) data conversion (2, 3, 11) means for converting the quantitative parameter values sensed by the sensor means (1a, 1b, 1c) into symbolic values; d) fault judgment means (16) for judging whether or not a fault exists; and e) diagnosis means (14) for diagnosing the state of the image forming apparatus on the basis of the qualitative data and the fault diagnosis knowledge stored in the objective model storage means (15); characterized in that f) said data conversion means (2, 33, 11) converts the quantitative parameter data into fuzzy qualitative (FQ) values as said symbolic values , the FQ values for the respective calculated on the basis of the qualitative data and parameters membership functions stored in the objective model storage means (15); degradation data storage means (12) are provided containing degradation data representing a relationship between the time elapsed after the image forming apparatus starts to be used and the amount of expected degradation of at least one parameter of the elements constituting said image forming apparatus; h) degradation amount calculation means (within 12) for calculating the amount of expected degradation for said at least one parameter at the time elapsed after the image forming apparatus starts to be used up to the present time on the basis of the degradation data stored in the degradation data storage means (12); i) change calculation means (within 12) for computing a fuzzy qualitative value of the at least one parameter which is changed by the amount of degradation calculated by the degradation amount calculation means (within 12) on the basis of the qualitative data and the membership functions of the parameter stored in the objective model storage means (15); wherein the fault diagnosis means (14), in response to a judgement that a fault exists by the fault judgment means (16), diagnosis the state of the image forming apparatus on the basis of fuzzy qualitative values of the respective parameters with degradation obtained from said change calculation means (12), and outputs the result of the diagnosis as an expression having ambiguity as to whether the measured parameters belong to the expected degradation condition or not... ... Abstract (Equivalent): An image forming apparatus provided with a

self-diagnosis system, comprising: objective model storage means for storing data that represent the image forming apparatus as a combination of a plurality of elements, qualitative parameter data that represent, as parameters , attributes of respective elements of the plurality of elements and a combinational relationship between the elements, parameter membership functions corresponding to the parameters , and fault diagnosis knowledge; degradation data storage means for storing degradation data representing an amount of degradation undergone by at least one component of the image forming apparatus after use of the image forming apparatus has started; a plurality of sensor means for sensing a functional state of a predetermined portion of the image forming apparatus and outputting state data indicative thereof; degradation amount calculation means for calculating the degradation of the at least one component from after the image forming apparatus starts to be used to the present

time on the basis of degradation data stored in the degradation data storage means; change calculation means for representing as a fuzzy qualitative value, the value of a changed parameter which has been changed by an amount of degradation calculated by the degradation amount calculation means from among qualitative parameter data stored in the objective model storage means by using the membership function corresponding to the changed parameter; data conversion means for converting state data outputted by the sensor means into a fuzzy qualitative value by applying parameter membership functions stored in the objective model storage means; fault judgement means of judging whether or not a fault exists by comparing a fuzzy qualitative value from the data conversion means with qualitative parameter data stored in the objective model storage means; and fault diagnosis means for diagnosing the functional state of the image forming apparatus on the basis of qualitative diagnosis knowledge stored in the parameter data and fault objective model storage means by utilizing as initial conditions a fuzzy qualitative value from the change calculation means in response to a judgement that a fault exists by the fault judgement means...

...as an expression which has ambiguity and which indicates the state of the image forming apparatus .

... Title Terms: APPARATUS ;

41/3,K/98 (Item 98 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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007303236

WPI Acc No: 1987-300243/198743

XRPX Acc No: N87-224291

Residual fatigue life evaluation method of mechanical parts - determining amount of fatigue defect on basis of data of depth of deflective region versus amount of fatigue defect

Patent Assignee: MITSUBISHI JUKOGYO KK (MITO )

Inventor: TAKASHI K; TOURU G

Number of Countries: 006 Number of Patents: 005

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
EP 242425	Α	19871028	EP 86107348	Α	19860530	198743	В
US 4709383	Α	19871124	US 86868744	Α	19860530	198749	
CA 1244151	A	19881101				198848	
EP 242425	В1	19920812	EP 86107348	Α	19860530	199233	
DE 3686414	G	19920917	DE 3686414	A	19860530	199239	
			EP 86107348	Α	19860530		

Priority Applications (No Type Date): JP 8690025 A 19860421

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

EP 242425 A E 13

Designated States (Regional): CH DE GB LI

US 4709383 A 10

EP 242425 B1 E 13 G01N-023/20

Designated States (Regional): CH DE GB LI

DE 3686414 G G01N-023/20 Based on patent EP 242425

Residual fatigue life evaluation method of mechanical parts

- ...determining amount of fatigue defect on basis of data of depth of deflective region versus amount of fatigue defect
- ...Abstract (Basic): The method of evaluating a residual fatigue life of mechanical parts comprises the steps of grinding a surface layer of a mechanical part to be inspected by a minute amount to form an inspection surface. Half-width...
- ...An amount of **fatigue** defect is determined on the basis of the data of the depth of the defective region versus the amount of **fatigue** defect which were separately obtained from a test piece; as well as on the basis...
- $\dots$ ADVANTAGE Has high precision even in second period of **fatigue** life
- ...Abstract (Equivalent): A method for evaluating a residual fatigue life of mechanical parts characterised by the steps of: grinding off a surface layer of said mechanical part in an area that is to be exposed to X-rays, that has not been exposed to stress and thus can be employed as representing unused material; measuring an X-ray intensity curve vs. the angle of diffraction for different positions (X) of said surface; plotting the half-width value (H) of said curve against the corresponding measuring position (X), where in the plotted function has a linearly increasing part followed by a constant horizontal half-width value (Ho) which represents the half-width (Hwo) of the unused material; transforming the measuring

position (X) to a function of depth (d) of penetration according to the following equation: d = R - square root of R squared + (1 over 2 minus x)squared - (1 over 2)squared. wherein the respective measuring position is represented in term of the horizontal coordinate x, the radius of a circular...

- ...length of the chord formed as an inspection surface is represented by 1; deforming a normalised half-width function (H/Hwo) versus the depth d; and determining the point do, where the linearly increasing part of the function meets the constant part of the intensity curve, which point (do) represents an indication of the fatigue defect (delta). (Dwg.1/8)i
- ...Abstract (Equivalent): A method evaluating a residual fatigue life of mechanical parts consist of the steps of grinding a surface layer of a mechanical part to be inspected by a minute amount to form an inspection surface, measuring half-width data of an X-ray diffraction intensity curve on the inspection surface, calculating a depth (do) of a fatigue damaged region from a graph of a half-width ratio (H/Ho) versus a depth (d) below the surface layer, and determining a fraction of fatigue lift N/Nf on the basis of data of the depth (do) of the defective region versus the fraction of fatigue life N/Nf which were separately obtained from a test piece. (10pp)o ...Title Terms: FATIGUE;

41/3,K/101 (Item 101 from file: 350)

DIALOG(R) File 350: Derwent WPIX

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004212893

WPI Acc No: 1985-039773/198507

XRPX Acc No: N85-029577

Continuous monitoring of remaining life of machine tool - using centralised data processor storing depth of cut of each tool for comparison of cumulative total with expected useful life

Patent Assignee: TOYODA KOKI KK (TOZK )
Inventor: OHTA T; SAKAKIBARA Y; YAMAKAGE T

Number of Countries: 002 Number of Patents: 002

Patent Family:

Patent No Kind Date Applicat No Kind Date FR 2548070 19850104 FR 8410279 Α Α 19840628 198507 B US 4628458 Α 19861209 US 84621233 Α 19840615 198652

Priority Applications (No Type Date): JP 83118493 A 19830630

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

FR 2548070 A 19

Continuous monitoring of remaining life of machine tool - ...
...using centralised data processor storing depth of cut of each tool for comparison of cumulative total with expected useful life

- ...Abstract (Basic): The tool life measurement system uses a control program to permit monitoring of multiple tools in a manufacturing installation. For each execution of a numerical control program the tool selection code is detected and the tool number memorised. The machining depth added to a cumulative total...
- ...The cumulative machining depth is compared, for each tool, against a predetermined figure representing the useful life of the average tool. The difference between the cumulative total and the expected life can be displayed to indicate whether a tool can be expected to last through a further production run...
- ...ADVANTAGE Continuous monitoring of tool wear at a central point to indicate remaining tool life .
- ...Abstract (Equivalent): The detection method comprises the step of detecting a tool selection code at each execution step of the NC program and storing a tool number of a tool currently in use as derived from the tool selection code. An actual machining distance is calculated for the currently in use tool during machining of the workpiece by the currently in use tool. The actual machining distance is accumulated for each tool by storing the actual machining distance determined in the calculating step for each tool and by adding to it the actual machining distance calculated of the respective tool.
- ...The accumulated actual machining distance is compared with a predetermined machining life distance to indicate the lift of each tool and the life of each tool is determined based on a result obtained in the comparing step.

...USE/ADVANTAGE - Computerised numerical controller. Decides life of each tool more accurately. (11pp)
...Title Terms: MONITOR;

47/3,K/6 (Item 6 from file: 350)

DIALOG(R)File 350:Derwent WPIX

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014977977 **Image available**
WPI Acc No: 2003-038491/200303

XRPX Acc No: N03-029914

Orthogonal basis function selection method in neural network, involves determining orthogonal basis function from orthogonal combination of regressor matrix

Patent Assignee: US SEC OF AIR FORCE (USAF )

Inventor: CAO Y; CHEN C P; LECLAIR S R

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week
US 6463341 B1 20021008 US 9887965 A 19980604 200303 B
US 99326441 A 19990604

Priority Applications (No Type Date): US 9887965 P 19980604; US 99326441 A 19990604

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
US 6463341 B1 28 G05B-013/02 Provisional application US 9887965

Orthogonal basis function selection method in neural network, involves determining orthogonal basis function from orthogonal combination of regressor matrix

## Abstract (Basic):

. . .

- A set of orthogonal basis function is randomly selected from empirical data and a heterogeneous regressor matrix F' is constructed. The regressor matrix is rearranged to form an orthogonal basis matrix H'. The orthogonal basis function hk' of the matrix H' that closely approximates the actual function used for creating empirical data in neural network, is determined.
  - For selecting orthogonal basis function set in neural network.
- ... Avoids discarding of useful information in **neural network**, by determining the orthogonal **basis function** from the combination of regressor set

47/3, K/17 (Item 17 from file: 350) ·

DIALOG(R) File 350: Derwent WPIX

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012687602 **Image available**
WPI Acc No: 1999-493711/199941

XRPX Acc No: N99-367796

Feedback linearization method of neural networks

Patent Assignee: UNIV TEXAS SYSTEM (TEXA )

Inventor: LEWIS F L; YESILDIREK A

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No Kind Date Applicat No Kind Date Week US 5943660 A 19990824 US 95496493 A 19950628 199941 B

US 97950581 A 19971015

Priority Applications (No Type Date): US 95496493 A 19950628; US 97950581 A 19971015

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes
US 5943660 A 17 G06F-015/18 Cont of application US 95496493

## Feedback linearization method of neural networks

## Abstract (Basic):

- is output by comparing sensed state with desired state in initial feedback loop. Unknown function estimates are calculated as function of sensed state using multilayer neural network process having neurons with tunable weights in respective feedback loops. Based on the estimates, smooth control action is calculated and applied to maintain desired measurable state.
- ... In neural network and in neural network applications such as classification, pattern recognition, combinational optimization, system identification, prediction, etc...
- ...Multilayer neural networks offer more than a single layer neural network and thus permits application to a much longer class of control systems and also avoids same limitations such as basis function set or choosing same centers and variations of radial basis type activation functions...
- ... The figure shows **neural network** controller incorporating feedback linearization...

47/3,K/28 (Item 28 from file: 347) DIALOG(R)File 347:JAPIO

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05630212 **Image available**
LEARNING CONTROL METHOD

PUB. NO.: 09-245012 [JP 9245012 A] PUBLISHED: September 19, 1997 (19970919)

INVENTOR(s): YAMADA SATOSHI

APPLICANT(s): MITSUBISHI ELECTRIC CORP [000601] (A Japanese Company or

Corporation), JP (Japan)

APPL. NO.: 08-052161 [JP 9652161] FILED: March 08, 1996 (19960308)

...JAPIO CLASS: Computer Applications); 22.3 ( MACHINERY --

## ABSTRACT

... system for which it is required to consider a history in the past by using **evaluation** for the control of the controlled **system**.

. . .

...SOLUTION: The measured result of the state of the controlled system 1 is inputted to control systems 2 and 11 formed by neural networks provided with internal state storage layers 10 and 15 and provided with neurons for which a radial basis function (RBF function) is an input/output function as hidden layers 6 and 14 and the evaluation for the control of the controlled system is judged from the measured result of the state of the controlled system controlled based on an obtained control output value. Learning is performed by changing the synapse coupling strength of the neural network based on the judged result and the controlled system is controlled while repeating the above

Set	Items Description
S1 .	931602 PREDICT? OR INTUIT?? OR INTUITING OR FORECAST? OR PROGNOS?
	OR ANTICIPAT? OR EVALUAT? OR MONITOR? OR MEASUR?
S2	954738 APPROXIMATING OR CALCULAT? OR COMPUTING OR COMPUTE OR COMP
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s3	566205 S1:S2(7N) (METHOD? OR SYSTEM? OR PROCESS?? OR PROCEDUR? OR -
33	TECHNIQUE? OR MODE?)
S4	105260 (REMAINING? OR REMAINDER? OR AVAILAB? OR LEFT OR RESIDUAL?-
	) (5N) (LIFE? OR YEAR? OR TIME? OR DAY OR DAYS OR HOUR? OR WEEK?
	OR MONTH?)
S5	110423 TIME (2W) FAILURE? OR FAULT? (2W) DIAGNOS? OR TIME (2W) OPERATIO-
	N? OR (WORK? OR OPERATION?) (2N) LIFE? OR BREAKDOWN? OR (BREAK?
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20	OR ABRAD? OR DEGENERAT? OR DECLIN? OR WORSEN?
S9	540937 DELAPIDAT? OR EXHAUST? OR FATIGU? OR DEGRAD? OR DETERIORAT?
	OR ABRAS? OR DECAY? OR DIMINISH?
S10	1209204 PARAMETER? OR VARIABL? OR VALUE? OR QUALITY? OR QUALITIE? -
•	OR CHARACTERISTIC?
S11	851256 ATTRIBUT? OR TRAIT? OR PATTERN? OR COEFFICIENT? OR BEHAVIO-
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S12	1550598 INCREMENT? OR STEP OR STEPS OR STEPPED OR STEPPING OR AUGM-
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S29 AND S14:S15(10N)S7
          309
S32
                S25 OR S28:S29 OR S32
S33
          329
S34
          145
                S33 AND (S1:S3 OR S4:S6 OR S7)/TI
S35
                S33 AND S16:S20/TI
                S26 OR S27 OR S31
S36
          158
S37
          247
                S34:S36
S38
          247
                IDPAT (sorted in duplicate/non-duplicate order)
S39
          130
                S38 NOT AD=2001:2005
File 348:EUROPEAN PATENTS 1978-2005/Jul W04
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DIALOG(R)File 348:EUROPEAN PATENTS

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METHOD AND APPARATUS FOR PREPROCESSING INPUT DATA TO A NEURAL NETWORK VERFAHREN UND ANLAGE ZUR EINGANGSDATENVORVERARBEITUNG FUR EIN NEURONALES NETZWERK

PROCEDE ET APPAREIL DE PRETRAITEMENT DES DONNEES INTRODUITES DANS UN RESEAU NEURONAL

PATENT ASSIGNEE:

PAVILION TECHNOLOGIES INC., (1744350), 3500 West Balcones Center Drive, Austin, TX 78759, (US), (Proprietor designated states: all)

#### INVENTOR:

KEELER, James, David, 12701 Shemya Cove, Austin, TX 78729, (US)
HARTMAN, Eric, J., 8902 Slayton Road, Austin, TX 78753, (US)
O'HARA, Steven, A., 3006 Fox Hollow, Round Rock, TX 78681, (US)
KEMPF, Jill, L., 2410-B Sharon Lane, Austin, TX 78703, (US)
GODBOLE, Devendra, B., 12403 Copperfield Drive, Austin, Texas 78753, (US)

LEGAL REPRESENTATIVE:

Lawrence, Malcolm Graham (47878), Hepworth, Lawrence, Bryer & Bizley

Merlin House Falconry Court Baker's Lane, Epping Essex CM16 5DQ, (GB)

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Available Text	Language	Update	Word Count
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CLAIMS B	(German)	200125	1222
. CLAIMS B	(French)	200125	1509
SPEC B	(English)	200125	10180
Total word count	t - documen	t A	0
Total word count	t - documen	t B	14244
Total word count	t - documen	ts A + B	14244

... SPECIFICATION Swiss-cheese" data table described above occurs quite often in real-world problems.

Conventional neural network training and testing methods require complete patterns such that they are required to discard patterns with missing or bad data. The deletion of the bad data in this manner is an inefficient method for training a neural network. For example, suppose that a neural network has ten inputs and ten outputs, and also suppose that one of the inputs or outputs happens to be missing at the desired time for fifty percent or more of the training patterns. Conventional methods would discard these patterns, leading to training for those patterns during the training mode and no reliable predicted output during the run mode. This is inefficient, considering that for this case more than ninety percent of the information is still there for the patterns that conventional methods would discard. The predicted output corresponding to those certain areas will be...

...the overall data after screening bad or missing data. Additionally, experimental results have shown that neural network testing performance generally increases with more training data, such that throwing away bad or incomplete data decreases the overall performance of the neural network.

In addition to the above, when data is retrieved on different time scales, it is...

- ...the data on a common time scale. However, this is difficult in that for a **given** time scale, another and longer time scale results in missing data at that position. For **example**, if one set of data were taken on an hourly basis and another set of...
- ...all data is presented at synchronized times to the system model. Worse yet, the data **sample** periods may be non-periodic, producing totally asynchronous data.

In addition, this data may be ...

- ...be able to read all of these different data formats, keeping track of the data value and the time-stamp of the data out to one or more "flat files" which are column oriented, each column corresponding to a data variable and/or the data/time stamp of the variable. It is a formidable task to retrieve this data keeping track of the date-time...
- ...aspect of data integrity is that with respect to inherent delays in a system. For **example**, in a chemical processing system, a flow meter output can provide data at time t0)) at a **given** value. However, a **given** change in flow resulting in a different reading on the flow meter may not affect the output for a **predetermined** delay (tau). In order to predict what the output would be, this flow meter output...
- ...network at a delay equal to (tau). This must also be accounted for in the **training** of the network. In generating data that accounts for time delays, it has been postulated...
- ...original data, wherein only the delayed data is utilized.

Further, in order to change the **value** of the delay, an entirely new set of input data must be generated off the...time merge processor is provided. The pre-time merge processor is operable to apply a **predetermined** algorithm to the input data prior to input to the time merge device. A post...

...part of the output device. The post-time merge processor is operable to apply a **predetermined** algorithm to the data reconciled by the time merge device prior to output as the reconciled data. The **predetermined** algorithms are externally input and stored in a preprocessor memory such that the sequence in which the **predetermined** algorithms were applied is also stored.

In another embodiment of the present invention, the system model utilizes a non-linear network having a set of model parameters associated therewith that define the representation of the network, the model parameters operable to be trained on a set of training data that is received from a run-time system such that the system model is...

...the output of the system and the set of measured input data representing the system variables. The target data and system variables are

reconciled by the preprocessor and then input to the network. A training device is operable to train the non-linear network according to a predetermined training algorithm, such that the values of the model parameters are changed until the network comprises a stored representation of the run-time system.

In...

...of the present invention, the input data is comprised of a plurality of system input variables, each of the system input variables comprising an associated set of data. A delay device is provided that is operable to provide at least select ones of the input variables after preprocessing by the preprocessor and introducing a predetermined amount of delay therein to output a delayed input variable. This delayed input variable is input to the system model. Further, this predetermined delay is determined external to the delay device.

BRIEF DESCRIPTION OF THE DRAWINGS For a...

...1 illustrates an overall block diagram of the system for both preprocessing data during the **training** mode and for preprocessing data during the run mode;

FIGURE 1a illustrates a simplified block...

...of FIGURE 1;

FIGURE 2 illustrates a detailed block diagram of the preprocessor in the training mode;

FIGURE 3 illustrates a simplified block diagram of the time merging operation, which is...

...a block diagram of a plan depicting the various places in the process flow that parameters occur relative to the plant output;

FIGURE 10 illustrates a diagrammatic view of the relationship between the various plant parameters and the plant output;

FIGURE 11 illustrates a diagrammatic view of the delay provided for input data <code>patterns</code>;

FIGURE 12 illustrates a diagrammatic view of the buffer formation for each of the network...

...the display for selection of the delays associated with various inputs and outputs in the **neural network** model;

FIGURE 14 illustrates a block diagram for a **variable** delay selection;

FIGURE 15a illustrates a block diagram of the adaptive determination of the delay;

FIGURE 15b illustrates **examples** of the time-delay functions used in adaptive or **variable** time-delay modes;

FIGURE 16 illustrates a diagrammatic view of a conventional multi-layer neural network;

FIGURE 17 illustrates a flowchart depicting the time delay operation; FIGURE 18 illustrates a flowchart depicting the run mode operation;

FIGURE 19 illustrates a flowchart for setting the value of the

variable delay; and

FIGURE 20 illustrates a block diagram of the interface of the run time

...there is illustrated an overall block diagram of the data preprocessing

operation in both the training mode and the run time mode. In the training mode, one of more data files 10 are provided, which data files include both input training data and output training data. The training data is arranged in "sets", which sets correspond to different plant variables, and which may be sampled at different time intervals. This data is referred to as the "raw" data. When the...

...done in such a manner as to keep track of the time stamp for each variable. Thus, the "raw" data is organized as time, value pairs of columns; that is, for each variable xi)), there is its associated time of sample ti)). The data can then be grouped into sets (xi)), ti))).

If any of the...

- ...data will be grouped in common time scale groups, and data that is on, for example, a fifteen minute sample time scale will be grouped together and data sampled on a one hour sample time scale will be grouped together. However, any type of format that provides viewing of... hereinbelow. During operation, the preprocessor 12 is operable to store various preprocessing algorithms in a given sequence in a storage area 14. As will be described hereinbelow, the sequence defines the...
- ...data. This operation can be performed on both the target output data and the input **training** data. The delay settings are stored in a storage area 18 after determination thereof.

The output of the delay block 16 is input to a **training** model 20. The **training** model 20 is a non-linear model that receives input data and compares it with...

...for predicting the target output data from the input data. In the preferred embodiment, the **training** model utilizes a multi-layered **neural network** that is trained on one of multiple methods, one being Back Propagation. Various weights within the network are set during the Back Propagation **training** operation, and these are stored as model **parameters** in a storage area 22. The **training** operation and the **neural network** are conventional systems.

A Distributed Control System (DCS) 24 is provided that is operable to generate various system measurements and control settings' representing system variables such as temperature, flow rates, etc., that comprise the input data to a system model...

- ...inputs to the DCS 24. The run time system model 26 is utilizing the model parameters stored in the storage area 22. It should be noted that the run time system model 26 contains a representation learned during the training operation, which representation was learned on the preprocessed data. Therefore, data generated by the DCS...
- ...sequence of preprocessing algorithms stored in the storage area 14, which were generated during the **training** operation. The output of the run time preprocessor 34 is input to a run time...
- ...the preprocessor 34', the delay 36' and the system model 26' operate in both a **training** mode and a run-time mode. A multiplexer 35 is provided that receives the output...
- ...the data file(s) 10 and the output of the DCS 24, this providing plant variables of the DCS 24, the output of the multiplexer input to the preprocessor 34'. A control device 37 is provided that controls the multiplexer 35 to select either a training mode or a run-time mode. In

the training mode, the data file(s) 10 has the output thereof selected by a multiplexer and the preprocessor 34' is operable to preprocess the data in accordance with a training mode, i.e., the preprocessor 34' is utilized to determine what the predetermined algorithm sequence is that is stored in the storage area 14. An input/output device I/O 41 is provided for allowing the operator to interface with the control device 37. The delay 36' is also controlled by the control device 37 to determine the delay settings for storage in the storage area 18. The system model 26' is operated in a training mode such that the target data and the input data to the system model 26' are generated, the training controlled by training block 39. The training block 39 is operable to select one of multiple training algorithms, such as back propagation, for training of the system model 26'. The model parameters are stored in the storage area 22.

After training, the control device 37 places the system in a run-time mode such that the preprocessor 34' is...

- ...there is illustrated a more detailed block diagram of the preprocessor 12 utilized during the **training** mode. In general, there are three stages to the preprocessing operation. The central operation is...is operable to store the sequence of the various processes that are determined during the **training** mode, these interfaced with a bi-directional bus 60. During the **training** mode, the controller 46 determines which of the functional algorithms are to be applied to...
- ...seen that the waveform associated with x1))(t) has only a certain number, n, of sample points associated therewith. The time-merge operation is a transform that takes one or more columns of data, xi))(ti))), such as that shown in FIGURE 4a, with ni)) time samples at times ti))'. That is, the time-merge operation is a function, (OMEGA), that produces a new set of data (x') on a new time sale t' from the given set of data x(t) sampled at t. This function is done via a variety of conventional extrapolation, interpolation, or box...
- ...as a C-language callable function as: where xi)), ti)) are vectors of the old values and old times; xi))'... xk))' are vectors of the new values; and t' is the new time-scale vector.

  Referring now to FIGURE 5a, there is...
- ... The data table consists of data with time disposed along a vertical scale and the samples disposed along a horizontal scale. Each sample comprises many different pieces of data with two data intervals illustrated. It can be seen that when the data is examined for both the data sampled at the time interval "1" and the data sampled at the time interval "2", that some portions of the data result in incomplete patterns . This is illustrated by a dotted line 63, where it can be seen that some data is missing in the data sampled at time interval "1" and some is missing in time interval "2". A complete neural network pattern is illustrated box 64, where all the data is complete. Of interest is the time difference between the data sampled at time interval "1" and the data sampled at time interval "2". In time interval "1", the data is essentially present for all steps in time, whereas data sampled at time interval "2" is only sampled periodically relative to data sampled at time interval "1". As such, a data reconciliation procedure is implemented that fills in the missing data and also reconciles between the time samples in time interval "2" such that the data is complete for all time samples for both time

interval "1" and time interval "2".

The neural network models that are utilized for time-series prediction and control require that the time-interval between successive training patterns be constant. Since the data that comes in from real—world systems is not always on the same time scale, it is desirable to time-merge the data before it can be used for training or running the neural network model. To achieve this time-merge operation, it may be necessary to extrapolate, interpolate, average...

- ...the data in each column over each time-region so as to give an input  $value \ x'(t)$  that is on the appropriate time-scale. All of these are referred to...
- ...algorithm utilized may include linear estimates, spline-fit, boxcar algorithms, etc. If the data is **sampled** too frequently in the time-interval, it will be necessary to smooth or average the data to get a **sample** on the **desired** time scale. This can be done by window averaging techniques, sparse- **sample** techniques or spline techniques.

In general, x'(t) is a function of all of the raw values x(t) given at present and past times up to some maximum past time, Xmax. That is, where some of the values of xi)(tj) may be missing or bad.

This method of finding x'(t) using past values is strictly extrapolation. Since the system only has past values available during runtime mode, the values must be reconciled. The simplest method of doing this is to take the next extrapolated value x'i))(t) = xi))(tN)); that is, take the last value that was reported. More elaborate extrapolation algorithms may use past values xi))(t-(tau)ij))), j(set membership)t(o, ... imax))). For example, linear extrapolation would use: Polynomial, spline-fit or neural - network extrapolation techniques use Equation 3. (See eg. W.H. Press, "Numerical Recipes", Cambridge University Press (1986), pp. 77-101)
Training of the neural net would actually use interpolated values, i.e., Equation 4, wherein the case of interpolation tN))>t.

Referring now to FIGURE 5b, there is illustrated an input data pattern and target output data pattern illustrating the pre-process operation for both preprocessing input data to provide time merged output...

- ...and also preprocessing the target output data to provide pre-processed target output data for training purposes. The data input x(t) is comprised of a vector with many inputs, x1...
- ...or interpolated to insure that all data is present on a single time scale. For **example**, if the data at x1))(t) were on a time scale of one **sample** every second, a **sample** represented by the time tk)), and the output time scale were **desired** to be the same, this would require time merging the rest of the data to...
- ...it is illustrated as being synchronized. The data buffer in FIGURE 4b is illustrated in **actual** time. The reconciliation could be as simple as holding the last **value** of the input x2))(t) until a new **value** is input thereto, and then discarding the old **value**. In this manner, an output will always exist. This would also be the case for...
- ...on one time scale and the data x2))(t) is on a different time scale **Additionally**, one **value** of the data set x1))(t) is illustrated as being bad, which piece of bad...
- ...the preprocessing mode fills in this bad data and then time merges it.

- In this example, the time scale for x1))(t) is utilized as a time scale for the time...
- ...the time merge data x1))'(t) is on the same time scale with the "cut" value filled in as a result of the preprocessing operation and the data set x2))(t...
- ...manually preprocess the data. In manual preprocessing of data, the data is viewed in a **desired** format by the operator and the operator can look at the data and eliminate, "cut" or otherwise modify obviously bad data **values**. This is to be compared to the automatic operation wherein all **values** are subjected to a **predetermined algorithm** to process the data. For **example**, if the operator noticed that one data **value** is significantly out of range with the normal **behavior** of the remaining data, this data **value** can be "cut" such that it is no longer present in the data set and...
- ...However, an algorithm could be generated that either cuts out all data above a certain **value** or clips the **values** to a **predetermined** maximum. The clipping to a **predetermined** maximum is an algorithmic operation that is described hereinbelow.

After displaying and processing the data...

- ...Y" block to a function block 80 to select a particular algorithmic process for a **given** set of data. After selecting the algorithmic process, the program flows to a function block...
- ...function block 80 along a "Y" path. Once all data has been subjected to the **desired** algorithmic processes, the program flows along a "N" path from decision block 84 to a...
- ...86 to store the sequence of algorithmic processes such that each data set has the **desired** algorithmic processes applied thereto in the sequence. Additionally, if the algorithmic process is not selected...
- ...process and then to a function block 100 to apply the algorithmic process to the **desired** set of data and then to a decision block 102 to determine whether additional sets...
- ...cut data in the data-set which then must be filled in by the appropriate time merge operation utilizing extrapolation, interpolation, etc. techniques. FIGURE 7a shows the raw data. FIGURE 7b shows the use of the cut data region tool 115. FIGURE 7b shows the points 108 and 110 highlighted by dots showing them as...
- ...appear as red. FIGURE 7d shows a vertical cut of the data, cutting across several variables simultaneously. Applying this causes all of the data points to be marked as cut, as...
- ...by a set of boundaries 112, which results are utilized to block out data. For **example**, if it were determined that data during a certain time period was invalid due to...
- ...for removing data, this is referred to as a manual manipulation of the data. However, algorithms can be applied to the data to change the value of that data. Each time the data is changed, it is rearranged in the spreadsheet...

- ...the various cutting tools and modify the stored data in accordance with these manipulations. For **example**, a **tool** could be utilized to manipulate multiple **variables** over a **given** time range to delete all of that data from the input database and reflect it...
- ...data set. The program then flows to a decision block 119 to determine if the variables have been selected and manipulated for display. If not, the program flows along an "N...
- ...display type and then to a function block 123 to display the data in the **desired** format. ...line back to the output of decision block 119 to determine if all of the **variables** have been selected. However, if the data is still in the modification stage, the program...
- ...from decision block 125 back to the input of decision block 119.

  Once all the **variables** have been selected and displayed, the program flows from decision block 119 along a "Y...
- ...this display, which display is comprised of a first numerical template 114, that provides a numerical keypad function. A window 116 is provided that displays the variable that is being operated on. The variables that are available are illustrated in a window 118 which illustrates the various variables. In this example, the various variables are arranged in groups, one group illustrating a first date and time and a second...
- ...date and time. This is prior to time merging. The illustrated window 118 has the **variables** temp1 and press 1 and the **variable** press2, it being noted that press2 is on a different time scale than temp1. A...
- $\dots$ 122 is provided that allows selection of various mathematical functions, logical functions, etc.
  - In the **example** illustrated in FIGURE 8, the **variable** tempi is selected to be processed and provide the **logarithmic** function thereof. In this manner, the **variable** temp 1 is first selected from window 118 and then the logarithmic function "LOG" is...
- ...the window 122. The left parentheses is then selected, followed by the selection of the variable temp1 from window 118 and then followed by the selection of the right parentheses from window 120. This results in the selection of an algorithmic process which comprises a logarithm of the variable temp1. This is then stored as a sequence, such that upon running the data through the run time sequence, data associated with the variable temp 1 has the logarithmic function applied thereto prior to inputting to the run time system model 26. This operation...
- ...After the data has been manually preprocessed, the algorithmic processes are applied thereto. In the **example** described above with reference to FIGURE 8, the **variable** tempi was processed by taking a **logarithm** thereof. This would result in a variation of the set of data associated with the **variable** temp 1. This is illustrated in Table 2. The sequence of operation associated therewith would...
- ...utilized. To perform this, the display of FIGURE 8 is again pulled up, and the **algorithmic** process selected. One **example** would be to take the **variable** temp 1 after time merge and add a **value** of 5000 to this

variable. This would result in each value in the column associated with the variable tempi being increased by that value. This would result in the data in ...is monitored at some point by flow meter 130, the flow meter 130 providing a variable output flowl. The flow continues to a process block 132, wherein various plant processes are...

- ...this process block. The process then flows to a temperature gauge 134 to output a **variable** temp1. The process then flows to a process block 136 to perform other plant processes...
- ...receiving plant inputs. The process then flows to a pressure gauge 138, this outputting a variable press 1. The process continues with various other process blocks 140 and other parameter measurement blocks 140. This results in an overall plant output 142 which is the desired plant output. It can be seen that numerous processes occur between the output of parameter flowl and the plant output 142. Additionally, other plant outputs such as pressl and tempi occur at different stages in the process. This results in delays between a measured parameter and an effect on the plant output.

Referring now to FIGURE 10, there is illustrated a timing diagram illustrating the various effects of the output variables from the plant and the plant output. The output variable flowl experiences a change at a point 144.

Similarly, the output variable tempi experiences a change at a point 146 and the variable pressl experiences a change at a point 148. However, the corresponding change in the output is not time synchronous with the changes in the variables . Referring to the diagram labelled OUTPUT, changes in the plant output occur at points 150, 152 and 154, for the respective changes in the variables at points 144-148, respectively. The change between points 144 and 150 and the variable flow1 and the output, respectively, experience a delay D2. The change in the output of point 152 associated with the change in the variable tempi occurs after delay D3. Similarly, the change in the output of point delay of D1. In accordance with one aspect of the present invention, these delays are accounted for during training, and; subsequently, during the run time operation, these delays are also accounted for. Referring now to FIGURE 11, there is illustrated a diagrammatic view of the delay for a given input variable x1))(t). It can be seen that a delay D is introduced to the system...

- ...t D), this output is then input to the network. As such, the measured plant variables now coincide in time with the actual effect that is realized in the measured output such that, during training, a system model can be trained with a more accurate representation of the system. Referring...
- ...the delay. Rather than provide an additional set of data for each delay that is desired, x(t+(tau)), variable length buffers are provided in each data set after preprocessing, the length of which corresponds... is provided which is selected as a tap output of the buffer 160 with a value of (tau) = 2. This results in the overall delay inputs to the training model 20. Additionally, these delays are stored as delay settings for use during the run...
- ...provided to the operator for selecting the various delays to be applied

- to the input variables and the output variables utilized in training. In this example, it can be seen that by selecting a delay for the variable tempi of -4.0, -3.50 and -3.00, three separate input variables have not been selected for input to the training model 20. Additionally, three separate outputs have been selected, one for delay 0.00, one...
- ...0.50 and one for a delay of 1.00 to predict present and future values of the variable. Each of these can be processed to vary the absolute value of the delays associated with the input variables. It can therefor be seen that a maximum buffer of -4.0 for an output...
- ...that it is not necessary to completely replicate the data in any of the delayed variable columns as a separate column, thus increasing the amount of memory utilized.

Referring now to FIGURE 14, there is illustrated a block...
...delays. A buffer 170 is illustrated having a length of N, which receives an input variable xn))'(t) from the preprocessor 12 to provide on the output thereof an output xnD))(t) as a delayed input to the training model 20. A multiplexer 172 is provided which has multiple inputs, ...a (tau)-select circuit 174 provided for selecting which of the taps to output. The value of (tau) is a function of other variables parameters such as temperature, pressure, flow rates, etc. For example, it may have been noted empirically that the delays are a function of temperature. As such, the temperature relationship could be placed in the block 74 and then the external parameters input and the value of (tau) utilized to select the various taps input to the multiplexer 172 for output...

- ...the various delay settings and functional relationships of the delay with respect to the external parameters are stored in the storage area 18. The external parameters can then be measured and the value of (tau) selected as a function of this temperature and the functional relationship provided by the information stored in the storage area 18. This is to be compared with the training operation wherein this information is externally input to the system. For example, with reference to FIGURE 13, it could be noticed that all of the delays for the variable temp must be shifted up by a value of 0.5 when the temperature reached a certain point. With the use of the...
- ...described with respect to FIGUREs 12 and 14, it is only necessary to vary the value of the control input to the multiplexers 172 associated with each of the variables, it being understood that in the example of FIGURE 13, three multiplexers 172 would be required for the variable temp1, since there are three separate input variables.

Referring now to FIGURE 15a, there is illustrated a block diagram of the preprocessing system for setting the delay **parameters**, which delay **parameters** are learned. For simplicity purposes, the preprocessing system is not illustrated; rather, a table 176...

- ...is achieved by a time delay adjustor 178, which time delay adjustor utilizes the stored **parameters** in a delayed **parameter** block 18'. The delay **parameter** block 18' is similar to the delay setting block 18, with the exception that absolute...
- ...contained therein. Rather, information relating to a window of data is stored in the delay parameter block 18'. The time delay adjustor 178 is

operable to select a window of data...

- ...each of the sets of data x1))' xn))' and convert this information into a single value for output therefrom as an input value in, inn)). These are directly input to a system model 26', which system model 26' is similar to the run-time system model 26 and the training model 20 in that it is realized with a non-linear neural network. The non-linear neural network is illustrated as having an input layer 179, a hidden layer 180 and an output...
- ...table 176 to the input layer 179. This mapping function is dependent upon the delay parameters in the delay parameter block 18'. As will be described hereinbelow, these parameters are learned under the control of a learning module 183, which learning module 183 is controlled during the network training in the training mode. It is similar to that described above with respect to FIGURE 1a.

  During learning...
- ...183 is operable to control both the time delay adjustor block 178 and the delay parameter block 18' to change the values thereof in training of the system model 26'. During training, target outputs are input to the output layer 182 and a set of training data input thereto in the form of the chart 176, it being noted that this is already preprocessed in accordance with the operation as described hereinabove. The model parameters of the system model 26' stored in the storage area 22 are then adjusted in accordance with a predetermined training algorithm to minimize the error. However, the error can only be minimized to a certain extent for a given set of delays. Only by setting the delays to their optimum values will the error be minimized to the maximum extent. Therefore, the learning module 183 is operable to vary the parameters in the delay parameter block 18' that are associated with the timing delay adjustor 178 in order to further...
- ...as Ci))((tau)i)), (alpha)i)), (beta)i))). Therefore, each of the data columns is parameterized via three numbers, the time lag value (tau)i)), the leading edge time-rise width (alpha)i)) and the trailing edge width (beta)i)). The inputs to the neural network representing the system model 26' would then be the convolution of this time-lag window and the data from the taps from the associated column. The input value would be as follows:
  - Or, the discretely: where, e.g., Equation 4 represents a Gaussian window. Given this function for each of the inputs, the network can then learn on the parameters (tau)i), (alpha)i)) and (beta) ... To achieve the above learning, an error function is required. This error function utilizes the neural network error function as follows: where the value y(j) is the target of the network and the value o(j) is the output of the net and NPATS)) is the number of training patterns. The output of the network is dependent on several parameters: where, Wkl)) is the matrix of neural network weights, learned by gradient descent: and Ci)) is the convolution window with parameters (tau)i)), (alpha)i)) and (beta)i)) are also learned by gradient descent; that is...
- ...more slowly than Wkl)). That is, (eta)w)) is approximately equal to ten times the **value** of (eta)(tau))) and (eta)(tau))) is approximately equal to (eta)(alpha))) and is approximately...

Referring now to FIGURE 16, there is illustrated a schematic view of a conventional neural network utilized for the training model 20 and for the run time system model 26. The neural network is a multi-layer network comprised of a plurality of input nodes 186 and a...

- ...each of the output nodes 188 or select ones thereof. The weighted interconnections and the values thereof define the stored representation, and these weights are the values that are learned during the training operation. In general, the learning operation comprises target data input to the output nodes 188, which are utilized for a compare operation and then a training algorithm, such as a back propagation technique is utilized, as illustrated by block 192. This...

  ..will be described hereinbelow, this network is trained through any one of a number of training algorithms and architectures such as Radial Basis Functions, Gaussian Bars, or conventional Backpropagation techniques. The Backpropagation learning technique is generally described in D...
- ...which document is incorporated herein by reference. In this type of algorithm, a set of **training** data is input to the input layer 186 to generate an output, which output in...
- ...error back propagated from the output layer 188 to the input layer 186 with the **values** of the weights on the input interconnect layer and the output interconnect layer changed in accordance with the gradient descent technique. Initially, the error is very large, but as **training** data is sequentially applied to the input, and this compared to corresponding target output data...
- ...FIGURE 17, there is illustrated a flowchart illustrating the determination of time delays for the **training** operation. This flowchart is initiated at a block 198 and then flows to a function...
- ...to FIGURE 13. The program then flows to a decision block 202 to determine whether variable Is are to be selected. The program flows along a "Y" path to a function block 204 to receive an external input and vary the value of (tau) in accordance with the relationship selected by the operator, this being a manual operation in the training mode. The program then flows to a decision block 206 to determine whether the value of (tau) is to be learned by an adaptive algorithm. If variable (tau)s are not to be selected in the decision block 202, the program then flows around the function block 204 along the "N" path thereof.

If the value of (tau) is to be learned adaptively, the program flows from the decision block 206 to a function block 208 to learn the value of (tau) adaptively. The program then flows to a function block 210 to save the value of (tau). If no adaptive learning is required, the program flows from the decision block 206 along the "N" path to function block 210. After the (tau) parameters have been determined, the model 20 is trained, as indicated by a function block 212 and then the parameters stored, as indicated by a function block 214 and then the program flows to a...block 236.

Function block 236 flows to a decision block 238 to determine whether the **value** of (tau) is to be varied. If so, the program flows to a function block 240 to set the **value** of (tau) **variably**, then to the input of a function block 242 and, if not, the program flows...

...run time inputs and then flows to a function block 244 to load the model parameters . The program then flows to a function block 246 to process

the generated inputs through...

- ...now to FIGURE 19, there is illustrated a flowchart for the operation of setting the value of (tau) variably. The program is initiated at a block 252 and then proceeds to a function block 254 to receive the external control input. The value of (tau) is varied in accordance with the relationship stored in the storage area 14...
- ...The data is then preprocessed in the preprocess block 34 in accordance with the preprocess parameters stored in the storage area 14. The data is then delayed in the delay block...
- ...this delay block 18 also receiving the external block control input, which is comprised of parameters on which the value of (tau) depends to provide the variable setting operation that was utilized during the training mode. The output of the delay block is then input to a selection block 260...
- ...a control model 264 is provided. Both models 262 and 264 are identical to the **training** model 20 and utilize the same **parameters**; that is, models 262 and 264 have stored therein a representation of the system that was trained in the **training** model 20. The predictive system model 262 provides on the output thereof a predictive output...

#### ...DCS 24.

In summary, there has been provided a system for preprocessing data prior to training the model. The preprocessing operation is operable to provide a time merging of the data such that each set of input data is input to a training system model on a uniform time base. Furthermore, the preprocessing operation is operable to fill in missing or bad data. Additionally, after preprocessing, predetermined plant delays are associated with each of the variables to generate delayed inputs. These delayed inputs are then input to a training model and the training model trained in accordance with a predetermined training algorithm to provide a representation of the system. This representation is stored as model parameters . Additionally , the preprocessing steps utilized to preprocess the data are stored as a sequence of preprocessing algorithms and the delay values that are determined during training are also stored. A distributed control system that can be controlled to process the output parameters therefrom in accordance with the process algorithms and set delays in accordance with the predetermined delay settings. A predictive system model, or a control model, is then built on the stored model parameters and the delayed inputs input thereto to provide a predicted output. This predicted output provides...

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#### 00645733

PREDICTIVE NETWORKS AND METHOD WITH LEARNED PREPROCESSING PARAMETERS

VORAUSSCHAUENDE NETZWERKE UND VERFAHREN MIT GELERNTEN

VORARBEITUNGSPARAMETERS

RESEAUX PREDICTIFS ET METHODE AVEC PARAMETRES DE PRETRAITEMENT APPRIS PATENT ASSIGNEE:

PAVILION TECHNOLOGIES INC., (1744350), 3500 West Balcones Center Drive, Austin, TX 78759, (US), (Proprietor designated states: all)

INVENTOR:

KEELER, James, David, 12701 Shemya Cove, Austin, TX 78729, (US)
HARTMAN, Eric, J., 8902 Slayton Road, Austin, TX 78753, (US)
O'HARA, Steven, A., 3006 Fox Hollow, Round Rock, TX 78681, (US)
KEMPF, Jill, L., 2410-B Sharon Lane, Austin, TX 78703, (US)
GODBOLE, Devendra, B., 6805 Wood Hollow Drive, 228, Austin, TX 78731, (US)

#### LEGAL REPRESENTATIVE:

Lawrence, Malcolm Graham (47878), Hepworth, Lawrence, Bryer & Bizley Merlin House Falconry Court Baker's Lane, Epping Essex CM16 5DQ, (GB) PATENT (CC, No, Kind, Date): EP 680639 A1 951108 (Basic)

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PREDICTIVE NETWORKS AND METHOD WITH LEARNED PREPROCESSING PARAMETERS RESEAUX PREDICTIFS ET METHODE AVEC PARAMETRES DE PRETRAITEMENT APPRIS

...SPECIFICATION processing of the data so as to account for time synchronization, time-delays, transforms and **variable** time-delays prior to input to a network for either **training** of the network or running of the network.

# BACKGROUND OF THE INVENTION

A common problem that is encountered in training neural networks for prediction, forecasting, pattern recognition, sensor validation and/or processing problems is that some of the training /testing patterns might be missing, corrupted, and/or incomplete. Prior systems merely discarded data with the result that some areas of the input space may not have been covered during training of the neural network.

For **example**, if the network is utilized to learn the **behavior** of a chemical plant as a function of the historical sensor and **control settings**, these sensor readings are typically **sampled** electronically, entered by hand from gauge readings and/or entered by hand from laboratory results...

- ...a common occurrence that some or all of these readings may be missing at a given time. It is also common that the various values may be sampled on different time intervals. Additionally, any one value may be "bad" in the sense that after the value is entered, it may be determined by some method that a data item was, in...
- ...bad" or "missing" data. The "Swiss-cheese" data table described above occurs quite often in real -world problems.

Conventional neural network training and testing methods require complete patterns such that they are required to discard patterns with missing or bad data. The deletion of the bad data in this manner is an inefficient method for training a neural network . For example , suppose that a neural network has ten inputs and ten outputs, and also suppose that one of the inputs or outputs happens to be missing at the desired time for fifty percent or more of the training patterns . Conventional methods would discard these patterns , leading to training for those patterns during the training mode and no reliable predicted output during the run mode. This is inefficient, considering that for this case more than ninety percent of the information is still there for the patterns that conventional methods would discard. The predicted output corresponding to those certain areas will be...

...the overall data after screening bad or missing data. Additionally, experimental results have shown that neural network testing performance generally increases with more training data, such that throwing away bad or incomplete data decreases the overall performance of the neural network.

In addition to the above, when data is retrieved on different time scales, it is...

- ...the data on a common time scale. However, this is difficult in that for a **given** time scale, another and longer time scale results in missing data at that position. For **example**, if one set of data were taken on an hourly basis and another set of...
- ...all data is presented at synchronized times to the system model. Worse yet, the data **sample** periods may be non-periodic, producing totally asynchronous data.

In addition, this data may be...

- ...be able to read all of these different data formats, keeping track of the data value and the time-stamp of the data out to one or more "flat files" which are column oriented, each column corresponding to a data variable and/or the data/time stamp of the variable. It is a formidable task to retrieve this data keeping track of the date-time...
- ...aspect of data integrity is that with respect to inherent delays in a system. For **example**, in a chemical processing system, a flow meter output can provide data at time t0)) at a **given value**. However, a **given** change in flow resulting in a different reading on the flow meter may not affect the output for a **predetermined** delay (tau). In order to predict what the output would be, this flow meter output...

- ...network at a delay equal to (tau). This must also be accounted for in the **training** of the network. In generating data that accounts for time delays, it has been postulated...
- ...original data, wherein only the delayed data is utilized. Further, in order to change the **value** of the delay, an entirely new set of input data must be generated off the original set.

The document NEURAL NETWORKS , vol. 4, no. 2, 1991, OXFORD, GB, pages 185 - 191; LEVIN ' Neural network architecture for adaptive system modeling and control' discloses a computing architecture for adaptive control and system modeling based on nonlinear discrete neural networks , which are massively parallel and have distributed structures for signal processing, with the potential of dynamical learning. The document proposes a delayed-input, delayed-state network architecture and its general training scheme. A solution for the problem of analog signals representation is suggested therein. In this document, the output network acting as a plant controller ...respectively. According to a first embodiment the network includes a data storage device for storing training data from a runtime system. The network operates in two modes, a runtime mode and a training mode. In the runtime mode, runtime data is received from the runtime system and, in the training mode, data is retrieved from the data storage device, the training data being both training input data and training output data. A data preprocessor is provided for preprocessing received data in accordance with predetermined preprocessing parameters to output preprocessed data. A network is provided having an input for receiving the preprocessed...

- ...an output through a stored representation of the runtime system in accordance with associated model parameters. A control device controls the data preprocessor to operate in either the training mode or the runtime mode. In the runtime mode, the preprocessor is operable to process the stored training data and output preprocessed training data. In the runtime mode, the preprocessor is operable to preprocess runtime data received from the runtime system to output preprocessed runtime data. A training device is operable in the training mode to train the network on the training data in accordance with a predetermined training algorithm to define the model parameters on which the network operates such that the network operates in the runtime mode to...
- ...another embodiment of the present invention, an input device is provided for determining the preprocessing parameters in accordance with predetermined criteria. A parameter storage device is operable to store the determined preprocessing parameters after determination by the input device. The data preprocessor is controlled by the control device to select the determined preprocessing parameters from the parameter storage device in the runtime mode and, in the training mode, the data preprocessor is controlled by the input device and the determined preprocessing parameters generated thereby.

In yet another embodiment of the present invention, the data preprocessor is comprised...

...data being on different time scales. A time merge device is operable to select a **predetermined** time scale and reconcile the received data such that all the received data is placed...

- ...preprocessed data. The data preprocessor further includes a pre-time merge processor for applying a **predetermined** algorithm to the received data prior to input to the time merge device. A post-time merge processor is provided for applying a **predetermined** algorithm to the data output by the time merge device prior to output as the...
- ...selective delay applied thereto prior to input to the network in both the runtime and training modes.

#### BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention...

...1 illustrates an overall block diagram of the system for both preprocessing data during the **training** mode and for preprocessing data during the run mode;

FIGURE la illustrates a simplified block...

#### ...of FIGURE 1;

FIGURE 2 illustrates a detailed block diagram of the preprocessor in the training mode;

FIGURE 3 illustrates a simplified block diagram of the time merging operation, which is...

...a block diagram of a plan depicting the various places in the process flow that parameters occur relative to the plant output;

FIGURE 10 illustrates a diagrammatic view of the relationship between the various plant parameters and the plant output;

FIGURE 11 illustrates a diagrammatic view of the delay provided for input data  ${\tt patterns}$ ;

FIGURE 12 illustrates a diagrammatic view of the buffer formation for each of the network...

...the display for selection of the delays associated with various inputs and outputs in the **neural network** model;

FIGURE 14 illustrates a block diagram for a **variable** delay selection;

FIGURE 15a illustrates a block diagram of the adaptive determination of the delay;

FIGURE 15b illustrates **examples** of the time-delay functions used in adaptive or **variable** time-delay modes;

FIGURE 16 illustrates a diagrammatic view of a conventional multi-layer neural network;

FIGURE 17 illustrates a flowchart depicting the time delay operation;

FIGURE 18 illustrates a flowchart depicting the run mode operation;

FIGURE 19 illustrates a flowchart for setting the value of the variable delay; and

FIGURE 20 illustrates a block diagram of the interface of the run time

...there is illustrated an overall block diagram of the data preprocessing operation in both the **training** mode and the run time mode. In the **training** mode, one of more data files 10 are provided, which data files include both input **training** data and output **training** data. The **training** data is arranged in "sets", which sets correspond to different plant **variables**, and which may be **sampled** at different time intervals. This data is referred to as the "raw" data. When the...done in such a manner as to keep track of the time stamp for each **variable**. Thus, the "raw" data is organized as time, **value** pairs of columns; that

- is, for each variable xi)), there is its associated time of sample ti)). The data can then be grouped into sets (xi)), ti))).

  If any of the...
- ...data will be grouped in common time scale groups, and data that is on, for **example**, a fifteen minute **sample** time scale will be grouped together and data **sampled** on a one hour **sample** time scale will be grouped together. However, any type of format that provides viewing of...
- ...hereinbelow. During operation, the preprocessor 12 is operable to store various preprocessing algorithms in a **given** sequence in a storage area 14. As will be described hereinbelow, the sequence defines the...
- ...data. This operation can be performed on both the target output data and the input **training** data. The delay settings are stored in a storage area 18 after determination thereof.

The output of the delay block 16 is input to a **training** model 20. The **training** model 20 is a non-linear model that receives input data and compares it with...

...for predicting the target output data from the input data. In the preferred embodiment, the **training** model utilizes a multi-layered **neural network** that is trained on one of multiple methods, one being Back Propagation. Various weights within the network are set during the Back Propagation **training** operation, and these are stored as model **parameters** in a storage area 22. The **training** operation and the **neural network** are conventional systems.

A Distributed Control System (DCS) 24 is provided that is operable to generate various system measurements and **control settings** representing system **variables** such as temperature, flow rates, etc., that comprise the input data to a system model...

- ...inputs to the DCS 24. The run time system model 26 is utilizing the model parameters stored in the storage area 22. It should be noted that the run time system model 26 contains a representation learned during the training operation, which representation was learned on the preprocessed data. Therefore, data generated by the DCS...
- ...sequence of preprocessing algorithms stored in the storage area 14, which were generated during the **training** operation. The output of the run time preprocessor 34 is input to a run time...
- ...the preprocessor 34', the delay 36' and the system model 26' operate in both a **training** mode and a run-time mode. A multiplexer 35 is provided that receives the output...
- variables of the DCS 24, the output of the DCS 24, this providing plant variables of the DCS 24, the output of the multiplexer input to the preprocessor 34'. A control device 37 is provided that controls the multiplexer 35 to select either a training mode or a run-time mode. In the training mode, the data file(s) 10 has the output thereof selected by a multiplexer and the preprocessor 34' is operable to preprocess the data in accordance with a training mode, i.e., the preprocessor 34' is utilized to determine what the predetermined algorithm sequence is that is stored in the storage area 14. An input/output device I/O 41 is provided for allowing the operator to interface with the control device 37. The delay 36' is also controlled by the control device 37

to determine the delay settings for storage in the storage area 18. The system model 26' is operated in a **training** mode such that the target data and the input data to the system model 26' are generated, the **training** controlled by **training** block 39. The **training** block 39 is operable to select one of multiple **training** algorithms, such as back propagation, for **training** of the system model 26'. The model **parameters** are stored in the storage area 22.

After training, the control device 37 places the system in a run-time mode such that the preprocessor 34' is...

- ...there is illustrated a more detailed block diagram of the preprocessor 12 utilized during the **training** mode. In general, there are three stages to the preprocessing operation. The central operation is...
- ...is operable to store the sequence of the various processes that are determined during the **training** mode, these interfaced with a bi-directional bus 60. During the **training** mode, the controller 46 determines which of the functional algorithms are to be applied to...
- ...seen that the waveform associated with x1))(t) has only a certain number, n, of sample points associated therewith. The time-merge operation is a transform that takes one or more columns of data, xi))(ti))), such as that shown in FIGURE 4a, with ni)) time samples at times ti))'. That is, the time-merge operation is a function, (OMEGA), that produces a new set of data (x') on a new time sale t' from the given set of data x(t) sampled at t. This function is done via a variety of conventional extrapolation, interpolation, or box...
- ...as a C-language callable function as: where xi)), ti)) are vectors of the old values and old times; xi))'. . . xk))' are vectors of the new values; and t' is the new time-scale vector.

  Referring now to FIGURE 5a, there is...
- ... The data table consists of data with time disposed along a vertical scale and the samples disposed along a horizontal scale. Each sample comprises many different pieces of data with two data intervals illustrated. It can be seen that when the data is examined for both the data sampled at the time interval "1" and the data sampled at the time interval "2", that some portions of the data result in incomplete patterns . This is illustrated by a dotted line 63, where it can be seen that some data is missing in the data sampled at time interval "1" and some is missing in time interval "2". A complete neural pattern is illustrated box 64, where all the data is complete. Of interest is the time difference between the data sampled at time interval "1" and the data sampled at time interval "2". In time interval "1", the data is essentially present for all steps in time, whereas data sampled at time interval "2" is only sampled periodically relative to data sampled at time interval "1". As such, a data reconciliation procedure is implemented that fills in the missing data and also reconciles between the time samples in time interval "2" such that the data is complete for all time samples for both time interval "1" and time interval "2".

The neural network models that are utilized for time-series prediction and control require that the time-interval between successive training patterns be constant. Since the data that comes in from real -world systems is not always on the same time scale, it is desirable to time-merge the data before it can be used for training or running the

**neural network** model. To achieve this time-merge operation, it may be necessary to extrapolate, interpolate, average...

- ...the data in each column over each time-region so as to give an input value x'(t) that is on the appropriate time-scale. All of these are referred to...
- ...algorithm utilized may include linear estimates, spline-fit, boxcar algorithms, etc. If the data is **sampled** too frequently in the time-interval, it will be necessary to smooth or average the data to get a **sample** on the **desired** time scale. This can be done by window averaging techniques, sparse- **sample** techniques or spline techniques.

In general, x'(t) is a function of all of the raw values x(t) given at present and past times up to some maximum past time, Xmax. That is, where some of the values of x(t) (tj)) may be missing or bad.

This method of finding x'(t) using past values is strictly extrapolation. Since the system only has past values available during runtime mode, the values must be reconciled. The simplest method of doing this is to take the next extrapolated value x'i))(t) = xi))(tN))); that is, take the last value that was reported. More elaborate extrapolation algorithms may use past values xi))(t-(tau)ij))), jet(o, ... imax))). For example, linear extrapolation would use: Polynomial, spline-fit or neural - network extrapolation techniques use Equation 3. (See eg. W.H. Press, "Numerical Recipes", Cambridge University Press (1986), pp. 77-101) Training of the neural net would actually use interpolated values, i.e., Equation 4, wherein the case of interpolation tN))>t.

Referring now to FIGURE 5b, there is illustrated an input data **pattern** and target output data **pattern** illustrating the pre-process operation for both preprocessing input data to provide time merged output...

- ...also pre-processing the target output data to provide pre-processed target output data for training purposes. The data input x(t) is comprised of a vector with many inputs, x1...
- ...or interpolated to insure that all data is present on a single time scale. For **example**, if the data at x1))(t) were on a time scale of one **sample** every second, a **sample** represented by the time tk)), and the output time scale were **desired** to be the same, this would require time merging the rest of the data to...
- ...it is illustrated as being synchronized. The data buffer in FIGURE 4b is illustrated in actual time. The reconciliation could be as simple as holding the last value of the input x2))(t) until a new value is input thereto, and then discarding the old value. In this manner, an output will always exist. This would also be the case for...
- ...on one time scale and the data x2))(t) is on a different time scale.

  Additionally, one value of the data set x1))(t) is illustrated as being bad, which piece of bad...
- ...the preprocessing mode fills in this bad data and then time merges it. In this example, the time scale for x1))(t) is utilized as a time scale for the time...
- ...the time merge data x1))'(t) is on the same time scale with the "cut" value filled in as a result of the preprocessing operation and the data set x2))(t...

- ...manually preprocess the data. In manual preprocessing of data, the data is viewed in a **desired** format by the operator and the operator can look at the data and eliminate, "cut" or otherwise modify obviously bad data **values**. This is to be compared to the automatic operation wherein all **values** are subjected to a **predetermined algorithm** to process the data. For **example**, if the operator noticed that one data **value** is significantly out of range with the normal **behavior** of the remaining data, this data **value** can be "cut" such that it is no longer present in the data set and...
- ...However, an algorithm could be generated that either cuts out all data above a certain value or clips the values to a predetermined maximum. The clipping to a predetermined maximum is an algorithmic operation that is ...Y" block to a function block 80 to select a particular algorithmic process for a given set of data. After selecting the algorithmic process, the program flows to a function block...
- ...function block 80 along a "Y" path. Once all data has been subjected to the **desired** algorithmic processes, the program flows along a "N" path from decision block 84 to a...
- ...86 to store the sequence of algorithmic processes such that each data set has the **desired** algorithmic processes applied thereto in the sequence. Additionally, if the algorithmic process is not selected...
- ...process and then to a function block 100 to apply the algorithmic process to the **desired** set of data and then to a decision block 102 to determine whether additional sets...
- ...cut data in the data-set which then must be filled in by the appropriate time merge operation utilizing extrapolation, interpolation, etc. techniques. FIGURE 7a shows the raw data. FIGURE 7b shows the use of the cut data region tool 115. FIGURE 7b shows the points 108 and 110 highlighted by dots showing them as...
- ...appear as red. FIGURE 7d shows a vertical cut of the data, cutting across several variables simultaneously. Applying this causes all of the data points to be marked as cut, as...
- ...by a set of boundaries 112, which results are utilized to block out data. For **example**, if it were determined that data during a certain time period was invalid due to...
- ...for removing data, this is referred to as a manual manipulation of the data. However, algorithms can be applied to the data to change the value of that data. Each time the data is changed, it is rearranged in the spreadsheet...
- ...the various cutting tools and modify the stored data in accordance with these manipulations. For example, a tool could be utilized to manipulate multiple variables over a given time range ...data set. The program then flows to a decision block 119 to determine if the variables have been selected and manipulated for display. If not, the program flows along an "N...
- ...display type and then to a function block 123 to display the data in the

**desired** format. The program then flows to a decision block 125 to indicate the operation wherein...

- ...line back to the output of decision block 119 to determine if all of the variables have been selected. However, if the data is still in the modification stage, the program...
- ...from decision block 125 back to the input of decision block 119.

  Once all the **variables** have been selected and displayed, the program flows from decision block 119 along a "Y...
- ...this display, which display is comprised of a first numerical template 114, that provides a numerical keypad function. A window 116 is provided that displays the variable that is being operated on. The variables that are available are illustrated in a window 118 which illustrates the various variables. In this example, the various variables are arranged in groups, one group illustrating a first date and time and a second...
- ...date and time. This is prior to time merging. The illustrated window 118 has the variables templ and pressl and the variable press2, it being noted that press2 is on a different time scale than templ. A...
- ...122 is provided that allows selection of various mathematical functions, logical functions, etc.

In the example illustrated in FIGURE 8, the variable templ is selected to be processed and provide the logarithmic function thereof. In this manner, the variable templ is first selected from window 118 and then the logarithmic function "LOG" is selected from the window 122. The left parentheses is then selected, followed by the selection of the variable templ from window 118 and then followed by the selection of the right parentheses from window 120. This results in the selection of an algorithmic process which comprises a logarithm of the variable templ. This is then stored as a sequence, such that upon running the data through the run time sequence, data associated with the variable templ has the logarithmic function applied thereto prior to inputting to the run time system model 26. This operation...

...After the data has been manually preprocessed, the algorithmic processes are applied thereto. In the **example** described above with reference to FIGURE 8, the **variable** templ was processed by taking a **logarithm** thereof. This would result in a variation of the set of data associated with the **variable** templ. This is illustrated in Table 2.

The sequence of operation associated therewith would define...utilized. To perform this, the display of FIGURE 8 is again pulled up, and the algorithmic process selected. One example would be to take the variable templ after time merge and add a value of 5000 to this variable. This would result in each value in the column associated with the variable templ being increased by that value. This would result in the data in Table 4.

The sequence would then be updated...

- ...is monitored at some point by flow meter 130, the flow meter 130 providing a **variable** output flow1. The flow continues to a process block 132, wherein various plant processes are...
- ...this process block. The process then flows to a temperature gauge 134 to output a **variable** templ. The process then flows to a process block 136 to perform other plant processes...

...receiving plant inputs. The process then flows to a pressure gauge 138, this outputting a variable pressl. The process continues with various other process blocks 140 and other parameter measurement blocks 140. This results in an overall plant output 142 which is the desired plant output. It can be seen that numerous processes occur between the output of parameter flowl and the plant output 142. Additionally, other plant outputs such as pressl and templ occur at different stages in the process. This results in delays between a measured parameter and an effect on the plant output.

Referring now to FIGURE 10, there is illustrated a timing diagram illustrating the various effects of the output variables from the plant and the plant output. The output variable flowl experiences a change at a point 144. Similarly, the output variable templ experiences a change at a point 146 and the variable press1 experiences a change at a point 148. However, the corresponding change in the output is not time synchronous with the changes in the variables . Referring to the diagram labelled OUTPUT, changes in the plant output occur at points 150, 152 and 154, for the respective changes in the variables at points 144-148, respectively. The change between points 144 and 150 and the variable flow1 and the output, respectively, experience a delay D2. The change in the output of point 152 associated with the change in the variable templ occurs after delay D3. Similarly, the change in the output of point 154 associated with the change in the variable press1 occurs after a delay of D1. In accordance with one aspect of the present invention, these delays are accounted for during training, and, subsequently, during the run time operation, these delays are also accounted for.

Referring now to FIGURE 11, there is illustrated a diagrammatic view of the delay for a **given** input **variable** x1))(t). It can be seen that a delay D is introduced to the system...

- ...t D), this output is then input to the network. As such, the measured plant variables now coincide in time with the actual effect that is realized in the measured output such that, during training, a system model can be trained with a more accurate representation of the system. Referring...
- ...the delay. Rather than provide an additional set of data for each delay that is **desired**, x(t+(tau)), **variable** length buffers are provided in each data set after preprocessing, the length of which corresponds...
- ...is provided which is selected as a tap output of the buffer 160 with a value of (tau) = 2. This results in the overall delay inputs to the training model 20. Additionally, these delays are stored as delay settings for use during the run...provided to the operator for selecting the various delays to be applied to the input variables and the output variables utilized in training. In this example, it can be seen that by selecting a delay for the variable templ of -4.0, -3.50 and -3.00, three separate input variables have not been selected for input to the training model 20. Additionally, three separate outputs have been selected, one for delay 0.00, one...
- ...0.50 and one for a delay of 1.00 to predict present and future values of the variable. Each of these can be processed to vary the absolute value of the delays associated with the input variables. It can therefor be seen that a maximum buffer of -4.0 for an output...
- ...that it is not necessary to completely replicate the data in any of the

delayed variable columns as a separate column, thus increasing the amount of memory utilized.

Referring now to FIGURE 14, there is illustrated a block...

- ...delays. A buffer 170 is illustrated having a length of N, which receives an input variable xn))'(t) from the preprocessor 12 to provide on the output thereof an output xnD))(t) as a delayed input to the training model 20. A multiplexer 172 is provided which has multiple inputs, one from each of...
- ...a (tau)-select circuit 174 provided for selecting which of the taps to output. The value of (tau) is a function of other variables parameters such as temperature, pressure, flow rates, etc. For example, it may have been noted empirically that the delays are a function of temperature. As such, the temperature relationship could be placed in the block 74 and then the external parameters input and the value of (tau) utilized to select the various taps input to the multiplexer 172 for output...
- ...the various delay settings and functional relationships of the delay with respect to the external parameters are stored in the storage area 18. The external parameters can then be measured and the value of (tau) selected as a function of this temperature and the functional relationship provided by the information stored in the storage area 18. This is to be compared with the training operation wherein this information is externally input to the system. For example, with reference to FIGURE 13, it could be noticed that all of the delays for the variable temp1 must be shifted up by a value of 0.5 when the temperature reached a certain point. With the use of the...
- ...described with respect to FIGURES 12 and 14, it is only necessary to vary the value of the control input to the multiplexers 172 associated with each of the variables, it being understood that in the example of FIGURE 13, three multiplexers 172 would be required for the variable temp1, since there are three separate input variables.

Referring now to FIGURE 15a, there is illustrated a block diagram of the preprocessing system for setting the delay **parameters**, which delay **parameters** are learned. For simplicity purposes, the preprocessing system is not illustrated; rather, a table 176...

- ...is achieved by a time delay adjustor 178, which time delay adjustor utilizes the stored **parameters** in a delayed **parameter** block 18'. The delay **parameter** block 18' is similar to the delay setting block 18, with the exception that absolute...
- ...contained therein. Rather, information relating to a window of data is stored in the delay **parameter** block 18'. The time delay adjustor 178 is operable to select a window of data...
- ...each of the sets of data x1))' xn))' and convert this information into a single value for output therefrom as an input value in1)) inn)). These are directly input to a system model 26', which system model 26' is similar to the run-time system model 26 and the training model 20 in that it is realized with a non-linear neural network. The non-linear neural network is illustrated as having an input layer 179, a hidden layer 180 and an output...
- ...table 176 to the input layer 179. This mapping function is dependent

upon the delay parameters in the delay parameter block 18'. As will be described hereinbelow, these parameters are learned under the control of a learning module 183, which learning module 183 is controlled during the network training in the training mode. It is similar to that described above with respect to FIGURE 1a.

During learning...

- ...183 is operable to control both the time delay adjustor block 178 and the delay parameter block 18' to change the values thereof in training of the system model 26'. During training, target outputs are input to the output layer 182 and a set of training data input thereto in the form of the chart 176, it being noted that this is already preprocessed accordance with the operation as described hereinabove. The model parameters of the system model 26' stored in the storage area 22 are then adjusted in accordance with a predetermined training algorithm to minimize the error. However, the error can only be minimized to a certain extent for a given set of delays. Only by setting the delays to their optimum values will the error be minimized to the maximum extent. Therefore, the learning module 183 is operable to vary the parameters in the delay parameter block 18' that are associated with the timing delay adjustor 178 in order to further...
- ...as Ci))((tau)i), (alpha)i)), (beta)i))). Therefore, each of the data columns is parameterized via three numbers, the time lag value (tau)i)), the leading edge time-rise width (alpha)i)) and the tailing edge width (beta)i)). The inputs to the neural network representing the system model 26' would then be the convolution of this time-lag window and the data from the taps from the associated column. The input value would be as follows: Or, the discretely: where, e.g., Equation 4 represents a Gaussian window. Given this function for each of the inputs, the network can then learn on the parameters (tau)i), (alpha)i)) and (beta)i)).

To achieve the above learning, an error function is required. This error function utilizes the **neural network** error function as follows: where the **value** y(j) is the target of the network and the **value** o(j) is the output of the net and NPATS)) is the number of **training patterns**. The output of the network is dependent on several **parameters**: where, Wkl)) is the matrix of **neural network** weights, learned by gradient descent: and Ci)) is the convolution window with **parameters** (tau)i)), (alpha)i)) and (beta)i)) are also learned by gradient descent; that is...

...more slowly than Wkl)). That is, (eta)w)) is approximately equal to ten times the **value** of (eta)(tau))) and (eta)(tau))) is approximately equal to (eta)(alpha))) and is approximately...

## ...error.

Referring now to FIGURE 16, there is illustrated a schematic view of a conventional neural network utilized for the training model 20 and for the run time system model 26. The neural network is a multi-layer network comprised of a plurality of input nodes 186 and a...

...each of the output nodes 188 or select ones thereof. The weighted interconnections and the values thereof define the stored representation, and these weights are the values that are learned during the training operation. In general, the learning operation comprises target data input to the output nodes 188, which are utilized

for a compare operation and then a **training** algorithm, such as a back propagation technique is utilized, as illustrated by block 192. This...

- ...will be described hereinbelow, this network is trained through any one of a number of training algorithms and architectures such as Radial Basis Functions, Gaussian Bars, or conventional Backpropagation techniques. The Backpropagation learning technique is generally described in D...
- ...which document is incorporated herein by reference. In this type of algorithm, a set of **training** data is input to the input layer 186 to generate an output, which output in...
- ...error back propagated from the output layer 188 to the input layer 186 with the values of the weights on the input interconnect layer and the output interconnect layer changed in accordance with the gradient descent technique. Initially, the error is very large, but as training data is sequentially applied to the input, and this compared to corresponding target output data...
- ...FIGURE 17, there is illustrated a flowchart illustrating the determination of time delays for the **training** operation. This flowchart is initiated at a block 198 and then flows to a function...
- ...to FIGURE 13. The program then flows to a decision block 202 to determine whether variable (tau)s are to be selected. The program flows along a "Y" path to a function block 204 to receive an external input and vary the value of (tau) in accordance with the relationship selected by the operator, this being a manual operation in the training mode. The program then flows to a decision block 206 to determine whether the value of (tau) is to be learned by an adaptive algorithm. If variable (tau)s are not to be selected in ...program then flows around the function block 204 along the "N" path thereof.

If the value of (tau) is to be learned adaptively, the program flows from the decision block 206 to a function block 208 to learn the value of (tau) adaptively. The program then flows to a function block 210 to save the value of (tau). If no adaptive learning is required, the program flows from the decision block 206 along the "N" path to function block 210. After the (tau) parameters have been determined, the model 20 is trained, as indicated by a function block 212 and then the parameters stored, as indicated by a function block 214 and then the program flows to a...

# ...block 236.

Function block 236 flows to a decision block 238 to determine whether the **value** of (tau) is to be varied. If so, the program flows to a function block 240 to set the **value** of (tau) **variably**, then to the input of a function block 242 and, if not, the program flows...

- ...run time inputs and then flows to a function block 244 to load the model parameters . The program then flows to a function block 246 to process the generated inputs through...
- ...now to FIGURE 19, there is illustrated a flowchart for the operation of setting the value of (tau) variably. The program is initiated at a block 252 and then proceeds to a function block 254 to receive the external control input. The value of (tau) is varied in accordance with

the relationship stored in the storage area 14...

- ... The data is then preprocessed in the preprocess block 34 in accordance with the preprocess **parameters** stored in the storage area 14. The data is then delayed in the delay block...
- ...this delay block 18 also receiving the external block control input, which is comprised of parameters on which the value of (tau) depends to provide the variable setting operation that was utilized during the training mode. The output of the delay block is then input to a selection block 260...
- ...a control model 264 is provided. Both models 262 and 264 are identical to the **training** model 20 and utilize the same **parameters**; that is, models 262 and 264 have stored therein a representation of the system that was trained in the **training** model 20. The predictive system model 262 provides on the output thereof a predictive output...
- ...has been provided a predictive network for operating in a runtime mode and in a **training** mode with a data preprocessor for preprocessing the data prior to input to a system...
- ...mapping the input layer to the output layer through a representation of a runtime system. Training data derived from the training system is stored in a data file, which training data is preprocessed by a data preprocessor to generate preprocessed training data. which is then input to the non-linear network and trained in accordance with a predetermined training algorithm. The model parameters of the non-linear network are then stored in a storage device for use by...
- ....runtime data is preprocessed by the data preprocessor in accordance with the stored data preprocessing parameters input during the training mode and then this preprocessed data input to the non-linear ...in a prediction mode. In the prediction mode, the non-linear network outputs a prediction value .

Although the preferred embodiment has been described in detail, it should be understood that various...

# CLAIMS 1. A predictive network, comprising:

- a data storage device for storing **training** data from a runtime system (24);
- a data preprocessor (34') for preprocessing received data in accordance with **predetermined** preprocessing **parameters** to output preprocessed data;
- a system model (26') having an input for receiving said output...
- ...an output through a stored representation of said runtime system in accordance with associated model parameters (22) that define said stored representation;
  - a control device (37) for controlling said data preprocessor (34') in a training mode to preprocess said stored training data and output preprocessed training data and, in a runtime mode, to receive and preprocess runtime data received from said runtime system to output preprocessed runtime data;
  - a training device operating in said training mode to train said system model (26') with said stored training data in accordance with a predetermined training algorithm to define said model

parameters (22); and

- said system model (26') operating in said runtime mode to generate a predicted...
- ...2. The network of Claim 1, and further comprising:
  - an input device for determining said **predetermined** preprocessing **parameters** in accordance with **predetermined** criteria;
  - a parameter storage device for storing said determined preprocessing parameters after determination by said input device; and
  - said data preprocessor controlled by said **control device** to select said determined preprocessing **parameters** from said **parameter** storage device in said runtime mode and to operate under the control of said input device during said **training** mode.
  - 3. The network of Claim 1, wherein said data preprocessor comprises: an input buffer...
- ...to be preprocessed being on different time scales;
  - a time merge device for selecting a **predetermined** time scale and reconciling the data stored in said input buffer such that all of...
- ...network of Claim 3, and further comprising a pre-time merge processor for applying a **predetermined** algorithm to the data to be preprocessed received by said input buffer prior to input...
- ...3, wherein said output device further comprises a post-time merge processor for applying a **predetermining** algorithm to the data reconciled by said time merge device prior to output as said...
- ...select portions of said data to be preprocessed from said input buffer and introducing a **predetermined** amount of delay therein to output delayed data; and
  - an output device for outputting the...
- ...7. The data preprocessor of Claim 6, wherein said received data comprises a plurality of variables, each of the variables comprising an input variable with an associated set of data, wherein said delay device is operable to receive at least a select one of said input variables and introduce said predetermined amount of delay therein to output a delayed input variable and an associated set of output delayed data having the associated delay.
  - 8. The data...

...delay.

- 9. The network of Claim 1, wherein said system model is a non-linear neural network with an input layer for receiving said runtime data and providing a predicted output on...
- ...input layer to said output layer through said stored representation of said runtime system, said neural network operable in said training mode to receive said stored training data on said input and output layers and define said model parameters in accordance with said predetermined training algorithm.
  - 10. The network of Claim 1, wherein said runtime system is a distributed control system...
- ...inputs to said system.
  - 11. A predictive network, comprising:
  - a data storage device for storing training data for a runtime system;
  - a training preprocessor (12) for preprocessing said training data in

- accordance with **predetermined** preprocessing **parameters** to output preprocessed **training** data;
- a first memory (14) for storing said preprocessing parameters;
- a training network (20) having model parameters associated therewith for receiving said preprocessed training data and adjusting said model parameters in accordance with a predetermined training algorithm to generate a representation of said runtime system;
- a second memory (22) for storing said adjusted model parameters associated with said generated system representation;
- a runtime preprocessor (34) similar to said training preprocessor for receiving runtime data from said runtime system and preprocessing said runtime data in accordance with said stored preprocessing parameters in said first memory to output said preprocessed runtime data; and
- a runtime network (26) similar to said **training** network for generating a representation of said runtime system in accordance with said stored model **parameters** in said second memory and for receiving said preprocessed runtime data and generating a predicted output.
- 12. The network of Claim 11, wherein said runtime preprocessor operates in real time.
- 13. The network of Claim 11, wherein each of said training and runtime data preprocessors comprise:
- an input buffer for receiving and storing data to be...
- ...the received data being on different time scales;
  - a time merge device for selecting a **predetermined** time scale and reconciling the received data stored in said input buffer such that all...
- ...by said time merge device as said preprocessed data to the respective one of said **training** network or said runtime network.
  - 14. The network of Claim 11 wherein each of said training and runtime data ...for receiving select portions of said received data from said input buffer and introducing a predetermined amount of delay therein to output delayed data; and
  - an output device for outputting the...
- ...A method for generating a prediction in a predictive network, comprising the steps of:
  - storing training data received from a runtime system (24) in a data storage device;
  - providing a data preprocessor (34') that is operable to preprocess received data in accordance with **predetermined** preprocessing **parameters** to output preprocessed data;
  - providing a system model (26') that is operable to map input...
- ...output layer through a stored representation of the runtime system in accordance with associated model parameters (22') that define the stored representation;
  - operating the data preprocessor (34') in a **training** mode to receive the **training** data from the data storage device and output preprocessed **training** data;
  - training the system model on the preprocessed training data to
     define the model parameters (22');
  - storing the trained model parameters generated in the step of
     training;
  - operating the data preprocessor (34') in a runtime mode to receive

- runtime data and generate preprocessed runtime data; and operating the system model (26') with the trained system model parameters to receive on the input thereof the preprocessed runtime data and generate a predicted output on the output thereof.
- 16. The method of Claim 15, and further comprising the steps of: determining the predetermined preprocessing parameters in accordance with predetermined criteria;
- storing the determined preprocessing **parameters** after determination thereof; and
- selecting the stored determined preprocessing parameters in the runtime mode for the operation of the data preprocessor and determining the predetermined preprocessing parameters during the training mode.
- 17. The method of Claim 15, wherein the step of operating the data preprocessor in both the runtime mode and the **training** mode comprises:
- receiving and storing the data to be preprocessed, the data to be preprocessed being on different time scales;
- selecting a **predetermined** time scale and time merging the data stored in the input buffer such that all...
- ...wherein the step of operating the data preprocessor in both the runtime mode and the **training** mode comprises:
  - receiving and storing data to be preprocessed;
  - selecting portions of the stored data to be preprocessed and introducing a **predetermined** amount of delay therein to output delay data; and outputting the undelayed and delayed portions...

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39/3,K/29
              (Item 29 from file: 348)
DIALOG(R) File 348: EUROPEAN PATENTS
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MODEL-BASED FAULT DETECTION SYSTEM FOR ELECTRIC MOTORS
MODELLGESTUTZTES FEHLERDETEKTIONSSYSTEM FUR ELEKTROMOTOREN
          DE DETECTION DE PANNES A L'AIDE D'UN MODELE POUR MOTEURS
    ELECTRIQUES
PATENT ASSIGNEE:
  Arcelik A.S., (1999161), Tuzla, 81719 Istanbul, (TR), (Proprietor
    designated states: all)
  Duyar, Ahmet, (2458000), Manolya 3/14 D. 22, Atasehir, Istanbul, (TR),
    (Proprietor designated states: all)
INVENTOR:
  DUYAR, Ahmet, Manolya 3/14 D. 22 Atasehir, Istanbul, (TR)
  DURAKBASA, Osman Tugrul, Tekin Sokak No. 9 Acibadem Sitesi B Blok D. 7,
    81020 Istanbul, (TR)
  ALBAS, Evren, Mayis Mahallesi 19 Inonu Cad. No. 42, Sarikonak Apt. D. 11
    81090 Istanbul, (TR)
  SERAFETTINOGLU, A., Hakan, Papatyali Sokak 5A/9, 81030 Ciftehavuzlar,
    (TR)
LEGAL REPRESENTATIVE:
  LOUIS- POHLAU- LOHRENTZ (100394), Postfach 3055, 90014 Nurnberg, (DE)
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- ...SPECIFICATION as sound, temperature, voltage and others from corresponding sensors are received and evaluated using a **neural network** by means of **fuzzy logic** and are used to control the engine or motor and for diagnosing and localising faults...
- $\ldots$  priori information about all possible faults and the effect each such fault has on the **residuals** .

Accordingly, a period of time is required to monitor defective and normal equipment and to develop a data base which contains fault signatures for fault classification purposes. This...system 30 is able to classify and display the mechanical basis for the fault or degradation in motor performance as shown at step 82. Model 44 replaces the need to

develop a priori information about the motor. The...

...motor, the repairman selects the base motor model of the motor being tested and performs fault detection and diagnostic .

The method and apparatus can also be used for condition monitoring and predictive maintenance applications. In this embodiment, the third embodiment, the MQM algorithm replaces the MCM algorithm...

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DIALOG(R) File 348: EUROPEAN PATENTS
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Method and
                          for optimizing a control system for a unit device
              apparatus
    integrated in a machine assembly
Verfahren und Gerat zur Optimierung eines Steuerungssystems fur eine in
    einem Maschinenaggregat integriert Einheit
Procede et appareil pour l'optimisation d'un systeme de commande pour une
    di-unite integree a un ensemble de machine
PATENT ASSIGNEE:
  YAMAHA HATSUDOKI KABUSHIKI KAISHA, (299990), 2500 Shingai, Iwata-shi
    Shizuoka-ken, (JP), (Applicant designated States: all)
  SANSHIN KOGYO KABUSHIKI KAISHA, (863290), 1400 Nippashi-cho,
    Hamamatsu-shi, Shizuoka-ken, (JP), (Applicant designated States: all)
INVENTOR:
  Kaji, Hirotaka, c/o Yamaha Hatsudoki K.K., 2500 Shingai,
    Iwata-shi, Shizuoka-ken, (JP)
  Yamaquchi, Masashi, c/o Yamaha Hatsudoki K.K., 2500 Shingai,
    Iwata-shi, Shizuoka-ken, (JP)
  Harada, Hiroshi, c/o Sanshin Kogyo K. K., 1400 Nippashi-cho,
    Hamamatsu-shi, Shizuoka-ken, (JP)
  Matsushita, Yukio, c/o Sanshin Kogyo K. K., 1400 Nippashi-cho,
    Hamamatsu-shi, Shizuoka-ken, (JP)
LEGAL REPRESENTATIVE:
  Grunecker, Kinkeldey, Stockmair & Schwanhausser Anwaltssozietat (100721)
    , Maximilianstrasse 58, 80538 Munchen, (DE)
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Method and
              apparatus
                          for optimizing a control system for a unit device
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...ABSTRACT A2

ensemble de machine

integrated in a machine assembly

The invention provides an optimization device for a unitary apparatus that can obtain optimum characteristics as a combined apparatus,

... optimisation d'un systeme de commande pour une di-unite integree a un

without losing user's selectivity and unitary apparatus's versatility. The optimization device includes an optimization process section that in real time optimizes dynamic characteristics of the unitary apparatus, with functional characteristics of a combined apparatus as evaluation reference.

#### ...SPECIFICATION of the Invention

The invention relates to an optimization method and device for optimizing dynamic characteristics of a unit device (unitary apparatus) integrated in a machine assembly (combined apparatus) that comprises...

- ...that are used as complete products by combining a plurality of apparatuses (called the "combined apparatuses" hereunder). Many combined apparatuses are controlled by control devices, where dynamic characteristics of at least one unitary apparatus are appropriate. The examples for this case are a motor boat used by combining an outboard motor and a...
- ...and an air conditioner used by combining an outdoor machine and an indoor machine.

The characteristics of a control module for a unitary apparatus (that is, parameter values that determine the relationship between input and output of the control module) used for combined apparatuses are decided by combining apparatuses. When characteristics of combined apparatuses and use environment can be found beforehand, the characteristics of control modules are designed adjustably with respect to combining apparatuses so that they can...

...apparatuses that can be combined, or when combined apparatuses are used in changing environment, the **characteristics** of control modules are determined, supposing the apparatuses to be combined and the environment, in order that they can be met as much as possible.

Moreover, there are proposed a **fuzzy inference** for optimizing **characteristics** of a fuzzy controller in response to fluctuations of supposed use environment and user's **characteristics**, and a method of optimizing **characteristics** of a fuzzy controller in **real** time by using a **neural network** or heuristic rule.

# Summary of the Invention

However, when the **characteristics** of a control module for a unitary apparatus used for combined apparatuses are determined in...

- ...apparatus, and that there is no versatility with a unitary apparatus itself. Even if the **characteristics** of a control module for a unitary apparatus can be adjusted, when the adjustable range...
- ...to specific apparatuses, versatility is lost and user's selectivity is lost, too.

When the **characteristics** of a control module is decided, supposing the apparatuses to be combined and use environment...

...provide an optimization device of a unitary apparatus for combined apparatuses that can obtain optimum characteristics, without losing user's selectivity and versatility of a unitary apparatus.

One aspect of the invention is directed to an optimization apparatus for optimizing an operation **characteristic** of a unitary apparatus that can be used as a combined **apparatus** by combining other **apparatuses**.

The optimization apparatus comprises an optimization process device for, in real time, optimizing the operation characteristic of the unitary apparatus, with a functional characteristic of the combined apparatus as an evaluation criterion.

Preferably, the optimization apparatus further comprises a basic control module for deciding a manipulated variable of the unitary apparatus based on predetermined input information, whereby the optimization process device optimizes control parameters of the basic control module with a control characteristic of the combined apparatus as an evaluation criterion.

Advantageously, in addition to the above, the optimization apparatus further comprises a compensation control module for deciding compensation quantity or compensation ratio of the manipulated variable based on predetermined input information, whereby the optimization process device optimizes control parameters of the compensation control module with the control characteristic of the combined apparatus as an evaluation criterion.

Another aspect of the present invention is a method for optimizing in real - time operation of a machine assembly manipulated by a user, said machine assembly comprising plural replaceable devices, each device being...

...a control module, the input-output relationship of which control module is regulated by control parameters, said method comprising the steps of: (a) operating the replaceable devices using control modules; (b) optimizing in real -time the input-output relationship of at least one control module by coding into templates parameters fully or partially regulating the control module, said templates being subjected to heuristic processing, wherein at least one fitted set of parameters is selected by evaluating output of the machine assembly based on the user's ultimate...

...advantages may be achieved in accordance with any particular embodiment of the invention. Thus, for **example**, those skilled in the art will recognize that the invention may be embodied or carried...of the present invention.

Figure 1e is a schematic diagram illustrating one embodiment of a fuzzy inference control module.

Figure 2 is a block diagram illustrating one embodiment of an optimization device...

...a combined apparatus in accordance with the invention.

Figure 3 is a figure illustrating a  ${\tt control}$   ${\tt device}$  and an outboard motor including a trimming  ${\tt apparatus}$  .

Figure 4 is a block diagram illustrating an inner structure of a  ${\tt control}$  device .

Figure 5 is a block diagram illustrating an inner structure of a control device .

Figure 6 illustrates relationship between standardized **coefficients** of a boat operation fuzzy control module and individuals produced by encoding them.

Figure 7...

...evolutionary process by a constant-speed navigationcontrol unit.

Figure 9 is a graph illustrating one **example** of performing time division when a plurality of individuals is evaluated by time-division.

Figure 10 illustrates one **example** of an interface for switching between regular control mode and evolutionary mode.

Figure 11 illustrates one **example** of seeking a total of fitness of fuzzy rules.

Figure 12 is a graph showing the relationship between boat speed-resistance curve and trim positions.

Figure 13 shows one **example** of individuals used in an autonomous evolutionary process unit in an acceleration optimization control section ...

...a machine assembly.

An aspect of the present invention is a method for optimizing in real -time operation of a machine assembly manipulated by a user. The machine assembly comprises plural replaceable devices, each device being

- ...a control module. The input-output relationship of the control module is regulated by control **parameters**. Figure la is a schematic diagram illustrating one embodiment of the optimization system. In this...
- ...are conducted: (a) operating replaceable devices (devices #1, #2) using control modules; (b) optimizing in real -time the input-output relationship of at least one control module (the control module for device #1) by coding into templates parameters (a1, a2, a3,...) fully or partially regulating the control module, said templates being subjected to heuristic processing, wherein at least one fitted set of parameters is selected by evaluating output of the machine assembly based on the user's ultimate...
- ...evolutionary computation, and the templates are chromosomes.

  When controlling complex devices or unstandarized devices, a fuzzy inference system is useful. Figure 1 e is a schematic diagram illustrating one embodiment of a fuzzy inference control module, wherein the control module regulated by control parameters is provided with a fuzzy inference system comprising a matrix of fuzzy rules which are regulated by preselected parameters, and the optimization step is conducted by at least one of the following: (i) revising the fuzzy rule matrix...
- ...the membership functions into chromosomes; or (iii) changing a level of an input of the **parameters** and a level of an output of the **fuzzy inference** system by coding elements of the levels into chromosomes. In the above, the method may...
- ...in (ii) or the membership functions to be modified in (iii).

  In the above, the parameters may be (i) the number, shape, position and/or expanse of membership functions for the fuzzy inference system of the control device, (ii) fuzzy rules, or (iii) standardized coefficients for input and output values. The fuzzy rules can be compiled in the form of a fuzzy rule ...by membership functions. Each section of the matrix represents a fuzzy rule which is a parameter having a value. The type of parameter and a value of the parameter are referred to as "a parameter".

Coding into chromosomes or templates can be made on all of the parameters or part thereof selected for the fuzzy controller.

In the present invention, correlations between various inputs and various outputs of the control modules can be determined using existing techniques such as neural networks, fuzzy neural networks, and genetic algorithms if the correlations are highly complex, or using existing techniques such as maps and functional equations if the

correlations are rather simple. In this regard, Da Ruan (editor) "Intelligent Hybrid Systems -- Fuzzy Logic, Neural Networks, and Genetic Algorithms--" Kluwer Academic Publishers (1997), J.-S. R. Jang, C.-T. Sun, E...

- ...Systems" Prentice Hall Upper Saddle River, NJ 07458 (1998), and N. K. Kasabov, "Foundations of Neural Networks, Fuzzy Systems, and Knowledge Engineering" the MIT Press (1996) are hereby incorporated by reference. The...
- ...al. (editor), "Genetic Programming, An Introduction", pp.363-377, 1999, Morgan Kaufmann Publishers, Inc., for example ). These techniques are sometimes categorized as "heuristic control" which includes evolution, simulated annealing, and reinforcement learning method (S. Suzuki, et al., "Vision-Based Learning for Real Robot: Towards RoboCup", RoboCup 97 Workshop, 23, 24, and 29 August, 1997 Nagoya Congress Center, pp. 107-110; K. and Nurmela, et al., "Constructing Covering Designs By Simulated Annealing", pp. 4-7, Helsinki University of Technology, Digital Systems Laboratory, Technical Reports No. 10, January 1993, for example ). These techniques can be adapted to the present invention without complication, based on the principle...
- ...207 that, based on the input information, decides and outputs information related to a manipulated variable, compensated amount, or manipulated variable for a compensation coefficient, toward a unitary apparatus 209 constituting a combined apparatus 208 together with another apparatus 210. The control module 207 is, preferably, the one that employs a fuzzy inference system, for example, a fuzzy controller, fuzzy intention determination system, or fuzzy neurocontroller. But, the control module 207...
- ...204 and an autonomous evolutionary process unit 205. These process units 204 and 205 optimize parameters of the control module 207 during use of the combined apparatus 208, i.e., in real time, using characteristics of the combined apparatus 208 as evaluation reference.

The parameters to be optimized can be any type of parameter as long as they are related to the control module. For example, when a fuzzy inference system is employed, cited as such are the parameters for deciding the number, shape, position and expanse of a membership function, the fuzzy rules, and the standardized coefficients for input and output values. With regard to evaluation for optimization, the interactive evolutionary process unit 204 receives evaluation values applied by the user 202, and the autonomous evolutionary process unit 205 accepts evaluation values from the evaluation unit 206 which is designed beforehand based on predetermined evaluation criteria.

By, in **real** time, optimizing **parameters** of the control module that controls the unitary apparatus, using **characteristics** of the combined apparatus as evaluation reference, the unitary apparatus can be optimized to fit...

...and a trimming apparatus for a planing boat.

Figure 3 is a figure illustrating a control device an

Figure 3 is a figure illustrating a **control device** and an outboard motor including a trimming **apparatus**. An outboard motor 32 is mounted to a hull 31. The outboard motor 32 includes...

...cylinder 36 and a hydraulic pump 37. The outboard motor 32 is connected

to an control device 30.

device 30 optimizes boat operation characteristics The control and acceleration characteristics which realize a constant speed navigation control and acceleration optimization control in response to changes in the movement of the hull 31 and disturbances. The control device 30 also optimizes the boat operation characteristics and acceleration characteristics , by responding to preferences of users-when the users are different, or when the preferences of even one and the same user vary dependent on time, for example , when his preferences vary in spring or fall. The "boat operation characteristics " herein mean boat speed control characteristics by the operations of the electronic throttle valve 34 and the trimming apparatus 35. device 30 inputs the engine speed, the speed, acceleration, steering angle, and throttle opening of the hull 31, and the evaluation value . The control device 30 outputs an electronic throttle valve opening variation and a trim angle variation to operate...

...acceleration optimization control.

Figure 4 is a block diagram illustrating an inner structure of a control device 400. The control device 400 includes a constant speed navigation control section 403, and an acceleration optimization control unit...

- ...boat operation fuzzy control module 407, an autonomous evolutionary process unit 405, a boat-operation **characteristic** evaluation unit 406, and an interactive evolutionary process unit 404. The boat operation fuzzy control...
- ...electronic throttle valve for an electronic throttle 410 and a trim angle for a trimming apparatus 411 in response to predetermined input information. The autonomous evolutionary process unit 405 optimizes standardized coefficients of the boat operation fuzzy control module 407. The boat-operation characteristic evaluation unit 406 evaluates the autonomous evolutionary process unit 405. The interactive evolutionary process unit...

#### ...control module 407.

Figure 5 is a block diagram illustrating an inner structure of a control device 500. An acceleration optimization control unit 504 includes a trim control module 508, an autonomous evolutionary process unit 506, an acceleration characteristic evaluation unit 507, and an interactive evolutionary process unit 505. The trim control module 508 determines a trim angle for a trim apparatus 511 in response to predetermined input information. The autonomous evolutionary process unit 506 optimizes control parameters of the trim control module 508. The acceleration characteristic evaluation unit 507 evaluates the autonomous evolutionary process unit 506. The interactive evolutionary process unit 505 optimizes control parameters of the trim control module 508.

The "standardized coefficients" mean coefficients that adjust amount of input and output information.

1. Control by a Constant Speed Navigation Control Unit The boat operation fuzzy control module employs a simplified inference method as a fuzzy inference system, and outputs an electronic throttle valve opening variation and a trim angle variation in... ...based on the boat operation knowledge of the skilled. The fuzzy rule is expressed by  ${\tt real}$  number  ${\tt values}$  .

Figure 6 illustrates relationship between standardized **coefficients** of a boat operation fuzzy control module 60 and individuals produced by encoding them. The speed is applied to standard **coefficient** S1; the acceleration, S2; the throttle opening, S3; the steering angle, S4; and the engine...

...information is applied to the boat operation fuzzy control module 60 through its corresponding standard coefficient . The boat-operation fuzzy control module 60 outputs the electronic throttle valve opening variation and the trim angle variation through the corresponding standard coefficient S6 and S7, respectively. The autonomous evolutionary process unit in the constant speed navigation control unit uses a genetic algorithm , and encodes the standardized coefficients of the boat operation fuzzy control module 60 as shown in Figure 6 to produce individuals. The autonomous evolutionary process unit optimizes the standardized coefficients by using the genetic algorithm . With regard to evaluation of each individual during autonomous evolutionary process, regarding boat operating characteristics , higher evaluation values are provided by the evaluation unit as an error between an actual speed and a reference a user has fixed gets closer to a desired range. As a result, the standardized coefficients of the boat operation fuzzy control module 60 are automatically optimized towards the desired boat operating characteristics , and an optimal boat operating characteristic is obtained even when the use environment changes or the hull moves inappropriately.

Thus, the...

- ...is optimized based on the evaluation of the user to produce an optimal boat operating **characteristic** suitable for the user's evaluation.

  The method the user employs regarding the evaluation in...
- ...a. Evolutionary Process in an Autonomous Evolutionary Process Unit
  As shown in Figure 8, initial values of the standardized
  coefficients are determined at random within a range decided beforehand
  to produce first generation comprising a...
- ...explained here. A plurality of individuals is operated in parallel by time division and evaluation values are compared by a total of the duration. To be specific, evaluation is changed according to a range of engine speed used.

Figure 9 is a graph illustrating one **example** of performing time division when a plurality of individuals is evaluated by time-division. As...

...low speed of an engine is used, ten individuals are controlled every minute, and absolute values of the difference between a reference and an actual speed are totaled every sampling time. Making this one cycle, twenty cycles are repeated to calculate a total within an evaluation period as an evaluation value. By doing so, since influence by disturbances such as atmospheric phenomena and oceanic phenomena (for example, wind, or wave) is understood as a total through the individuals, a fair evaluation of characteristics of each individual can be made.

In the case of cruising where a high speed...

...a hull in leftward or rightward. When pitching or Dutch roll is

detected, zero is **given** as an individual evaluation **value** and a trim angle is reduced till pitching or Dutch roll is prevented from producing ...

...which can prevent unstable movements from generating at a high speed. Based on the evaluation value of each individual derived by the evaluation value calculation process (step 1-2), it is evaluated whether the evaluation value is an optimal boat operation characteristic (step 1-3). As a result of the evaluation, it is decided whether an optimal boat operation characteristic is obtained (step 1-4). If the optimal boat operation characteristic is obtained, the evolutionary process is finished. If not, the process proceeds to an evolutionary...

...1-5).

- b. Evolutionary Process in an Interactive Evolutionary Process Unit Figure 10 illustrates one **example** of an interface for switching between regular control mode and evolutionary mode. As shown in...
- ...mode and the evolutionary mode is made in accordance with the conditions fixed beforehand, for **example**, time or user's intention through an interface shown in Figure 10.

Figure 11 illustrates one **example** of seeking a total of fitness of fuzzy rules. The regular control mode performs fuzzy...

...following a normal distribution to produce a first generation comprising a plurality of initial individuals ( step 2-5). A trial ride is made using parameters for any individual in the first generation ( step 2-6). The user inputs an evaluation value for the individual ( step 2-7).

Based on the evaluation value, it is decided whether a desired boat operation characteristic is obtained (step 2-8). If it is, the individual is regarded as best and the evolutionary process...

- ...reached (step 2-11). If it is judged so, the individual with the highest evaluation value in the generation is considered to be best and the evolutionary process is ended. If...
- ...an evaluation using the fuzzy rules for the individuals.

  The above process, by which the **desired** boat operation **characteristics** are obtained, is repeated till the number of stipulated generations is reached. As a result...

  ...used.
  - 2. Control in Acceleration Optimization Control Section
    The trim control module outputs a trim **variable** with respect to speed.

Figure 12 is a graph showing the relationship between boat speed-... kinds of hulls and disturbances, and requires an advanced operation technique.

Figure 13 shows one **example** of individuals used in an autonomous evolutionary process unit in an acceleration optimization control section. In the figure, control **parameters** for a trim control module-trim out initial speed T1, trim operation speed T2, and final trim angle T3-are shown. The autonomous evolutionary process unit employs a genetic **algorithm**. The control **parameters** are encoded to produce

individuals and are optimized using the genetic algorithm. Evaluation of each individual during the autonomous evolutionary process is conducted by an evaluation unit, where an evaluation value is higher as a desired acceleration characteristic, for example, time from stop of a boat to a predetermined speed becomes shorter. Accordingly, the control parameters of the trim control module are automatically optimized to the desired acceleration characteristic. Even when a use environment or a hull changes, an optimum acceleration characteristic can be obtained.

The interactive evolutionary process unit in the acceleration optimization control section employs a genetic **algorithm**. Control **parameters** for a trim control module are coded to produce individuals and are optimized using the...

...process is conducted based on comfortableness a user really feels. As a result, the control **parameters** are optimized in accordance with the user's evaluation and an optimum acceleration **characteristic** that meets user's evaluation can be obtained.

Switching over between the autonomous evolutionary process...

- ...To be specific, The autonomous evolutionary process unit performs evolutionary process where an optimum acceleration characteristic is produced. Based on the optimum acceleration characteristic, the interactive evolutionary process unit conducts interactive evolutionary process and a fine adjustment may be...
- ...like is produced during the autonomous evolutionary process, the user may give a zero evaluation **value** at the scene to change to the next individual.
  - Next, the evolutionary process in the...
- ...a. Evolutionary Process in an Autonomous Evolutionary Process Unit
  As shown in Figure 14, initial values of the control parameters are
  first decided at random within a predetermined range to produce a first
  generation from a plurality of initial individuals (step 1-1...
- ...accelerated fully open, one time per one individual, from stop of a boat to a **predetermined** speed. Time needed to reach the **predetermined** speed is calculated as an evaluation **value**.

Based on the evaluation value for each individual derived from the evaluation value calculation process (step 1-2), it is evaluated whether it is an optimal acceleration characteristic (step 1-3). As a result of the evaluation, it is determined whether an optimal acceleration characteristic is obtained (step 1-4). If an optimal boat operation characteristic is obtained, the evolutionary process is finished. If not, an evolutionary calculation module begins to...

...b. Evolutionary Process in an Interactive Evolutionary Process Unit
As shown in Figure 14, initial values of the control parameters are
first decided at random within a predetermined range to produce a first
generation from a plurality of initial individuals (step 2-1). A trial
ride is made by using parameters for any one of individual parameters
in a first generation (step 2-2). A user inputs evaluation values on
the individual (step 2-3). Based on the evaluation values, it is
decided whether a desired acceleration characteristic is obtained (
step 2-4). If it is, the evolutionary process is finished. If it is not,
it...

...whether a trial ride and evaluation on all the individuals of one generation are over ( step 2-5). If it is not, the parameters for the trim control module are changed to those for another individual (step 2-6 again, another trial ride and evaluation using parameters of the individuals are conducted.

The process is repeated till a **desired** acceleration **characteristic** is obtained, and as a result the **parameters** of the trim control module are optimized.

An evaluation of acceleration characteristics, which uses an interactive type, is explained here. After acceleration is increased from a stop of a boat to a predetermined speed with a throttle fully open, one time per individual, an evaluation value is input based on the acceleration and comfortableness a user feels.

Now, some of evolutionary...

- ...flowchart illustrating an evolutionary computation module when a generic algorithm is used as an evolutionary computation method. In the module, when a desired characteristic is not obtained after completion of an evaluation of all the individuals to one generation...
- ...as much as possible by replacing the individual with another selected individual.

As for mutation ( step 4), values are changed at random with a constant probability about each locus for individuals. There is...

- ...next generation, after completing an evaluation of all the individuals to one generation, when a **desired characteristic** is not obtained.

  As for selection ( **step** 1), two exemplary kinds of methods are explained since methods of selection are different owing...
- ... ES: Adjacent search method
  - . ((square) + 1 )-ES: Successive generation multi-point search method Regarding crossover ( step 2), the normal distribution is used. Parents' values can be succeeded for each parameter, and child's values can be a middle point, interpolated point or extrapolated point. With respect to mutation ( step 3), perturbation having a normal distribution is added to each parameter. The dispersion of the normal distribution may be adjusted every parameter or may have interrelationship between the parameters.

Since the evolutionary strategy (ES), as explained above, uses each parameter as a real number, it has the advantage that a transformation from phenotype to genotype is no longer necessary. Using a method of crossover having continuity of real numbers such as normal distribution crossover enables parents' character to be reflected more heavily to...

...stochastic, the selection is substantially stochastic.

Since the evolutionary programming (EP) mentioned above uses each parameter as a real number, it has the advantage that a transformation from phenotype to genotype is no longer necessary. As no crossover is used, there is no limitation in phenotype. The parameters of the

genetic algorithm do not have to be in a string as in the evolutionary strategy, and may...

...The preference of users varies significantly. Therefore, it is impossible to acquire boat speed control characteristics that can satisfy all users under every use environment, ...control for the hull are indispensable so as to achieve optimal boat speed control, in

addition to the characteristics of use environment and a user. When a fuzzy controller is used as a control device, it is difficult to optimize the characteristics of the fuzzy controller to fit all conditions. However, as described above, the parameters for the boat operation fuzzy control module, which controls an electronic throttle valve and a trim, may be optimized in real time by using the evolutionary calculation. Accordingly, the invention has the distinct advantage that the...

- ...realize constant speed navigation control. The constant speed navigation control unit determines, based on the **predetermined** input information, an opening of the electronic throttle valve and a trim angle through a boat operation fuzzy control module. The standardized **coefficients** for the boat operation fuzzy control module are optimized using an autonomous evaluation, and the...
- ...the acceleration control. The acceleration optimization control unit determines the trim angle based on the **predetermined** input information through the trim control module. The control **parameters** for the trim control module are optimized using the autonomous and interactive evaluations. These are...
- ...apparatus in accordance with the invention is not limited to the embodiments mentioned above. For **example**, the evaluation may be made based on fuel consumption rate and/or power rate, or...
- ...comprises an engine, a water nozzle apparatus, and a hull. The engine and water nozzle apparatus constitute a unitary apparatus. When the invention is applied, a control device that controls an electronic throttle and a water nozzle trim apparatus in the engine are optimized with the characteristics of the personal water craft as an evaluation criterion. The control of the intake of...
- ...having a gasoline engine. When the invention is applied with the outboard motor and trim apparatus as a unitary apparatus, the control device that controls an electronic throttle valve apparatus and a trim apparatus in the engine can be optimized with the characteristics of the planing boat as an evaluation criterion. Then control of intake of air and...
- ...movable apparatus. When the invention is provided by treating the outboard motor and flap movable apparatus as a unitary apparatus, the control device, which controls a fuel injection apparatus in the engine and the flap movable apparatus, are optimized with the characteristics of the planing boat a evaluation criterion. Then the control of quantity of fuel injection...
- ...is not limited to the embodiment described above. Instead, the controlled system may be any control device so long as the control device controls an operation characteristic of unitary apparatuses that are used as a combined apparatus by combining other apparatuses. For example, the controlled systems shown in Figures 21-23 are candidates.

Figure 21 shows an embodiment where the optimization method in accordance with the invention is applied to a **control device** that controls the movement of a robot. In the embodiment, the **control device** 212 inputs information from an infrared sensor attached to the robot 211, an image input...

...a camera, a voice input device such as a microphone, and an accelerator sensor. The **control device** 212 includes a fuzzy control module (not shown) which outputs information on the movement for the robot 211. The fuzzy control module can be optimized in **real** time.

The **parameters** to be optimized may selected arbitrarily. Evaluation for optimization is made directly by a user...

- ... The input device to which the user will apply evaluation can be provided separately. For **example**, the input device may be constructed to detect the state of the user by the...
- ...In this way, when the optimization method according to the invention is applied to the **control device** that controls the movement of the robot, the robot can execute optimal movements, according to a change of robot's bodies (for **example**, a change from a human-type robot to a dog-type robot), a change of...interchangeably mounted to a body thereof. The body 222 of the robot 221 contains a **control device** 223 that controls the movement of each part. When the optimization method in accordance with the invention is applied to the **control device** 223, even if the head, arms, and/or legs are interchanged, the **control device** 223 can be optimized so that an optimal movement may be made according to a...
- ...an embodiment where the optimization method in accordance with the invention is applied to a **control device** 233 of a bicycle 231 with an electrically driven auxiliary power unit 232. The **control device** 233, including a fuzzy control module, receives a stepping force for a pedal from a user, a speed, an acceleration, and an evaluation **value**, and outputs an assist force to the electrically-driven auxiliary power unit 232, decided by the fuzzy control module. The fuzzy control module is optimized in **real** time.

The optimization method in accordance with the invention is applied to the control device 233 of the bicycle 231 with the electrically driven auxiliary power unit 232. Even if the bicycle 231 may be interchanged to a new bicycle to which the control device 233 is attached, the assist force can be optimized in real time for the new bicycle. This reduces the limitations to the kinds of bicycle. Even...

...age or physique of a user, an assist force, or duration of a battery, a control device is optimized in real time so that an optimal assist force may be generated. As far as an apparatus has an electrically driven auxiliary power unit, the same effect can be obtained from any apparatus, for example, a wheelchair.

In the control device for controlling an operation characteristic of the unitary apparatus that is used as a combined apparatus by combining other apparatuses, the optimization process unit is provided for, in real time, optimizing an operation characteristic of the unitary apparatus, with the functional characteristics of the combined apparatus as an evaluation criterion. Accordingly, the invention produces an advantage that without losing user's selectivity and versatility of the unitary apparatus, optimum characteristics as the combined apparatus can be obtained.

It will be understood by those of skill...

...invention. Therefore, it should be clearly understood that the forms of the present invention are **illustrative** only and are not intended to limit the scope of the present invention.

## ...CLAIMS A2

- 1. A method for optimizing in **real** -time operation of a **machine** assembly manipulated by a user, said machine assembly comprising plural replaceable devices, each device being...
- ...a control module, the input-output relationship of which control module
   is regulated by control parameters , said method comprising the
   steps of:
  - (a) operating the replaceable devices using control modules;
  - (b) optimizing in real -time the input-output relationship of at least one control module by coding into templates parameters fully or partially regulating the control module, said templates being subjected to heuristic processing, wherein at least one fitted set of parameters is selected by evaluating output of the machine assembly based on the user's ultimate...

## ...chromosomes.

- 7. The method according to Claim 6, wherein the control module regulated by control parameters is provided with a fuzzy inference system comprising a matrix of fuzzy rules which are regulated by preselected parameters, and the optimization step is conducted by at least one of the following:
  - (i) revising the fuzzy rule matrix...
- ...the membership functions into chromosomes; or
  - (iii) changing a level of an input of the parameters and a level of an output of the fuzzy inference system by coding elements of the levels into chromosomes.
  - 8. The method according to Claim...
- ...a trim apparatus and an electronic throttle.
  - 11. An optimization apparatus for optimizing an operation characteristic of a unitary apparatus that can be used as a combined apparatus by combining other apparatuses, the optimization apparatus comprising:
    - an optimization process device for, in real time, optimizing the operation characteristic of the unitary apparatus, with a functional characteristic of the combined apparatus as an evaluation criterion.
  - 12. The optimization apparatus of Claim 11, further comprising a basic control module for deciding a manipulated variable of the unitary apparatus based on predetermined input information, whereby the optimization process device optimizes control parameters of the basic control module with a control characteristic of the combined apparatus as an evaluation criterion.
  - 13. The optimization apparatus of Claim 11...
- ...comprising a compensation control module for deciding compensation quantity or compensation ratio of the manipulated **variable** based on **predetermined** input information, whereby the optimization process device optimizes control **parameters** of the compensation control module with the control **characteristic** of the combined apparatus as an evaluation criterion.
  - 14. The optimization apparatus according to at...

- ...and an autonomous evaluation unit for evaluating with respect to optimization process based on a **predetermined** evaluation criterion, whereby the optimization process device controls using control **parameters** obtained by the optimization operation unit, and evaluating the result at the evaluation unit, carries...
- ...s intention with respect to optimization process, whereby the optimization process device controls using control parameters obtained by the optimization operation unit, and evaluating the result at the evaluation unit based...An optimizer for a unit device for an assembly in a controller for controlling operating characteristics of said unit device which is combined with another device to be used as an assembly, wherein it has an optimizing process unit for performing real -time optimization of the operating characteristics of said unit device using functions of the assembly as criteria for evaluation.
  - 21. An...
- ...basic control module for determining an amount of operation of said unit device based on **predetermined** input information; and said optimizing process unit optimizes control **parameters** of said basic control module using control **characteristics** of the assembly as criteria for evaluation.
  - 22. An optimizer according to Claim 20 or...
- ...basic control module for determining an amount of operation of said unit device based on **predetermined** input information and a control module for correction for determining an amount or ratio of correction for the amount of operation based on **predetermined** input information; and said optimizing process unit optimizes control **parameters** of said control module for correction using control **characteristics** of the assembly as criteria for evaluation.
  - 23. An optimizer according to at least one...
- ...process based on preset criteria for evaluation; and said optimization process unit conducts optimization by **actually** performing control using the control **parameters** obtained by said optimization process unit and evaluating the results with said evaluation unit. 24...
- ...of the user regarding the optimization process; and said optimization process unit conducts optimization by actually performing control using the control parameters obtained by said optimization process unit and evaluating the results based on evaluation information input

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METHODS
                                      MONITORING
            AND
                   APPARATUS
                                FOR
                                                   AND DIAGNOSING
                                                                     SYSTEM
     PERFORMANCE
PROCEDES ET APPAREIL DE CONTROLE ET DE DIAGNOSTIC DU FONCTIONNEMENT D'UN
    SYSTEME
Patent Applicant/Assignee:
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Inventor(s):
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  CONWAY Timothy James,
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METHODS
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                               FOR
                                      MONITORING
                                                   AND DIAGNOSING
                                                                     SYSTEM
     PERFORMANCE
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  Detailed Description
  Claims
English Abstract
  ...which must occur prior to the pre-defined event occurring and one or
  more critical parameters defining the data which must occur during the
  system's performance for the event to...
Detailed Description
... tasks involved in maintaining such systems
  also increases. The maintenance tasks include, by way of
  example only, fault
                           diagnosis , fault location, , performance
  monitoring , performance optimization and repair. These
  tasks are typically performed by an, expert technician,, by
  analytical diagnostic tools @or by a combination thereof,
  Many diagnostic tools are known for use in maintenance
  tasks...
...even where the
  expert has had sufficient experience with the complex
  25 system. See, for example ,; "The Thinking Machine - An
  Electronic Clone of a Skilled Engineer is Very Hard To
  Create", in the August 12, 1988 issue of the Wall Street...not put into
  regular use,
  Expert systems based on surface knowledge
  representations, therefore, require an exhaustive set of a
  priori rules which accurately encompass the spectrum of the
```

possible faults of ...

... have also incorporated deep knowledge

representations of systems under test, wherein the functional and structural qualities of a system's components are qualitatively modeled to show connectivity and behavioral relationships. This approach enables a diagnostic tool to deal with imprecise behavioral and structural characteristics of a system, such as dynamic changes in connectivity, which can not be addressed in...

### ...greater

flexibility in reasoning. Such qualitative models can represent the operation of a system without **exhaustive** a priori enumeration of all possible failure models, as required in surface knowledge approaches, Diagnostic...

- ...embodying heuristic rules developed by system maintenance experts and a deep knowledge representation embodying component **behavior** and system connectivity is suggested. It is also suggested to use reliability statistics as an...
- ...cases such a system is dependent on a heuristic surface knowledge representation and the required **exhaustive** enumeration of a priori rules, which can be difficult to develop, Causal reasoning with a...respond to suspected abnormalities by either issuing a warning, shutting the system down, or causing **predetermined** data to be recorded in a nonvolatile memory or other recording devices,
- ...speed'at which the evaluation of the collected system performance data can be made, the **quality** of the evaluation made, the specificity of the abnormality identified, and the **quality** and quantity of the collected performance data stored for future diagnostic of analytical use, Presently...
- ...performance data quicker and more completely., control data acquisition for so as to improve the quality and quantity of data stored for ...occur prior to the occurrence of the pre-defined event and with one or more parameter conditions which must occur during the performance of the system for the pre-defined event...
- ...defining the event record are found or if there are no such events, then the **parameter** conditions found in the first event record are compared to the acquired ope-rational data, If a match is found when comparing the **parameter** conditions, then the event defined by the first event record is recognized.

The comparison steps...

The effectiveness of...

...a connected computer system or it may be embedded in the database model, As an **example**, each event record may include a list of actions to be performed in the

- ...the recogni.zed events to determine the systems performance can result in modifying a performance parameter in the system, modifying the data acquisition system or warning an operator of the system under test; Figure 8 illustrates the comparison of the actual .25 results of a test performed on a system under test to the expected results...
- ...a result of the monitoring system
   recognizing an event;
   Figure 16 illustrates a signal being sampled by the
   5 data acquisition system of the present invention;
   Figure 17 is a block...
- ...a preferred embodiment of

values .

- 35 the present invention, In step 100, a plurality of data samples are collected from the system under test during its operation, In step 102, the collected...from any other source are compared to event criteria from the failure model which specify patterns that correspond to the rules in the model, Each pattern has associated with it a set of ambiguity group effects, as before, In step 116, the set of ambiguity group effects corresponding to recognized patterns from the failure model are output, In step 106, the output set of ambiguity group effects are applied to the ambiguity group, as...and function of the event at location 160 and is represented by a number of parameters , These parameters include one or more critical parameters at location 162 by which the event is recognized, affected parameters at location 164 which should be affected by the occurrence of the event, state vector...
- ... The data 150 collected from the system in step 100 comprises a plurality of data samples 170, 172 and 174, This data 150 represents the operational characteristics of the system from which the defined events of the event based representation 152-are recognized in step 102, These samples are time tagged so that sample 170 is associated -with time tl, sample 172 is associated with time t2 and so on. Furth-er, calculations can be performed on the collected data 150, and included in the data samples 170 to 174 for use in the event recognition process of step 102 or the pattern recognition process of step 114, The data 150 can be collected by any known data acquisition technique, In a...system having sufficient memory to store the event based representation 152 and the data 150, real time event recognition in step 102 is obtainable, A single Acromag acquisition module should be ... ...start of diagnostics, the sta-te vector 190 is either empty or loaded with initial

In **step** 204, the state vector dependencies for the first event record 154 and the state vector...

- ...the event recognition analysis for event record
  154 continues, In step 212, the first data sample 170 from
  the collected data 150 is selected. In step 214, the data
  sample 170 is compared to the critical parameters found at
  io location 164 is in the event record 154, In step 216, it is
  determined whether there is a match between the critical
  parameters and the data sample, If there is no match, the
  collected data 150 is examined in step 218 to see if the
  last data sample from collected data 150 was used, If the
  15 last data sample was used, then step 206 is repeated to see
  if every event record has been used. If there are more data
  samples, they are retrieved in step 220.
  - If, in step 216, a match between the critical parameters of event record 154 and the data sample 170 is .20 found,, then the event defined by event reco-rd 154 is declared...
- ...at location 192, Then step 218 is repeated to see if there are more data samples to be used, In this way, all data samples 170 to 174 from collected data 150 are compared to the critical parameters from every event record 154 to 158 from the event based representation 152. Figure 2...
- ...182 from the set of ambiguity group effects 176 which are to be output from step 102, The event record 154 has a plurality of affected parameters 230, 232 and 234 at location 164 and a plurality of state vector effects 236 and 238 at location 168. The affected parameters 230 to 234 define the states of parameters of the system under test which should have been affected in some way by the...
- ...the event during operation of the system. The 20--actu-al state of the affected **parameters** can be checked by reference to the collected data 150, The state vector effects 236...
- ...system, The state vector effects at locations 236 and 238 are related to the affected parameters at locations 230 to 236 or to the critical parameters at locations-162 either directly of by Boolean operators, Referring to Figure 4, it is seen that state vector effect 238 is directly related to affected parameter 230 by pointer 240.

The occurrence of the effect specified by the state vector effect be confirmed by reference back to the event record 154 or other data **samples** as needed and by comparing that data to the components state defined by the affected **parameters** 230. If the component state defined by 35 the affected **parameter** 238 is confirmed. If it is not, then the state vector effect 238 is not confirmed.

Figure 4 also shows state vector effect 236 related to two affected parameters 232 and 234 by a Boolean operator 242 through pointers 244, 246 and 248. Any...

- ...defined if appropriate. The Boolean
  5 operator 242 can define any logical combination of affected
  parameters, State vector effect 236 is confirmed,
  therefore, by referencing data from collected data 150 and
  comparing it to affected parameters 232 and 234 to see if
  the Boolean operator 242 is satisfied,
  Each state vector...
- ...one set for use if the effect is confirmed by reference to the appropriate affected parameters and another set for use if the effect is not confirmed by the reference, State...
- ...is not confirmed, State vector effect 238 is similarly associated with a first set of parameters 254 to 20 be used if the effect is4confirmed and a second set of A
  - parameters 256 to be used'if the effect is not confirmed, The combination of ambiguity group...
- ...group effects for each recognized event is selected based on the analysis of the affected parameters and the state vector effects as described. Referring to Figure 4, assume the effect specified by the state vector effect 236 is.confirmed by reference to affected parameters 30 232 and 234, so that the first set of ambiguity group effects 250 is...
- ...effect specified by the state vector effect 238 is not confirmed by reference to affected parameter 230, so that the second set of parameters 256 associated with state 35 vector effect 238 is selected for use with output 182...
- ...to the recognized events can also be analyzed to select ambiguity group 5 effects, For example, if the system under test normally progresses through a sequence of four events but only...If-Then rules, The failure model 320 of the present invention comprises a plurality of patterns which are associated with each rule. The failure model 320, therefore, comprises a plurality of patterns 324, 326 and 328,
  - The inputs 330 used for comparison against the patterns of the failure model 320 are derived from several sources, Events recognized in step 102...
- ...Record 332 and 324 also has a pointer that specifies the location of the data sample 170 to 174 from which the event was recognized. In this way, the data samples 170 to 174 are also available for comparison to the patterns of the failure model 320. Similarly, the symptom-fault relationships which were found to exist in step 110 are used to form pattern recognition records 336 to 338.

The patterns 324 to 328 of the failure model 320 are defined by logical combinations of event...

...records 336 to 338, or to any other inputs 330 which may be applicable, In **step** 114, all of the inputs 330 are compared to each **pattern** 324 to 328 in the failure model 320. The matching required to perform step 114...

### ...record 332 to 338 can

have many component parts that must be compared to a pattern 324 to 328 which may be defined by many event criteria, In the preferred embodiment, CLIPSj

### an artificial intelligence

language, is used to implement a matching algorithm based on the Rate Network, Other languages which can be used include OPS5 and SOAR,

Each pattern 324, 326 and 328 in the failure model 320 5 is associated with a set of ambiguity group effects 340, 342 and 344, respectively, When the matching performed in step 114 determines that a pattern exists, it is output with its associated set of ambiguity group effects. In Figure 6, for example, pattern 326 has been recognized so that the associated set of ambiguity group effects 342 is output in step 116,

When the pattern 326 is recognized in step 114,, a new pattern recognition record 346 is developed and added to the input set 330, The matching performed in step 114 continues until all of the pattern recognition records, including those developed during the matching, have been compared to the failure model...

## ...numbers which

only have meanings relative to other ranking effects, The ranking effect for a **given** ambiguity group effect should therefore, be chosen to reflect the accuracy of the analysis. In...the

structural model component with the greatest likelihood of failure, thereby avoiding unnecessary and lengthy computations .

In addition to the specification of system characteristics such as connectivity and hierarchy, the structural model 366 in accordance with a preferred embodiment...

### ...378

being associated with maintenance option 374, As before mentioned in describing step 122, the actual result 380 obtained in performing the maintenance option 374 can be compared to the expected 387 are confirmed by the actual results 380 and a second set 384, a first set 382 for use if the...

...the ambiguity group according to an associated ranking effect in step 106. Figure 8. for **example**, illustrates the case where the expected results 378 are confirmed by the **actual** results 380, so that the first set of ambiguity group effects 382 is selected to...

- ...can then be applied to the ambiguity group 364 in step 106, By way of **example** only, results obtained from the use of reliability statistics, Failure Modes and Effects analysis (FMEA...
- ...If any of the representations or models of the system under test are of low quality or if any step yields consistently poor results they occur more frequently in the case of heuristic rule based...description of plurality of
- 5 components 412, 414 and 416, The model 410 includes static characteristics at location 418 for each component 412 to 416 as does the structured model 366, The static characteristics 418 describe the component repair profile, in particular the testability and accessibility of the component, The maintenance options 420 through 424 which are output in step 120 of the preferred embodiment are also included here. These characteristics 416 can be used by a system technician to determine what to do next, Further...
  - indicates that the component, The maintenance options 420 through 424 which are output in **step** 120 of the preferred embodiment are also included here. These **characteristics** 416 can be used by a system technician to determine what performed if the model...
- ...accessed via the ambiguity group pointers in the preferred embodiment of the invention. These static characteristics 416 can be substituted along with a static connectivity representation to construct the structural model...
- ...Component Model 410 is
  differentiated from the structural model 366 by the
  inclusion of dynamic characteristics of each component at
  locations 426 through 428. The dynamic characteristics at a
  particular location characterize the components
  connectivity, hierarchy, performance characteristics and
  function at a given phase or event within the system under
  test, The connectivity of the component is characterized...
  ...part of another group of components or consists
  of a group of components. The performance characteristics
  of the component are also included in its dynamic
  characteristics,

To use the Event Structured Component Model 410, the 5 operational history of the APU...

...the model 410 is further
referenced by the determined phase of failure. So, for
15 example, if component 2 ...determined to have occurred in
phase I by analysis of the state vector obtained in step
20 102, then the dynamic characteristics of phase 1-of the
second component at location 422 are accessed, These
dynamic characteristics are used to recreate what the system
should look like as compared to the actual operational
characteristics are used to recreate what the system should

25 look like as compared to the actual operational characteristics of the system,
This procedure can be used to suggest further components to be analyzed...

...The

knowledge representations, however, are system dependent and must be modified to represent the system **desired** to be 5 analyzed.

An **example** of the diagnostic **tool** as applied to an Auxiliary Power Unit (APU) for an airplane is now **given** .

The application of the diagnostic **tool** to an APU is also described in "APU Maid: An Event - Based Model For Diagnosis...

...the units fuel, bleed air, lubrication and electrical systems,
Table 1 illustrates a single data **sample** having label
DS200 which is collected during the operation of the APU.

The data sample provides six channels of analog data, including the time of the data sample , the oil pressure, the compressor discharge pressure, the fuel pressure, the exhaust gas temperature and the engine rpm. It also provides 16 channels of digital data as indicated. TABLE 1: DATA SAMPLE - DS200 ANALOG CHANNEL PARAMETER VALUE UNIT TIME 2 -SEC I P oil 2*1 PSI Pcompressor discharge 0 PSI 3 P fuel 40,0 PSI EGT ( exhaust gas 10010 F temperature) %RPM (100% 39,000 RPM 11 %RPM OVERSPEED 44,000 RPM DIGITAL DISCRETE CHANNEL PARAMETER VALUE CENTRIFUGAL SWITCH (static test 1 ...APU, the start of combustion within the APU, the reaction to the combustion and the actual combustion are shown, TABLE 2: PARTIAL APU EVENT BASED REPRESENTATION EVI1 - START EVENT 1) STATE VECTOR DEPENDENCIES 2) CRITICAL PARAMETER "START -SW" 1 3) AFFECTED PARAMETERS twASRI# = 1"APU-START RELAY" = 111APU-START MOTOR" = 1"OVERSPEED-TEST-SOLENOID" = 1 11FHR11...

...AGE + 10 EV2 - COMBUSTION-START EVEN 1) STATE VECTOR DEPENDENCIES
START-EVENT - 1
2) CRITICAL PARAMETERS
P-OIL 2 - 3.5'PSI
%RPM GT 0
3) AFFECTED PARAMETERS
OIL-P-SEQ-SW 1
IGNITION-UNIT 1
TIME = LT 7 SEC
4) STATE VECTOR...

#### ...10

EV3 COMBUSTION-REACT EMENT

1) STATE VECTOR DEPENDENCIES
COMBUSTION-START EVENT - 1

2) CRITICAL PARAMETERS
P-FUEL - GT 0 PSI
-3) AFFECTED PARAMETERS
-P-FUEL = 40 PSI
FUEL CONTROL VALVE SOL = 1

4) STATE VECTOR EFFECTS
EV3 - 1...

# ...AGE 10

EV4 - COMBUSTION EVENT

1) STATE VECTOR DEPENDENCIES

COMBUSTION-REACT EVENT = 1

2) CRITICAL PARAMETER

"EGT" GT 400 F

3) STATE VECTOR EFFECTS

EV4 = 1

IGNITION-UNIT = 1; AGE - 10...

### ...AGE + 10

Assume that Events 1 and 2 have been recognized by having their critical **parameters** matched by data **samples** prior to DS200, As a result of events 1 and 2 being recognized the state...

- ...recognition process of step 102 for event 3 is now described. Assume that the data samples prior to DS200 have already been compared to event 3. Data sample DS200 is now compared, The first step is to check the state vector dependencies, which...2 is listed as having occurred (EV2 = 1) so event recognition can continue. The critical parameters of Event 3, fuel pressure greater than 0 PSI (P fuel GT 0 PSI), is compared to data sample D8200 next, Analog channel 3 of DS200 indicates that fuel pressure is 40 PSI, greater...
- ...being recognized, event 3, is in the state vector, so that analysis of the data sample DS200 can now occur. The critical parameter for this event is that the exhaust gas temperature be greater than 400 F. Checking the data sample DS200 on analog channel number 4 it is seen that the 10 temperature is only 100 F. This event, therefore, is not recognized, Assume no other data sample serves to

recognized Event 4. The recognized events as well as any events which were...

which are directly related to the critical parameter and the affected parameterst are listed. The appropriate ranking effect, in this case, is determined by referencing the data 20 sample DS200 to confirm the state of the affected parameters defined in the state vettor effect. Consideringqthe first effected parameter pointed to by the state vector effect of event 1, the state of the start switch is already known since that was the critical parameter, the state of the APU 25 start relay, digital channel number 9 of DS200 shows a discrete value of le This compares to the state of the affected parameter as listed in event 1, confirming the state vector effect so then the ambiguity group...

...greater than 40 PSI. To confirm this state vector effect, therefore, both of these affected parameters must be confirmed by data sample DS200.

Referring to analog channel 3, the fuel pressure is 40 PSI, confirming that affected parameter, Referring to digital channel 15, the fuel control valve solenoid activated (ml), 5 confirming that affected parameter, Since the logical combination of affected parameters is satisfied, the state vector effect is confirmed. The associated ambiguity group effect absolving the...

...to DS200 in Table 1 it is seen that the ignition unit has a discrete value of 0, The associated ambiguity group that assigns a ranking effect of +10 is, therefore...

...associated with each component.

Table 5 illustrates a failure model which comprises 30 two event patterns. The first event pattern is defined by three event criteria, EC1, EC2 and EC3, which must all occur event pattern 1 to be recognized, Event criteria 1 is further defined as the logical combination of...

### ...record 3

and not event record 4. Event criteria 2 is defined as the 35 pattern recognition record which results from SF10 being recognized record which results from a'special test which is performed on the accelerator limiter. Associated with the first event pattern is an ambiguity effect which specifies the acceleration limiter as a suspect component and a ranking effect of + 10. The second event pattern is also defined by the three event criteria of above. Event criteria 1 and event criteria 2 are the same as above, however, event criteria 3 is a pattern recognition record 5 which results from special test which is performed on the ignition unit. The ambiguity effect associated with the second event pattern specifies that the ignition unit is suspect and assigns a ranking effect of +10. There are many

more event patterns in an APU failure model, however, only two are shown here,

If we assume that the results of the special test performed on the accelerator limiter is negative then event pattern 1 is not recognized. On the other hand if we assume that the results of the special test performed on the ignition unit is positive, then event pattern 2 is recognized and the associated ambiguity group effect, which specifies the ignition unit as...and analyzed event record, from each recognized symptom/fault relationship and from each recognized event pattern from the failure model and apply the ranking effectst the ambiguity group as shown in...

...result of the analysis of event 4 and as result of the recognition of event pattern 2 from the vary model, TABLE 6: AMBIGUITY GROUP 10 AMBIGUITY GROUP RANKING (ALL COMPONENTS...was acquired for fault diagnosis, It is preferable to use data acquisition circuitry having programmable parameters to allow for flexibility in the collection of the performance data. The following parameters , by way of example only, should be programmable; the enabling of the acquisition channels through which data is collected, the rate at which the data is sampled and the window of time over which the data is collected by the acquisition circuitry. Dynamically re-adjusting these and any other parameters provided by the acquisition circuitry according to the monitored performance of a system will yield...

...needs further analysis. Such a flexible data acquisition system will, therefore, yield data of improved quality as well as a greater quantity of relevant data.

Further, the data acquisition circuitry should...

...mentioned intelligent data acquisition module, product number AVME-9110, manufactured by Acromag, has programmable acquisition **parameters** and a Motorola 68000 microprocessor for controlling data acquisition, data storage and bus communications as...

...the system can be recognized.

Each of the event records in the database used in step 502 comprises critical parameters which define conditions which must occur during operation of the system for the event defined to the critical parameters and the state vector dependencies in the event based 20 representation 152 at locations 160...

...The other data in the event bas4d representation 152 used for system diagnostics, the affected parameters and state vector effects, are not included in the representation used for monitoring,

# Figure 12...

- ...recognition step 502 when monitoring a system's performance, In step 520, a first data **sample** from the data acquired during the step 500 is selected, In step 522, the first...
- ...recognition analysis for the selected event record continues. In the step 526, the selected data sample from the acquired data is compared to the critical parameters found in the selected event record. If a match between the critical parameters and the data sample is found in step 526, then the event defined by the event record is declared recognized in the step...
- ...the acquired data is examined in the step 536 to see if the last data <code>sample</code> from collected data was used. If it was, then the analysis is ended in the step 538. If there are more data <code>samples</code>, then the next one is retrieved in the step 540 and the analysis resumes starting...5the next event record is examined to see if it could have occurred during the <code>sample</code> window in which operational data was acquired. If it could not then the following event...
- ...event is recognized, with a minimum delay so that the monitoring can be performed in **real** time.

In the step 506, the data acquired in the step 500 is stored once...

...second event, which depends on the first recognized event, is recognized as normal, .Operational data existing during abnormal or missing events is, therefore, stored, The data can be stored using any...ll can also be used to improve the results obtained when performing fault diagnosis, For example, if the fault diagnostic procedures result in two components being equally ranked in their likelihood...

### ...of the

additional data can then yield the faulty component. The results from the analysis step 508 can also-be used to modify a performance parameter in the system being monitored to improve the system's performance. For example, if in an airplane, a low fuel condition were detected, then the performance of the environmental control system could be degraded to conserve fuel.

Also, if the analysis performed in the **step** 508 indicates that the system is performing abnormally, then a warning can be issued to warn an operator of the system of the abnormal **behavior**. For **example**, if the system being monitored has military applications, an analysis process performing battle **damage** assessment on the system can use the results of system monitoring to determine the functionality...

- ...As mentioned before, the data acquisition system should be flexible to allow the modification of parameters within the data acquisition system in response to the steps 504 or 508. This is illustrated with reference to Figures 5 13 through 16, Figure...
- ...546, 548 and 550 are connected to the APU to detect the turbine RPM, the **Exhaust** Gas Temperature, the oil pressure, the fuel pressure and the compressor discharge pressure, respectively, A...
- ...digital convertor 556.

Whenever signals from the sensors 542 through 552 are acquired, a data <code>sample</code> record comprising each of the present signals is stored in a memory 558. Additionally, each data <code>sample</code> is time tagged in the memory 558, One of 20 the advantages of this invention data acquisition system are illustrated, by way of <code>example</code> only, -to show the effectiveness of the present invention in obtaining data of better <code>quality</code>, The illustrated data acquisition system has twenty channels, a <code>given</code> amount of memory, for <code>example</code> 1 M byte or 8 M bitf and uses a twelve bit analog to digital...

- ...of data from the monitored system using this channel configuration 580 results in the data **sample** record 582. The data **sample** record 582 comprises 130 bits of data; twelve bits from each of the ten analog...
- ...a result, when the monitoring system uses the channel configuration 580 of Figure 14, 61538 sample records (18 M bits/130 bits per sample record) can be stored in the memory of the data acquisition system, There may be...
- ...to an area of performance of the system which is of particular interest4 If. for **example**, the events recognized by the event recognition step 502 (see Figure 11) indicate that the...
- ...using the modified channel configuration 590 can be seen by referring to the resulting data **sample** 592, which comprises 28 bits; twelve bits from each of the two analog channels and...
- ...result, when the monitoring system uses the channel configuration 590 of Figure 15, 285,714 sample records (8 M bits/28 bits per sample record) can be stored in the memory of the data acquisition system, The use of...
- ...channel configuration 590 of Figure
  15, therefore, allows approximately 4,6 times the number of sample records to be stored as the use of the channel configuration 580 does, Furthermore, all...

...the aspect of performance of the system which is of particular interest, whereas the data **sample** 582 resulting from the use of the channel configuration 580 ...interest, The amount of relevant data stored is, therefore, much greater than 4.6 times. **Given** the limited amount of memory which can be provided in a **given** space, this flexibility in channel configuration results in a 10 monitoring system with greatly improved data acquisition'.

both in terms of **quality** and quantity of data stored, In **addition** to the channel configuration, the sampling rate at which the data acquisition system **samples** the signals in a system can be adjusted to examine a particular aspect of the data. Figure 16 illustrates a signal 620 which is **sampled** on a particular channel, A first time line 622 illustrates the initial sampling rate of the data-acquisition system, wherein **samples** of the signal are taken at times t, and t3 and a voltage Level A...

...detailed picture of
the signal and to examine any transient responses in the
signal. For example, if the sample rate is doubled as
indicated on time line 624, so that the signal 620 is now
sampled at times t1, t2 and t3, then the sample taken at
time t2 would indicate an abnormal voltage Level B which was
undetected previously. Alternately, if the signal of
concern needs: to be sampled over a greater time window, the
sampling rate of-the system can be decreased,
Another...

### Claim

... during its operation to obtain operational
 data;
 an event record data base for providing data
 representative of a plurality of predefined events that
 occur during operation of said system under test...

...predefined events
recognized by said comparison means;
a structural data base-for providing data
30 representative of said system under test's structure; and
analysis means for analyzing said structural data...

...as claimed in claim 6,
 further comprising:
 a first heuristic data base for providing data
 representative of a plurality ...said listing in
 said memory means according to components and ranking
 effects associated with said recognized subset of
 symptom-fault relationships.
 8* A fault diagnostic tool as claimed in claim 7,
 further comprising:
 a second heuristic data base for providing data
 representative of a plurality of failure modes-for said
 symptom under test; and
 third comparison means...

- ...the occurrence of said pre-defined event is dependent and by one or more critical **parameters** defining operational data which must occur during the system's performance for said pre-defined event to occur;
  - (b) acquiring a plurality of operational data **samples** from the system during a period of operation with a data acquisition system;
  - (c) for...
- ...plurality of event records, comparing a first of said plurality of said acquired operational data **samples** to said one or more critical **parameters** in said first of said plurality of event 5 records, if a match is found...
- ...if a match is found between said first of said plurality of acquired operational data **samples** and said one or more critical **parameters** from said first of said plurality of event records and adding said recognized event to...
- ...of recognized events;
  - (f) repeating steps (d) and (e) for each successive acquired operational data **sample** in said plurality of acquired operational data **samples** unless said first of said plurality of event records is recognized in step (e); (g claim 9, further
  - 25 comprising the **step** of:
  - (i) modifying a performance parameter in the system as a result of said analyzing step (h) to obtain a desired performance level of the system,
  - 11 The method as claimed in claim 9, further comprising...
- ...modifying said data acquisition system to enable
  acquisition of a second plurality of operational data
   samples in accordance with the results of said analyzing
  step (h):
  - 12 A method for monitoring...
- ...the occurrence of said pre-defined event is dependent and by one or more critical **parameters** defining operational data which must occur during the system's performance for said pre-defined...
- ...plurality of data defining actions to be performed;
  - (b) acquiring a plurality of operational data **samples** from the system during a period of operation with a data acquisition system;
  - (c) for...
- ...plurality of event records, comparing a first of said plurality of said

acquired operational data samples to sa@d one or more critical parameters in said first of said plurality of event records if a match is found in...

- ...if a match is found between said first of said plurality of acquired operational data samples and said one or more critical parameters from said first of said plurality of event records and adding said recognized event to...
- ... of recognized events;
  - (f) repeating steps (d) and (e) for each successive acquired operational data sample in said plurality of acquired operational data samples unless said first of said plurality of event records is recognized in step (e); (g...from said step (h).
  - 15 The method as claimed in claim 12, further comprising the step of: M modifying a performance parameter in the system as a result of said analyzing step (h) to obtain a desired performance level of the system,
  - 16 The method as claimed in claim 12, further comprising...
- ...modifying said data acquisition system to enable acquisition of a second plurality of operational data samples in accordance: with the results of said analyzing step (h):
  - 17 Apparatus for monitoring a system's performance, comprising: data acquisition means for collecting a plurality of 35 operational data samples from the system during a period of operation; an event record database for providing a...
- ...occurrence of
  - 5 said pre-defined event is dependent and by one or more critical parameters defining operational data which must occur during the system's performance for said pre-defined...
- ...is dependent and if a match
  - is found, then comparing said one or more critical parameters to each successive operational data sample and if a match is found, recognizing said event pre-defined by said event record...
- ... The apparatus as claimed in claim 17, further comprising: modifying means for changing a performance parameter in accordance with said an output from said analysis means.
  - 19 The apparatus as claimed in claim 17, further comprising: modifying means for adjusting one or more parameters

in said data acquisition means in accordance with an output from said analysis means to enable acquisition of a second plurality of operational data samples .

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	•			
		•		
		•		
	•			

```
Items
                Description
Set
     15320629
S1
                PREDICT? OR INTUIT?? OR INTUITING OR FORECAST? OR PROGNOS?
             OR ANTICIPAT? OR EVALUAT? OR MONITOR? OR MEASUR?
S2
     13667843
                APPROXIMATING OR CALCULAT? OR COMPUTING OR COMPUTE OR COMP-
             UTES OR COMPUTED OR ESTIMAT? OR APPRAIS? OR ASSESS? OR TREND?-
             (3N) ANALY? OR INTERPOLAT? OR RECOGNI? OR EXTRAPOLAT? OR DERIV?
S3
      7127940
                S1:S2(7N) (METHOD? OR SYSTEM? OR PROCESS?? OR PROCEDUR? OR -
             TECHNIQUE? OR MODE?)
                 (REMAINING? OR REMAINDER? OR AVAILAB? OR LEFT OR RESIDUAL?-
S4
       165605
             ) (5N) (LIFE? OR YEAR? OR TIME? OR DAY OR DAYS OR HOUR? OR WEEK?
              OR MONTH?)
S5
       392535
                TIME (2W) FAILURE? OR FAULT? (2W) DIAGNOS? OR TIME (2W) OPERATIO-
             N? OR (WORK? OR OPERATION?) (2N) LIFE? OR BREAKDOWN? OR (BREAK?
             OR BROKE?) () DOWN
$6
         1084
                 (VIRTUAL? OR THEORETIC?) (2N) (AGE OR AGES OR AGING)
S7
     12904293
                MACHIN? OR EQUIPMENT? OR APPLIANC? OR APPARATUS? OR TOOL? ?
              OR ENGINE? OR INDUSTR?() DEVICE? OR MECHANIC? OR MECHANISM?
S8
      2083953
                *deleted* WEAR? OR DAMAG? OR ATTRIT? OR EROSI? OR ERODE? -
             OR ERODING OR ABRAD? OR DEGENERAT? OR DECLIN? OR WORSEN?
      4092398
S9
                *deleted*
                           DELAPIDAT? OR EXHAUST? OR FATIGU? OR DEGRAD? OR
             DETERIORAT? OR ABRAS? OR DECAY? OR DIMINISH? OR STRESS?
S10
     13635225
                *deleted*
                           PARAMETER? OR VARIABL? OR VALUE? OR QUALITY? OR
             QUALITIE? OR CHARACTERISTIC? OR THRESHOLD?
                           ATTRIBUT? OR TRAIT? OR PATTERN? OR COEFFICIENT?
     10609049
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S11
             OR BEHAVIOR? OR BEHAVIOUR? OR VECTOR?
     11560711
                *deleted*
                           INCREMENT? OR STEP OR STEPS OR STEPPED OR STEPP-
S12
             ING OR AUGMENTAT? OR INCREAS? OR UPTICK? OR UP()TICK? OR ADDI-
             TION? OR ADVANC? OR PROGRESSION? OR PROGRESSING? OR STEP(2W)S-
             TEP OR STEPWISE? OR BIT (2W) BIT OR INCH (2W) INCH OR PIECEMEAL?
                           DECREMENT? OR PROTOCOL? OR GRADATION? OR GRADUA-
S13
       736967
                *deleted*
             T? OR CUMULATIV?
       770369
                *deleted*
S14
                            NEURAL() NETWORK? OR MACHINE() (LEARN? OR INTELLI-
             GEN?) OR ARTIFICIAL()INTELLIGEN? OR AI OR GAUSS?()(DISTRIBUT?
             OR CURVE?) OR FUZZY()(LOGIC? OR INFERENC? OR THEOR?)
S15
        51496
                *deleted*
                           RADIAL()BASIS OR BASIS()FUNCTION? OR RBF OR RAD-
             IALBASIS? OR BASISFUNCTION? OR RBFN
S16
                            COVER? (2N) THEOREM? (5N) SEPARAB? (5N) PATTERN?
                *deleted*
S17
                *deleted*
                            (INPUT? (10N) OUTPUT?) (10N) (MAP OR MAPS) (10N) (APP-
             ROXIMATOR? OR APPROXIMATER?)
                S1:S3 AND S4:S6 AND S7
S18
        88146
S19
       770369
                NEURAL()NETWORK? OR MACHINE()(LEARN? OR INTELLIGEN?) OR AR-
             TIFICIAL()INTELLIGEN? OR AI OR GAUSS?()(DISTRIBUT? OR CURVE?)
             OR FUZZY() (LOGIC? OR INFERENC? OR THEOR?)
S20
        51496
                RADIAL()BASIS OR BASIS()FUNCTION? OR RBF OR RADIALBASIS? OR
              BASISFUNCTION? OR RBFN
S21
                COVER? (2N) THEOREM? (5N) SEPARAB? (5N) PATTERN?
S22
                 (INPUT?(10N)OUTPUT?)(10N)(MAP OR MAPS)(10N)(APPROXIMATOR? -
             OR APPROXIMATER?)
S23
           23
                 (APPROXIMATOR? OR APPROXIMATER?) (10N) LINEAR? (3N) (COMBINE? -
             OR COMBINING? OR COMBINATION?)
S24
         5321
                S18 AND S19:S23
S25
          209
                S24 AND S19 AND S20
S26
           92
                S25 AND PY<2001
S27
                RD (unique items)
File
       2:INSPEC 1969-2005/Jul W4
         (c) 2005 Institution of Electrical Engineers
File
       6:NTIS 1964-2005/Jul W4
         (c) 2005 NTIS, Intl Cpyrght All Rights Res
File
       8:Ei Compendex(R) 1970-2005/Jul W4
         (c) 2005 Elsevier Eng. Info. Inc.
File
      34:SciSearch(R) Cited Ref Sci 1990-2005/Jul W5
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1: 0

(c) 2005 American Institute of Physics File 65:Inside Conferences 1993-2005/Jul W5

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File 94:JICST-EPlus 1985-2005/Jun W2
(c)2005 Japan Science and Tech Corp(JST)

File 95:TEME-Technology & Management 1989-2005/Jun W4 (c) 2005 FIZ TECHNIK

File 99:Wilson Appl. Sci & Tech Abs 1983-2005/Jul (c) 2005 The HW Wilson Co.

File 111:TGG Natl.Newspaper Index(SM) 1979-2005/Aug 03 (c) 2005 The Gale Group

File 144: Pascal 1973-2005/Jul W4 (c) 2005 INIST/CNRS

File 239:Mathsci 1940-2005/Sep

(c) 2005 American Mathematical Society

File 256:TecInfoSource 82-2005/Jun (c) 2005 Info.Sources Inc

File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec

(c) 1998 Inst for Sci Info

?

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27/3,K/6
            (Item 6 from file: 2)
DIALOG(R)File
              2:INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
         INSPEC Abstract Number: B2000-04-6140-002, C2000-04-7440-001
  Title: Diagnosis of rolling element bearing faults using radial
 function networks
 Author(s): Jack, L.B.; Nandi, A.K.; McCormick, A.C.
 Author Affiliation: Dept. of Electr. Eng. & Electron., Liverpool Univ.,
UK
  Journal: Applied Signal Processing
                                     vol.6, no.1
                                                     p.25-32
  Publisher: Springer-Verlag,
 Publication Date: 1999 Country of Publication: UK
 CODEN: ASPRFL ISSN: 0941-0635
  SICI: 0941-0635(1999)6:1L.25:DREB;1-F
 Material Identity Number: A479-2000-001
 U.S. Copyright Clearance Center Code: 0941-0635/99/$2.00+0.20
 Language: English
  Subfile: B C
 Copyright 2000, IEE
 Title: Diagnosis of rolling element bearing faults using radial basis
 function networks
 Abstract: There are many techniques for extracting indicators of a
          's condition from its vibrations. In detecting common machine
problems such as bearing defects, a combination of several indicators may
provide the best diagnosis. Artificial neural
                                               networks provide a way of
combining this information, giving improved
                                               fault
                                                          diagnosis . The
selection of the best combination of complementary features is not,
however, straightforward, especially as...
... was subjected to a number of common rolling element bearing faults and
different features were estimated from measured vibrations. Radial
       function networks were trained and evaluated for a large number
of possible combinations of input features. This allowed the determination
                     which were useful in fault recognition
    those features
characterisation, and those which provided little or no useful information
at all.
  ... Descriptors: condition monitoring; ...
... fault
           diagnosis; ...
...learning ( artificial
                        intelligence ); ...
... machine bearings...
... mechanical
                engineering
                              computing; ...
...parameter estimation ; ...
... radial
            basis
                    function networks...
... vibration measurement
 Identifiers: fault
                       diagnosis; ...
            basis
                    function networks...
... radial
... machine condition...
...artificial neural networks; ...
```

2/1

```
...feature estimation ; ...
...vibration measurement ;
1999
```

(Item 7 from file: 2) 27/3,K/7 DIALOG(R)File 2:INSPEC (c) 2005 Institution of Electrical Engineers. All rts. reserv. INSPEC Abstract Number: C2000-03-5290-013 Title: Fault detection of rotating machine parts using novel fuzzy network neural Author(s): Taniguchi, S.; Akhmetov, D.; Dote, Y. Author Affiliation: Dept. of Comput. Sci. & Syst. Eng., Muroran Inst. of Technol., Hokkaido, Japan SMC'99 Conference Proceedings. 1999 IEEE Conference Title: IEEE International Conference on Systems, Man, and Cybernetics (Cat. p.365-9 vol.1 No.99CH37028) Part vol.1 Publisher: IEEE, Piscataway, NJ, USA 1999 Country Publication: Publication Date: of USA 6 vol. (1179+1075+1106+1124+1140+1078) pp. ISBN: 0 7803 5731 0 Material Identity Number: XX-1999-03282 U.S. Copyright Clearance Center Code: 0 7803 5731 0/99/\$10.00 Conference Title: IEEE SMC'99 Conference Proceedings. 1999 International Conference on Systems, Man, and Cybernetics Conference Sponsor: IEEE Syst., Man, & Cybernetics Soc. (SMC); Sci. Council of Japan (SCJ); Soc. Instrum. & Control Eng. (SICE); Robotics Soc. Japan (RSJ); Japan Soc. Mech. Eng. (JSME) Conference Date: 12-15 Oct. 1999 Conference Location: Tokyo, Japan Language: English Subfile: C Copyright 2000, IEE Title: Fault detection of rotating machine parts using novel fuzzy network neural Abstract: This paper proposes a novel fuzzy neural network for fault detection of rotating machine parts. Firstly, soft computing which is the fusion or combination of fuzzy systems, neural networks and genetic algorithms is studied. Then, by taking advantages of fuzzy systems and networks a novel fuzzy- neural neural network with a general learning algorithm and system structure determination is parameter developed. The network is based on one of local basis networks. The general parameter method (GP) is based on GMDH (group methods of data handling... ... GP is used for a learning algorithm and the structure determination of the developed fuzzy  $\verb"neural"$   $\verb"network"$  . As the resulting network needs only  $\verb"fuzzy"$   $\verb"inference"$  computation with GP  $\verb"calculations"$ , which is, generally the combination of soft and hard computing , called computational intelligence, is suitable to solve nonlinear problems, it especially needs a little computation...  $\dots$  it is easy to implement with a HITACHI RISC+DSP microprocessor fast enough for real time operations . The developed signal processor is self-organizing, self-tuning and automated designed. In order to confirm diagnosis performance by the developed the feasibility of fault network, it is experimentally applied to fault detection ( diagnosis ) of rotational machine parts (automobile transmission gears). It is found that the developed method is superior to other... diagnosis ; ... ...Descriptors: fault ...inference mechanisms;

Identifiers: fuzzy neural network; ...

...rotating machine parts...

```
...soft computing; ...
...local basis function networks...
... fuzzy inference computation...
...real time operations;
1999
```

```
(Item 8 from file: 2)
 27/3,K/8
DIALOG(R)File
                2: INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: B2000-03-7620-014, C2000-03-7460-033
 Title: Automated rule extraction for engine vibration analysis
  Author(s): Brotherton, T.; Chadderdon, G.; Grabill, P.
  Author Affiliation: Orincon Corp., San Diego, CA, USA
  Conference Title: 1999 IEEE Aerospace Conference. Proceedings (Cat.
                Part vol.3
                              p.29-38 vol.3
No.99TH8403)
  Publisher: IEEE, Piscataway, NJ, USA
  Publication
               Date: 1999 Country
                                         of
                                               Publication:
                                                              USA
                                                                       5 vol.
(xiv+488+492+470+466+480) pp.
  ISBN: 0 7803 5425 7
                           Material Identity Number: XX-1999-02384
  Conference Title: 1999 IEEE Aerospace Conference. Proceedings
  Conference Sponsor: IEEE Aerosp. & Electron. Syst. Soc
  Conference Date: 6-13 March 1999
                                         Conference Location: Snowmass at
Aspen, CO, USA
  Language: English
  Subfile: B C
  Copyright 2000, IEE
Title: Automated rule extraction for engine vibration analysis
Abstract: A problem in engine health monitoring is the automatic detection and classification of potential component failures. Current
processing uses simple features to measure and characterize changes in
sensor data. An alternative solution uses neural
                                                     networks coupled with
appropriate feature extractors. Unfortunately most neural nets give little
insight into the "why" of their output decisions. We have developed a
variation of the
                     radial
                               basis
                                       function neural net for the problem.
The neural net is essentially a nearest neighbor classifier. Classification
rules can be found by examination of the basis
                                                             functions . Rule
complexity is reduced by using evolutionary programming to select the input
features and neural...
...that gives superior performance when compared to a traditional approach...
The approach is a valuable tool for developing simple rules when a large
feature set is available.
  Descriptors: aerospace engines ; ...
... fault
            diagnosis; ...
```

... vibration measurement

... neural

1999

... interpolation

...Identifiers: engine vibration analysis...

networks ; ...

27/3,K/9 (Item 9 from file: 2) DIALOG(R) File 2: INSPEC (c) 2005 Institution of Electrical Engineers. All rts. reserv. INSPEC Abstract Number: C2000-03-1230D-029 Title: Design of fault diagnostic system based on neuro-fuzzy scheme Author(s): Sung-Ho Kim; Jung-Soo Kim; Taehong Park; Jong-Ryeol Lee; Gwi-Tae Park Journal: Transactions of the Korean Institute of Electrical Engineers, A vol.48, no.10 p.1272-8 Publisher: Korean Inst. Electr. Eng, Publication Date: Oct. 1999 Country of Publication: South Korea CODEN: CHNODD ISSN: 1229-2443 SICI: 1229-2443(199910)48:10L.1272:DFDS;1-4 Material Identity Number: H329-1999-010 Language: Korean Subfile: C Copyright 2000, IEE Title: Design of fault diagnostic system based on neuro-fuzzy scheme ... Abstract: parameter identification of a nonlinear system and to the association of the set of the **estimated** parameters with the **mode** of faults. A neuro- fuzzy inference system which contains multiple linear models as consequent part is used to model nonlinear systems. Generally, linear parameters in neuro- fuzzy inference system can be effectively utilized to fault diagnosis . In this paper, the author proposes an FDI system for nonlinear systems using neuro- fuzzy system. The proposed diagnostic system consists of two neuro- fuzzy systems which operate in two different modes (parallel and series-parallel mode). It generates the parameter residuals associated with each modes of faults which can be further processed by additional RBF ( basis function ) network to identify the faults. The proposed FDI scheme has been tested by simulation on... Descriptors: fault diagnosis; ... ...inference mechanisms; ... ...parameter estimation; ... ... radial basis function networks Identifiers: fault diagnostic system design... ...neuro- fuzzy inference system... basis ... radial **function** network 1999

```
27/3,K/10
              (Item 10 from file: 2)
DIALOG(R)File
                2:INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: B2000-01-1205-012, C2000-01-7410D-121
  Title: Application of
                            radial
                                        basis
                                                  function
preventive maintenance of electronic analog circuits
  Author(s): Catelani, M.; Fort, A.; Nosi, G.
Author Affiliation: Dipt. di Ingegneria Electron., Florence Univ., Italy
  Conference Title: IMTC/99. Proceedings of the 16th IEEE Instrumentation
and Measurement Technology Conference (Cat. No.99CH36309)
                                                              Part vol.1
p.510-13 vol.1
  Editor(s): Piuri, V.; Savino, M.
  Publisher: IEEE, Piscataway, NJ, USA
  Publication Date: 1999 Country of Publication: USA
                                                          3 vol.xl+1937 pp.
  ISBN: 0 7803 5276 9
                          Material Identity Number: XX-1999-01871
  U.S. Copyright Clearance Center Code: 0 7803 5276 9/99/$10.00
  Conference Title: IMTC/99. Proceedings of the 16th IEEE Instrumentation
and Measurement Technology Conference. Measurements for the New Millennium Conference Sponsor: IEEE Instrum. & Meas. Soc
  Conference Date: 24-26 May 1999
                                      Conference Location: Venice, Italy
  Language: English
  Subfile: B C
  Copyright 1999, IEE
  Title: Application of
                            radial
                                        basis
                                                  function
                                                             network to the
preventive maintenance of electronic analog circuits
  ... Abstract: detect soft fault is an important task in the preventive
maintenance. In this paper a neural
                                           network based approach to fault
detection of both linear and non linear circuits is presented. In
             Radial
particular
                        Basis
                                   Functions
                                               ( RBF ) networks are used to
                                 measurements , and to localise faulty
analyse circuit input-output
                            exploit the capabilities, typical of neural
                  methods
element. These
networks , to analyze and classify signatures acid to deal with problems
involving poorly defined system models...
  ... Descriptors: circuit analysis computing; ...
... fault
            diagnosis ; ...
... maintenance engineering; ...
... radial
             basis . function networks
                                 function network...
  Identifiers: radial
                         basis
... neural
             network ; ...
...input-output measurements;
   1999
```

27/3,K/13 (Item 13 from file: 2) DIALOG(R) File 2:INSPEC (c) 2005 Institution of Electrical Engineers. All rts. reserv. INSPEC Abstract Number: B1999-06-8310-010, C1999-06-7410B-028 Title: Pattern recognition and diagnosis of faults in electrical machines Author(s): Qiu Arui; Sun Jian Author Affiliation: Dept. of Electr. Eng., Tsinghua Univ., Beijing, China Journal: Journal of Tsinghua University (Science and Technology) vol.39, no.3 p.72-4 Publisher: Tsinghua Univ, Publication Date: March 1999 Country of Publication: China CODEN: QDXKE8 ISSN: 1000-0054 SICI: 1000-0054(199903)39:3L.72:PRDF;1-D Material Identity Number: G276-1999-004 Language: Chinese Subfile: B C Copyright 1999, IEE Title: Pattern recognition and diagnosis of faults in electrical machines Abstract: In order to automatically recognize and diagnose the fault patterns of electrical machines , a pattern recognition method by using an artificial neural network is developed based on the analysis of both the classification characteristics of artificial neural and the traditional technology of fault diagnosis of electrical machines . For cases that the fault patterns are nonlinear separable, a - basis function ( RBF ) network is adopted as by using a phi -function a nonlinear separable pattern can be... ... classification. Test results on the rotor faults of induction motors show that this fault pattern recognition method by using the RBF network can not only be effective, but also improve the average probability of correct classification by a supervised selection of centers of the RBF network. Descriptors: electric machine analysis computing; ... ... fault diagnosis; ... ...pattern recognition ; ... function networks ... radial basis

...Identifiers: artificial neural network; ...

... RBF network

1999

```
(Item 14 from file: 2)
27/3,K/14
DIALOG(R)File
                2:INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: C1999-05-7470-006
  Title:
          Fault
                    diagnosis of a nuclear processing plant using neural
 networks
  Author(s): Weerasinghe, M.; Williams, D.; Gomm, J.B.
  Author Affiliation: Control Syst. Res. Group, Liverpool Moores Univ., UK
Conference Title: Control of Industrial Systems. `Control for the Future of the Youth'. Proceedings volume form the IFAC Conference Part vol.2
p.977-82 vol.2
  Editor(s): Grujic, L.T.; Borne, P.; Moudni, A.E.; Ferney, M.
  Publisher: Pergamon, Oxford, UK
  Publication Date: 1997 Country of Publication: UK
                                                           3 vol. xxvii+1682
 pp.
  ISBN: 0 08 042907 6
                          Material Identity Number: XX-1999-00581
  Conference Title: Proceeding of Conference 97. IFAC IFIP IMACS. On
Control of Industrial Systems
  Conference Sponsor: IFAC; Assoc. Francaise pour la Cybern., Econ. Tech.;
IFIP; IMACS
  Conference Date: 20-22 May 1997 Conference Location: Belfort, France
  Language: English
  Subfile: C
  Copyright 1999, IEE
  Title:
          Fault
                    diagnosis of a nuclear processing plant using neural
 Abstract: Development of a reliable
                                           fault
                                                    diagnosis method for a
large scale industrial plant is laborious and often difficult to achieve
due to the complexity of the systems. The application of neural networks
to the diagnosis of non-catastrophic faults on an industrial nuclear
processing plant is described...
... and principal component analysis, are investigated to facilitate fault
classification and reduce the complexity of neural
                                                        networks . Results
are presented to illustrate the performance of trained neural
 for classifying process faults using real data.
  ...Descriptors: fault
                           diagnosis ; ...
...nuclear engineering
                          computing ; ...
... radial
            basis
                     function networks
  Identifiers: fault
                       diagnosis; ...
... RBF
          neural
                   networks ;
   1997
```

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27/3,K/21
              (Item 21 from file: 2)
DIALOG(R) File 2: INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: B9708-8230F-005, C9708-7410B-157
  Title: Utilising a SIMULINK gas turbine engine
                                                            model for fault
 diagnosis
  Author(s): Patel, V.C.; Kadirkamanathan, V.; Thompson, H.A.; Fleming,
P.J.
  Author Affiliation: Sheffield Univ., UK
  Conference Title: Control of Power Plants and Power Systems (SIPOWER'95).
A Proceedings volume from the IFAC Symposium p.237-42
  Editor(s): Canales-Ruiz, R.
  Publisher: Pergamon, Oxford, UK
  Publication Date: 1996 Country of Publication: UK
  ISBN: 0 08 042362 0
                           Material Identity Number: XX95-01612
  Conference Title: International Symposium on Control of Power Plants and
Power Systems
  Conference Sponsor: IFAC
  Conference Date: 6-8 Dec. 1995 Conference Location: Cancun, Mexico
  Language: English
  Subfile: B C
  Copyright 1997, IEE
  Title: Utilising a SIMULINK gas turbine
                                                  engine
                                                            model for fault
 diagnosis
Abstract: Gas turbine engines are highly nonlinear, multi-sample rate, multi-input/multi-output systems with fast changing dynamics. As a consequence a representative gas turbine engine model that comprises the
 engine
         , its accessories and the controller, is extremely complex and
interactive, incorporating look-up tables derived from real engine data
and a mixture of continuous and logical functions. In this paper, a
SIMULINK model of the gas turbine engine and controller is described.
This model is being primarily used for generating simulated fault data that
is being used to train neural networks for fault diagnosis. The
current work focuses on using resource allocating networks (RAN) to not
only identify faults...
  Descriptors: fault
                         diagnosis; ...
...learning ( artificial
                            intelligence ); ...
...power engineering
                        computing;
  Identifiers: SIMULINK gas turbine engine model...
... fault
            diagnosis; ...
... neural
             networks training...
... radial
             basis
                     function networks
```

1996

```
27/3,K/22
            (Item 22 from file: 2)
DIALOG(R) File 2: INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
         INSPEC Abstract Number: C9705-7400-005
Title: Fault
                diagnosis of dynamic systems using ANN-based MMAE method
 Author(s): Zhang, Y.; Li, Q.; Dai, G.; Frank, P.M.
 Author Affiliation: Dept. of Autom. Control, Northwestern Polytech.
Univ., Xian, China
 Journal: Elektronnoe Modelirovanie
                                      vol.18, no.5
                                                      p.38-48
 Publisher: Inst. Problem NAN Ukrainy,
 Publication Date: Sept.-Oct. 1996 Country of Publication: Ukraine
 CODEN: ELMODO ISSN: 0204-3572
 SICI: 0204-3572(199609/10)18:5L.38;1-E
 Material Identity Number: E296-97002
 Translated in: Electronic Modeling
 Country of Publication: UK
 CODEN: EMODD8
                 ISSN: 0275-9136
 Language: English
 Subfile: C
 Copyright 1997, IEE
Title: Fault
              diagnosis of dynamic systems using ANN-based MMAE method
 Abstract: The paper combines a neural network (ANN) and a multiple
        adaptive
                  estimator
                              (MMAE) to realize a new approach for fault
detection and diagnosis (FDD) of nonlinear systems as well as linear
systems. Instead of Kalman filter, a bank of ANNs is inserted in the MMAE,
forming a group of nonlinear estimators . In order to overcome the
drawbacks of traditional training algorithms for radial basis
networks ( RBFN ), a new optimization and training method based on the
singular value decomposition (SVD) technique for RBFN is developed . The
new algorithm is then used for FDD of nonlinear systems as well...
 Descriptors: adaptive estimation; ...
... engineering
                 computing ; ...
... fault diagnosis;
 Identifiers: fault
                       diagnosis; ...
... neural
            network ; ...
... multiple model adaptive estimator; ...
...nonlinear estimators; ...
... radial
            basis
                    function networks
  1996
```

```
27/3,K/25
             (Item 25 from file: 2)
DIALOG(R) File 2: INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
         INSPEC Abstract Number: C9704-7450-002
Title: Lime kiln fault detection and diagnosis by neural
                                                              networks
 Author(s): Ribeiro, B.; Costa, E.; Dourado, A.
 Author Affiliation: Centro de Inf. e Sistemas, Coimbra Univ., Portugal
                      Artificial Neural Nets and Genetic Algorithms.
 Conference
             Title:
Proceedings of the International Conference p.112-15
  Editor(s): Pearson, D.W.; Steele, N.C.; Albrecht, R.F.
  Publisher: Springer-Verlag, Vienna, Austria
 Publication Date: 1995 Country of Publication: Austria
 ISBN: 3 211 82692 0
                         Material Identity Number: XX95-00357
 Conference Title: Proceedings of International Conference on Artificial
Neural Networks and Genetic Algorithms
 Conference Date: 18-21 April 1995
                                   Conference Location: Ales, France
 Language: English
 Subfile: C
 Copyright 1997, IEE
Title: Lime kiln fault detection and diagnosis by neural
                                                              networks
 Abstract: Artificial
                        neural
                                   networks
                                             have recently been used
successfully for fault detection and diagnosis in chemical processes.
We present a study on fault detection and diagnosis of an industrial
lime kiln which is a complex highly nonlinear process within the pulp and
paper industry. We show the capability of neural networks to learn
faults which can occur during steady state kiln operation, their adaptation
to different...
... capability to spontaneously generalize. We compare the performance of
two architectures, namely BPNN (Back Propagation Neural Network ) and
RBFNN ( Radial
                   Basis
                            Function
                                     Neural
                                             Network ), and investigate
several topologies. Through this study, it can be concluded that the RBFNN
architecture...
  ... Descriptors: chemical engineering computing; ...
... fault diagnosis;
 ....Identifiers: fault
                          diagnosis; ...
...artificial neural
                       networks ; ...
...Back Propagation Neural
                            Network ; ...
... Radial
            Basis
                    Function
                              Neural
                                       Network ;
  1995
```

```
27/3,K/27
               (Item 27 from file: 2)
                 2: INSPEC
DIALOG(R)File
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: C9701-7440-059
                                               model for cylinder pressure
   Title:
            Α
                RBF
                         neural
                                     network
reconstruction in internal combustion engines
  Author(s): Gu, F.; Jacob, P.J.; Ball, A.D. Author Affiliation: Sch. of Eng., Manchester Univ., UK
Conference Title: IEE Colloquium on Modelling and Signal Processing for Fault Diagnosis (Ref. No.1996/260) p.4/1-11
  Publisher: IEE, London, UK
Publication Date: 1996 Country of Publication: UK
  Material Identity Number: XX96-03400
Conference Title: IEE Colloquium on Modelling and Signal Processing for Fault Diagnosis (Ref. No.1996/260)
  Conference Sponsor: IEE
  Conference Date: 18 Sept. 1996 Conference Location: Leicester, UK
  Language: English
  Subfile: C
  Copyright 1996, IEE
           Α
               RBF
                         neural
                                     network
                                               model for cylinder pressure
reconstruction in internal combustion engines
  Abstract: This paper proposes the use of a non-parametric RBF
            to model the relationship between the instantaneous angular
velocity of the crankshaft and the pressure in the cylinders of an internal
combustion engine . The structure of the model and the training procedure
of the network is outlined. The application of the model is demonstrated on
a four cylinder DI diesel engine with data from a wide range of speed and
load settings. The prediction capabilities of the model once trained
can be validated against measured data. An example is given of the
application of this model to aid in the...
  Descriptors: angular velocity measurement; ...
... fault
            diagnosis; ...
...internal combustion engines ; ...
...pattern recognition ;
  Identifiers: RBF
                       neural
                                network model...
...internal combustion engines; ...
...nonparametric neural
                           network ; ...
...four cylinder DI diesel engine ; ...
... prediction capabilities
   1996
```

```
27/3,K/29
             (Item 29 from file: 2)
               2: INSPEC
DIALOG(R)File
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
         INSPEC Abstract Number: B9610-8130-006, C9610-7410B-053
 Title:
         Radial
                    basis
                              function
                                          neural
                                                   networks for fault
diagnosis in series compensated transmission lines: a comparison study
 Author(s): Song, Y.H.; Xuan, Q.Y.; Johns, A.T.; Aggarwal, R.K.
 Author Affiliation: Sch. of Electron. & Electr. Eng., Bath Univ., UK
                      IIA'96/SOCO'96.
                                        International ICSC Symposia on
 Conference
              Title:
Intelligent Industrial Automation and Soft Computing
                                                     p.B121-5
  Editor(s): Anderson, P.G.; Warwick, K.
 Publisher: Int. Comput. Sci. Conventions, Millet, Alta., Canada
 Publication Date: 1996 Country of Publication: Canada ix+556 pp.
 ISBN: 3 906454 01 0
                        Material Identity Number: XX96-00941
                      Proceedings of IIA '96. Intelligent Industrial
 Conference
              Title:
Automation
  Conference Date: '26-28 March 1996 Conference Location: Reading, UK
 Language: English
  Subfile: B C
 Copyright 1996, IEE
         Radial
                    basis
                              function
                                           neural
                                                   networks for fault
diagnosis in series compensated transmission lines: a comparison study
 Abstract: Since the complex variation of line impedance is accentuated as
the capacitor's own protection equipment operates randomly under fault
conditions
           in
                 series compensated transmission systems, conventional
distance protection schemes are...
... perceptrons. The basic idea of the method is to design a protection
scheme using a neural network approach by catching the feature signals
in a certain frequency range under fault conditions. This is different from
conventional schemes that are based on deriving implicit mathematical
         based on the information obtained by complex filtering
equations
techniques. This paper presents some recent results of employing different
      of neural
                      networks for this particular application. The
performances of three neural networks have been analyzed and compared,
           (i) backpropagation network (BP); (ii) radial
including:
function network ( RBFN ) and (iii) counter-propagation network (CP). The
study shows that CP and RBFN have better performance than the commonly
used BP network. As fault identification is only part of the protection
scheme, further work is towards the development of a completed neural
network based protection technique.
  ...Descriptors: fault
                         diagnosis; ...
...power engineering
                      computing ;
 Identifiers: radial
                      basis function neural networks; ...
... fault
         diagnosis ; ...
...protection equipment;
  1996
```

```
27/3,K/34
               (Item 34 from file: 2)
DIALOG(R)File
               2:INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: C9508-1230-031
 Title: Learning methodology for failure detection and accommodation
  Author(s): Polycarpou, M.M.; Vemuri, A.T.
  Author Affiliation: Dept. of Electr. & Comput. Eng., Cincinnati Univ.,
OH, USA
  Journal: IEEE Control Systems Magazine
                                            vol.15, no.3
  Publication Date: June 1995 Country of Publication: USA
  CODEN: ISMAD7 ISSN: 0272-1708
  U.S. Copyright Clearance Center Code: 0272-1708/95/$04.00
  Language: English
  Subfile: C
  Copyright 1995, IEE
  ... Abstract: fault tolerance in dynamical systems many algorithms have
been developed during the past two decades. Fault diagnosis and accommodation methods have traditionally been based on linear modeling
techniques, which restricts the type...
... learning methodology for failure detection and accommodation. The main
idea behind this approach is to monitor the physical system for any
off-nominal behavior in its dynamics using nonlinear modeling techniques.
The principal design tool used is a generic function approximator with
adjustable parameters, referred to as online approximator. Examples of such
structures include traditional approximation models such as polynomials and
splines as well as
                        neural
                                   networks topologies such as sigmoidal
                                       basis
multilayer networks and
                            radial
                                                 function networks. Stable
learning methods are developed for monitoring the dynamical system .
The nonlinear modeling nature and learning capability of the estimator
allow the output of the online approximator to be used not only for
detection but...
... and to gain intuition into the effect of modeling uncertainties on the
performance of the fault
                           diagnosis scheme.
  ...Descriptors: fault
                           diagnosis; ...
...learning ( artificial
                           intelligence );
  ... Identifiers: neural
                           network topologies...
```

... radial

1995

basis

function networks

```
2:INSPEC
DIALOG(R)File
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
         INSPEC Abstract Number: C9507-5290-061
  Title: An adaptive neural network for on-line learning and diagnosis
of process faults
  Author(s): Gomm, J.B.; Wiiliams, D.
  Author Affiliation: Sch. of Electr. & Electron. Eng., Liverpool John
Moores Univ., UK
  p.9/1-5
  Publisher: IEE, London, UK
  Publication Date: 1995 Country of Publication: UK 90 pp.
Conference Title: IEE Colloquium on 'Qualitative and Quantitative Modelling Methods for Fault Diagnosis' (Digest No.1995/079)
  Conference Sponsor: IEE
  Conference Date: 24 April 1995 Conference Location: London, UK
  Language: English
  Subfile: C
  Copyright 1995, IEE
  Title: An adaptive neural network for on-line learning and diagnosis
of process faults
  Abstract: Techniques to enable a
                                        radial
                                                 basis
                                                         function ( RBF )
               exhibit online learning properties for process
network
        to
diagnosis
               are described. These methods were demonstrated in an
application of the RBF network to the diagnosis of a range of both sudden
and gradual faults in a simulated continuous stirred tank reactor (CSTR)
          . The network was able to recognise and learn new fault
conditions recursively, and also to successfully diagnose faults that had
been previously encountered. The results demonstrate the potential of the
approach for online fault diagnosis applications in real processes. The
network can be considered as a type of process fault model which maps
    process measurement space to a fault classification space. The
network centres represent points in the process measurement space which
correspond to transient and steady-state features of faults on the process.
Further...
  Descriptors: chemical engineering computing; ...
           diagnosis; ...
...learning ( artificial
                          intelligence );
  Identifiers: adaptive neural network; ...
...online fault
                  diagnosis; ...
...process fault
                   diagnosis; ...
... radial
            basis
                    function network...
...recursive fault condition recognition ; ...
... process
             measurement space
   1995
```

(Item 35 from file: 2)

27/3,K/35

```
27/3,K/36
               (Item 36 from file: 2)
DIALOG(R)File
              2:INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: B9507-8110B-099, C9507-7410B-271
4971817
  Title:
          Fault
                    diagnosis
                               and
                                     neural
                                                networks : a power plant
application
 Author(s): Guglielmi, G.; Parisini, T.; Rossi, G.
 Author Affiliation: UF/D/Q Dept., CSELT, Torino, Italy
                                                         p.601-20
 Journal: Control Engineering Practice vol.3, no.5
  Publication Date: May 1995 Country of Publication: UK
 CODEN: COEPEL ISSN: 0967-0661
 U.S. Copyright Clearance Center Code: 0967-0661/95/$9.50+0.00
Language: English
  Subfile: B C
 Copyright 1995, IEE
 Title:
          Fault
                    diagnosis
                                and
                                      neural
                                                 networks : a power plant
application
  Abstract: Correct and timely fault detection is of major importance in
the field of system engineering , and constitutes a primary problem in a
broad spectrum of cases, from industrial processes to high-performance
systems and to mass-produced consumer equipment . A large number of
methods can be found in the literature, and the recent use of neural
          for solving fault - diagnosis problems in real industrial
situations seems to be particularly promising. This paper describes a
neural approach to solving approximately some very difficult fault -
            problems. A real system (the four heaters of a feedwater
diagnosis
high-pressure line of a...
... accurate and validated model of the plant show the effectiveness of using multilayer feedforward and Radial Basis Function neural
networks to solve real fault -detection and diagnosis problems.
 Descriptors: fault
                       diagnosis; ...
...power system analysis computing
 Identifiers: neural
                       networks ; ...
... fault
           diagnosis; ...
...system engineering; ...
...multilayer feedforward neural
                                   networks ; ...
... Radial
            Basis
                    Function
                               neural
                                        networks ;
  1995
```

```
27/3,K/38
             (Item 38 from file: 2)
DIALOG(R) File 2: INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: C91044611
 Title: Radial
                 basis
                        function networks for classifying process faults
 Author(s): Leonard, J.A.; Kramer, M.A.
 Author Affiliation: Dept. of Chem. Eng., MIT, Cambridge, MA, USA
 Journal: IEEE Control Systems Magazine vol.11, no.3
 Publication Date: April 1991 Country of Publication: USA
 CODEN: ISMAD7 ISSN: 0272-1708
U.S. Copyright Clearance Center Code: 0272-1708/91/0400-0031$01.00
 Language: English
 Subfile: C
                         function networks for classifying process faults
Title: Radial
                 basis
  ... Abstract: the training set, and the error function used for training.
The backpropagation network has no mechanism in the standard training
scheme for identifying regions not in any known classes. The radial
basis
          function
                    network overcomes these difficulties by using a
nonmonotonic transfer function based on the Gaussian density function.
While producing robust decision surfaces, the radial
                                                        basis function
also provides an
                    estimate of how close a test case is to the original
training data, allowing the classifier ...
... the most plausible classification. For applications where this type of
behavior is important, such as fault diagnosis, the radial basis
              network is shown to offer clear advantages over the
backpropagation network. The radial basis
                                             function is also faster to
train because the training of the two layers is decoupled.
  ...Descriptors: pattern recognition ;
  ... Identifiers: pattern recognition ; ...
... neural
            networks ; ...
... radial
            basis
                    function network
```

1991

27/3,K/39 (Item 1 from file: 6)

DIALOG(R) File 6:NTIS

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1862129 NTIS Accession Number: N95-18467/7

Radial Basis Function Neural Networks Applied to NASA Ssme Data (Final Report)

Wheeler, K. R.; Dhawan, A. P.

Cincinnati Univ., OH.

Corp. Source Codes: 006394000; CP730085

Sponsor: National Aeronautics and Space Administration, Washington, DC. Report No.: NAS 1.26:195417; E-9347; NASA-CR-195417; TR-154/6/93/ECE

Jun 93 63p

Languages: English

Journal Announcement: GRAI9509; STAR3305

Order this product from NTIS by: phone at 1-800-553-NTIS (U.S. customers); (703)605-6000 (other countries); fax at (703)321-8547; and email at orders@ntis.fedworld.gov. NTIS is located at 5285 Port Royal Road, Springfield, VA, 22161, USA.

NTIS Prices: PC A04/MF A01

Radial Basis Function Neural Networks Applied to NASA Ssme Data This paper presents a brief report on the application of Radial Function Neural Networks (RBFNN) to the **prediction** of sensor detection and diagnosis of the Space Shuttle's Main values for fault Engines (SSME). The location of the Radial Basis Function (RBF) node centers was determined with a K-means clustering algorithm. A neighborhood operation about these...

Descriptors: *Algorithms; *Cluster analysis; *Diagnosis; *Fault detection; *Neural nets; *Space shuttle main engine; Data processing; Automatic test equipment; Engine analyzers; Prelaunch tests

27/3,K/40 (Item 2 from file: 6)

6:NTIS DIALOG(R) File

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1850021 NTIS Accession Number: N95-14170/1

Fault Detection and Diagnosis Using Neural Network Approaches Kramer, M. A.

Massachusetts Inst. of Tech., Cambridge. Corp. Source Codes: 001450000; MJ700802

Sponsor: National Aeronautics and Space Administration, Washington, DC. 30 Oct 92

Languages: English

Journal Announcement: GRAI9505; STAR3303

In Research Inst. For Computing and Information Systems, Ricis Symposium 1992: Mission and Safety Critical Systems Research and Applications 31 p. NTIS Prices: (Order as N95-14156/0, PC A16/MF A03)

Fault Detection and Diagnosis Using Neural Network Approaches networks can be used to detect and identify abnormalities in real-time process data. Two basic...

... based on statistical characterization of the normal mode only. Given data representative of process faults, radial basis function networks can effectively identify failures. This approach is often limited by the lack of fault data, but can be facilitated by process simulation. The second approach employs elliptical and radial basis function networks and other models to learn the statistical distributions of process observables under normal conditions. Analytical models of failure modes can then be applied in combination with the neural network models to identify faults. Special methods can be applied to compensate for sensor failures, to produce real-time estimation of missing or failed sensors based on the correlations codified in the neural network . ...Descriptors: analysis; *Expert systems; *Failure modes; *Fault

detection; *Knowledge representation; *Neural nets; *Process control (Industry); *Real time operation ; *Statistical distributions; Abnormalities; Correlation; Diagnosis; Education

27/3,K/48 (Item 3 from file: 34)
DIALOG(R)File 34:SciSearch(R) Cited Ref Sci
(c) 2005 Inst for Sci Info. All rts. reserv.

05915165 Genuine Article#: XG303 No. References: 24

Title: Neural intelligent control for a steel plant

Author(s): Bloch G (REPRINT); Sirou F; Eustache F; Fatrez P

Corporate Source: ESSTIN,CTR RECH AUTOMAT NANCY, CNRS, URA 821/F-54500

VANDOEUVRE LES NANCY//FRANCE/ (REPRINT); SOLLAC LIGNE GALVANIZAT ST

AGATHE,/F-57191 FLORANGE//FRANCE/

Journal: IEEE TRANSACTIONS ON NEURAL NETWORKS, 1997 , V8, N4 (MAY), P 910-918

ISSN: 1045-9227 Publication date: 19970500

Publisher: IEEE-INST ELECTRICAL ELECTRONICS ENGINEERS INC, 345 E 47TH ST, NEW YORK, NY 10017-2394

Language: English Document Type: ARTICLE (ABSTRACT AVAILABLE)

### 1997

- ...Abstract: Sollac hot dip galvanizing line of Florange (France) needs to integrate various approaches, including quality monitoring, diagnosis, control, optimization methods, etc. These techniques can be grouped under the term of intelligent control and aim to enhance the operating...
- ...neural models, at different levels of control. In Section II, the low-level supervision of **measurements** and operating conditions are briefly presented. The control of the coating process, highly nonlinear, is...
- ...two parts. In Section III, the optimal thermal cycle of alloying is determined using a radial basis function neural network, from a static database built up from recorded measurements. The learning of the weights is carried out from the results of a fuzzy C-means clustering algorithm. In Section IV, the control of the annealing furnace, the most important equipment, is achieved by mixing a static inverse model of the furnace based on a feedforward...
- ...regulation loop. Robust learning criterial are used to tackle possible outliers in the database. The **neural network** is then pruned in order to enhance the generalization capabilities.
- Research Fronts: 95-1427 002 (ROBUST REGRESSION-ANALYSIS; MULTIPLE OUTLIER DETECTION; MULTIVARIATE DATA; HIGH BREAKDOWN POINTS) 95-0572 001 (BOUNDED-ERROR IDENTIFICATION; SIMULTANEOUS LINEAR-EQUATIONS; MUSIC ALGORITHM; GLOBAL OPTIMIZATION; PROPAGATION...
- ...RECONFIGURABLE FLIGHT CONTROL-SYSTEMS)
  95-2621 001 (FUZZY CLUSTERING; CODEBOOK DESIGN IN VECTOR QUANTIZATION;
  ARTIFICIAL NEURAL NETWORKS)

27/3,K/76 (Item 1 from file: 99)
DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs
(c) 2005 The HW Wilson Co. All rts. reserv.

2173042 H.W. WILSON RECORD NUMBER: BAST00052022

Diagnosis of process faults with neural networks and principal component analysis

Gomm, J. B; Weerasinghe, M; Williams, D Proceedings of the Institution of Mechanical Engineers. Part E, Journal of Process Mechanical Engineering v. 214 noE2 (2000) p. 131-43 DOCUMENT TYPE: Feature Article ISSN: 0954-4089

Diagnosis of process faults with neural networks and principal component analysis

ABSTRACT: Industrial plants often have many process variable measurements available, which can be monitored for fault detection and diagnosis. Using all these variables as inputs to an artificial neural network for fault diagnosis can result in an impractically large network, with consequent long training times and high computational...

...investigated in this paper for generating a reduced number of variables to be used as **neural network** inputs for **fault diagnosis**. The main application described is to a real industrial nuclear fuel processing plant. A simulated...

...also used to assist the development of the techniques. Results in both applications demonstrate satisfactory **fault diagnosis** performance with a reduction in the number of **neural network** parameters of approximately 50 per cent using PCA. The paper also includes some introductory material on PCA and **neural networks**, and their application to process **fault diagnosis**. Reproduced by permission of the Council of the Institution of **Mechanical Engineers**.

DESCRIPTORS: ... Radial basis function networks...

... Neural network models;
2000

27/3,K/79 (Item 2 from file: 144) DIALOG(R)File 144:Pascal (c) 2005 INIST/CNRS. All rts. reserv.

12544762 PASCAL No.: 96-0224605

Integrated diagnosis using information-gain-weighted radial basis
function neural networks : Computer aided maintenance
 YUBAO CHEN; XIAO LI; ORADY E

Dept. of Industrial and Manufacturing Systems Engineering, University of Michigan-Dearborn, Dearborn, MI 48128-1491, United States
Journal: Computers & industrial engineering, 1996, 30 (2) 243-255
Language: English

A new approach, the information-gain-weighted radial basis function neural network (RBFNN), has been proposed for machinery diagnosis in a manufacturing environment. This approach is based on the composite neural network, in which a series of RBFNNs are integrated together to perform the task of classification...

... gain-weighted RBFNN can produce better distinction between conditions and the scheme of a composite neural network is indeed an improved structure for machinery diagnosis in the manufacturing environment. English Descriptors: Measurement; Fault diagnostic; Artificial intellige nce^Weight f; Weight function; Neural network; Defect detection; Experimental study; Machining; Tapping

```
Set
        Items
                Description
                PREDICT? OR INTUIT?? OR INTUITING OR FORECAST? OR PROGNOS?
S1
     1334847.7
             OR ANTICIPAT? OR EVALUAT? OR MONITOR? OR MEASUR?
S2
     11915206
                APPROXIMATING OR CALCULAT? OR COMPUTING OR COMPUTE OR COMP-
             UTES OR COMPUTED OR ESTIMAT? OR APPRAIS? OR ASSESS? OR TREND?-
              (3N) ANALY? OR INTERPOLAT? OR RECOGNI? OR EXTRAPOLAT? OR DERIV?
      3055730
S3
                S1:S2(7N) (METHOD? OR SYSTEM? OR PROCESS?? OR PROCEDUR? OR -
             TECHNIQUE? OR MODE?)
S4
      1879896
                 (REMAINING? OR REMAINDER? OR AVAILAB? OR LEFT OR RESIDUAL?-
             )(5N)(LIFE? OR YEAR? OR TIME? OR DAY OR DAYS OR HOUR? OR WEEK?
              OR MONTH?)
S5
       804979
                TIME (2W) FAILURE? OR FAULT? (2W) DIAGNOS? OR TIME (2W) OPERATIO-
             N? OR (WORK? OR OPERATION?) (2N) LIFE? OR BREAKDOWN? OR (BREAK?
             OR BROKE?) () DOWN
S6
         2907
                 (VIRTUAL? OR THEORETIC?) (2N) (AGE OR AGES OR AGING)
S7
     17524368
                MACHIN? OR EQUIPMENT? OR APPLIANC? OR APPARATUS? OR TOOL? ?
              OR ENGINE? OR INDUSTR?() DEVICE? OR MECHANIC? OR MECHANISM?
        79654
S8
                S1:S3(10N)S4:S6 AND S7
S9
       207668
                NEURAL() NETWORK? OR MACHINE() (LEARN? OR INTELLIGEN?) OR AR-
             TIFICIAL()INTELLIGEN? OR AI OR GAUSSIAN? OR FUZZY()(LOGIC? OR
             INFERENC? OR THEOR?)
S10
         2609
                RADIAL()BASIS OR BASIS()FUNCTION? OR RBF OR RADIALBASIS? OR
              BASISFUNCTION? OR RBFN
S11
           28
                S8 AND S9 AND S10
S12
          164
                S8 AND S6
S13.
                S12 AND S9:S10
           38
S14
                S11 OR S13
           66
S15
                S14 AND PY<2001
           47
S16
           28
                RD (unique items)
File
       9:Business & Industry(R) Jul/1994-2005/Aug 04
         (c) 2005 The Gale Group
File
      13:BAMP 2005/Jul W4
         (c) 2005 The Gale Group
      15:ABI/Inform(R) 1971-2005/Aug 05
File
         (c) 2005 ProQuest Info&Learning
File
      16:Gale Group PROMT(R) 1990-2005/Aug 04
         (c) 2005 The Gale Group
File
      88: Gale Group Business A.R.T.S. 1976-2005/Aug 04
         (c) 2005 The Gale Group
File
      98:General Sci Abs/Full-Text 1984-2004/Dec
         (c) 2005 The HW Wilson Co.
File 148:Gale Group Trade & Industry DB 1976-2005/Aug 05
         (c) 2005 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
         (c) 1999 The Gale Group
File 275: Gale Group Computer DB(TM) 1983-2005/Aug 05
         (c) 2005 The Gale Group
File 369: New Scientist 1994-2005/May W4
         (c) 2005 Reed Business Information Ltd.
File 370:Science 1996-1999/Jul W3
         (c) 1999 AAAS
File 484: Periodical Abs Plustext 1986-2005/Jul W5
         (c) 2005 ProQuest
File 553: Wilson Bus. Abs. FullText 1982-2004/Dec
         (c) 2005 The HW Wilson Co
File 610: Business Wire 1999-2005/Aug 04
         (c) 2005 Business Wire.
File 613:PR Newswire 1999-2005/Aug 05
         (c) 2005 PR Newswire Association Inc
File 621: Gale Group New Prod. Annou. (R) 1985-2005/Aug 05
         (c) 2005 The Gale Group
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File 634:San Jose Mercury Jun 1985-2005/Aug 04

(c) 2005 San Jose Mercury News

File 635:Business Dateline(R) 1985-2005/Aug 05

(c) 2005 ProQuest Info&Learning

File 636:Gale Group Newsletter DB(TM) 1987-2005/Aug 04

(c) 2005 The Gale Group

File 647:CMP Computer Fulltext 1988-2005/Jul W3

(c) 2005 CMP Media, LLC

File 674: Computer News Fulltext 1989-2005/Jul W5

(c) 2005 IDG Communications

File 696:DIALOG Telecom. Newsletters 1995-2005/Aug 04

(c) 2005 Dialog

File 810: Business Wire 1986-1999/Feb 28

(c) 1999 Business Wire

File 813:PR Newswire 1987-1999/Apr 30

(c) 1999 PR Newswire Association Inc

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16/3,K/14 (Item 1 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
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11763764 SUPPLIER NUMBER: 57485725 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Hydrocarbon Processing's Advanced Control and Information Systems

'99. (innovations in control hardware and software packages) Hydrocarbon Processing, 78, 9, 75(7)

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... explicitly as dependent variables. A dynamic process model is developed using the DMCplus model identification tool. Its controller/sub-controller structure enables full-scope benefits to be captured through the application...using first principles models, or Honeywell's soft sensor toolkit utilizing state-of-the-art neural network technology.

Inventory control adjusts the i(C.sub.4) make-up rate to control the  $\dots$ 

...multiple process models and an integrated real-time macro programming environment in addition to nonlinear RBF models and fuzzy logic capabilities.

Connoisseur is applied to improve control of total feed composition and operating conditions to...the same model for rigorous process simulation as well as online optimization. An offline process engineering analysis tool is produced directly from the implementation of the optimization system. This offline tool allows users to examine "what-if" scenarios, using a rigorous model which has been auto...

...online optimization system is designed to meet multiple objectives. These include maximizing plant profitability, plant equipment performance monitoring, plant instrument monitoring and providing information on intermediate plant streams. Specific functions provided...

...overall plant economics

- * Optimal process operation through closed-loop execution
- * Improved ability to check on **equipment** performance parameters. Economics. Increase in profit of between \$0.10 and \$0.20 per barrel

...avoid upsets that convey corrosive HF acid (the catalyst) into undesired areas of the process **equipment**. The advanced controls include the following strategies that can be implemented via advanced regulatory techniques...

...feed contamination

- *  Monitor alkylate production and advise how to push production against current constraints
- * Monitor equipment such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems which could affect the process or lead to equipment failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, finfan failure, etc.)
- * Identify potential...amine concentrations, water content, loadings and salts to maintain optimal concentrations and maximize utilization
- * Monitor equipment such as pumps and exchangers for changes that could indicate potential problems which could affect the process or lead to equipment failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, fin fan failure, etc.)

* Identify...buffers during process upsets, resulting in minimized downward feed adjustments during plant upsets caused by mechanical failure or other process problems.

 $\ensuremath{\mathsf{MVC}}$  is a proven nonlinear multivariable control and economic optimization...

- ...and product shipments. The module enables a scheduler to rapidly respond to events such as **equipment** outages, and supply and distribution changes, all while maintaining a robust, feasible and profitable schedule...the user to create blend orders directly from displays or download them from a planning **tool**. Blend orders are available for viewing, monitoring and reporting from the time they are first...
- ... far into history as the client desires.

Control strategy. The ABC considers property, inventory and equipment constraints for the current blend and blends running on other blend headers. The ABC maintains...

- ...a nonlinear optimizer that finds the optimum recipe to meet the property targets, inventory and **equipment** constraints while maximizing the economic objective. The user can select the objective to maximize profit... with DMCplus with feedback from a measured or inferred octane, while respecting the critical hydraulic, **mechanical** and catalyst deactivation limits. A single controller addresses the interaction of severity and coke laydown...
- ...the Aspen Catref rigorous kinetic model of the reactors with catalyst deactivation and associated process **equipment** to calculate optimum targets for maximizing unit or complex profitability. The scope can range from...CCR)
- * Identify leaking valves in the CCR; identify lock hopper problems, causes and remedies
- * Monitor **equipment** such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems that could affect the process or lead to **equipment** failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, finfan failure, etc.)
- * Identify potential...to simultaneously manipulate the controller targets to maintain smooth operation and constant furnace yields within equipment constraints. A rigorous optimization layer based on MDC Technology's RTO+ product is an option...plant. Advanced control stabilizes the furnace effluent rate and composition and operates closer to actual equipment constraints, often yielding benefits over \$1 million per year. Real-time optimization benefits can often...
- ...Technology's Quality Controller for CDUs uses the column cutpoints as its primary underlying control **mechanism**, as opposed to product draw-offs, which are used by most other systems. This uses...
- ...uses Crude Switch Property Predictor technology.

Strategy. The Crude Switch technology applies first principles and neural network models to compute first the column heat balance, and second the product properties. This is...

...of upsets, and quick prediction of product quality deviations. Further, first principles models, enhanced by **neural network** models, have a wide range of validity and do not necessitate frequent calibration.

Advantages of...

- ...Property Predictor over earlier versions are:
- * It is implemented as a parameterization of a commercial neural network software package

- * It will cascade to any pre-existing MVC application
- * It uses the entire...optimization of the crude and vacuum unit with Aspen RT-Opt uses rigorous, open-equation engineering models of the complete unit including the preheat system, heaters, light-ends columns and naphtha...
- ...feed composition, heat transfer coefficients, furnace efficiencies, etc. The model is also used offline for **engineering** studies, feedstock selection or Aspen Plus design studies, and to update planning and scheduling models...
- ...fractionator yields and cutpoint targets and advise how to push production against current constraints
- * Monitor equipment such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems which could affect the process or lead to equipment failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, fin fan failure, etc.).

* Identify...

...constraint limit - for example, maximum feed - by looking at the operation of upstream and downstream equipment .

Economics. Benefits of Expert System Process Advisors include:

- * Better unit monitoring for safer operation and deploying various software tools including process historians, databases and spreadsheets, first principles simulation and LP models in an automated...
- ...from HYSYS. Comparisons between measured and calculated results are then automatically made to flag potential **equipment** deficiencies. Additionally, simulated results provide information about the plant that is not or cannot be measured such as rotating **equipment** efficiencies, column flooding percentages, Rvp, calculations, etc. Excel automatically generates tables and graphs based on the measured and simulated data to track **equipment** performance and highlight anomalies. Normally, the entire process is executed about once an hour. The...
- ...crude unit and is scheduled to be implemented on an FCC unit.

  Licensor. AEA Technology Engineering Software, Hyprotech Ltd.,
  Calgary, Alberta; Houston, Texas.

Cryogenic separation

Application. Cryogenic recovery is used to...

- ...to achieve the control objective and economic benefits: (1) maximize chiller duty without violating the **equipment** process constraints (chiller duty is the manipulated variable subject to constraints of maximum chiller level...
- ...a series of catalytic fixed bed reactors. Accounting for all process interactions, hydraulic limits and **equipment** constraints is particularly important in order to reduce operating costs. Implementing DMCplus multivariable constrained control...proprietary model developed for process design and adapted for online control.
- * The inferential control uses **neural network** intelligent regression technology to predict product qualities in the fractionator based on flows, temperatures and...
- ...sensor package. The flexible client/server allows the user to "plug in"a variety of engines (empirical, rigorous, fuzzy logic, neural net, custom, etc.) to generate the online models. Analyzer validation and update as well...or can be developed using Honeywell's soft sensor toolkit, a state-of-the-art neural network technology for developing inferential

models.

Fractionator toolkit technology provides a standard, user-friendly collection of...

...action for the specific process condition.

- * At each execution, information is available for operators and engineers to understand controller actions, active constraints and process predictions. More specific advisory systems can also be customized.
- * While the controller uses its own dynamic models, neural network -based inferential predictions for drum outage and product properties have also been integrated seamlessly.

Economics...of light ends in the stripper under certain combinations of temperatures, pressures and flows)

- * Monitor equipment such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems which could affect the process or lead to equipment failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, finfan failure, etc.)
  - * Identify potential...

# ...chemicals/petrochemicals)

Application. Enterprise optimization enables optimizing and integrating the extended supply chain, manufacturing and **engineering** to increase business performance and reduce costs.

Strategy. Aspen Technology's Enterprise Optimization is achieved... Aspen Plantelligence suite can be leveraged in the areas of plant optimization, production management and **engineering**. Business processes typically involved in manufacturing optimization include planning, scheduling, process control, online optimization, operator...

- ...business processes through faster access to accurate information pertaining to the supply chain, manufacturing and **engineering** facets of the business are substantial, and often add up to tens of millions of...
- $\dots$  and information to manage and optimize each facet of the business enables integrating and re-  ${\bf engineering}$  business processes to improve profitability.

Commercial installations. Within the chemical and petrochemical industries, AspenTech has...

# ...optimization (polymers)

Application. Enterprise optimization enables optimizing and integrating the extended supply chain, manufacturing and **engineering** to increase business performance, reduce costs and help achieve six sigma quality control.

Strategy. Aspen...

- ... Aspen Plantelligence suite can be leveraged in the areas of plant optimization, production management and **engineering**. Business processes typically involved in manufacturing optimization include planning, scheduling, process control, conformance monitoring, recipe...
- ...business processes through faster access to accurate information pertaining to the supply chain, manufacturing and **engineering** facets of the business are substantial, and often add up to tens of millions of...
- ...and information to manage and optimize each facet of the business enables integrating and re- engineering of business processes to improve profitability, enhance customer satisfaction and move toward six sigma quality...

...refining)

Application. Refining enterprise optimization enables optimizing and integrating the extended supply chain, manufacturing and **engineering** to increase business performance and reduce costs for refiners and gas processors.

Strategy. Aspen Technology...

...suite can be leveraged in the areas of plant optimization and control, production management and **engineering**. It is based on a continuous improvement "design-operate-manage" paradigm and enables customers to... business processes through faster access to accurate information pertaining to the supply chain, manufacturing and **engineering** facets of the business are substantial, and often add up to tens of millions of...

...and information to manage and optimize each facet of the business enables integrating and re- **engineering** business processes to improve profitability.

Commercial installations. A number of clients have Refining Enterprise Optimization...

...data from lab systems, material movement and storage, advanced control, process optimization and process control **equipment** and make it available to ERP systems.

Effective integration of ERP and plant systems is...

...of analysis for finished products

* Plant maintenance: integrating asset management and IM systems enables identifying **equipment** in need of repair/recalibration and automatic work order generation in the maintenance system.

Economics...added and removed from the regenerator

- * Policies for adjusting atomizing, stripping and riser steam
- * Monitor **equipment** such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems that could affect the process or lead to **equipment** failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, finfan failure, etc.)
- * Identify potential...are downloaded to DMCplus for implementation. Refinery planners can use the highly accurate yield and **equipment** constraint data from the online optimizer to improve the refinery LP planning models.

Economics. Benefits...

...operating severity); extensive literature and patent search for FCC/ROC/DCC reactor catalytic and kinetics mechanism, design and operation; technical staffs operating expertise and market forces psychology as the knowledge base. The latest economic, kinetics theory, artificial intelligence, fuzzy logic, neural net and chaos theory-based expert systems have been applied. These systems simulate global...
...objectives

- *  Policies for adjusting stripping steam rates depending on the type of crude run
- * Monitor equipment such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems which could affect the process or lead to equipment failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, finfan failure, etc.)
- * Identify potential...targets are implemented with feed-forward compensation for inlet disturbances and with limit checks for equipment constraints. Excess oxygen can be controlled in each firebox when proper instrumentation is available.

Inferential...

...multiple process models and an integrated real-time macro programming environment in addition to nonlinear RBF models and fuzzy logic capabilities. ROMeo is SIMSCI's Rigorous Online Modeling and Equation-based Optimization software providing a...optimum operating targets for the Connoisseur controller. Typical objectives are:

- * Maximizing unit throughput up to equipment constraints
- * Maintaining product quality while maximizing yield of most valuable products
  - * Maximizing preheat train, pumparound...

...then optimizes unit performance using variables, constraints and profit objectives defined by plant managers, process **engineers** and/or unit operators. ROMeo rigorously calculates and returns new operating setpoints to achieve the...

...or can be developed using Honeywell's soft sensor toolkit, a state-of-the-art **neural network** technology for developing inferential model's. Controlled variables may include:

- * Naphtha 95% pt.
- * Heavy naphtha 95...

...and correspond with the ASTM measurements made by the plant lab. The desired properties are calculated online from commonly available realtime process measurements. The calculated boiling properties are then used to control products to specification by manipulating draw rates and...similarity of most light product fractionators, considerable variation occurs in operating objectives and in auxiliary equipment such as reboilers and coolers. Advanced control strategies should be tailored accordingly.

Strategy. A number...

...The purposes of a fuel gas Expert System Process Advisor application are to provide the **tools** and advice for overall fuel gas system optimization. Gensym's G2 is used to store...fractionator yields and cutpoint targets and advise how to push production against current constraints

* Monitor equipment such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems which could affect the process or lead to equipment failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, fin fan failure, etc.).

The...

...constraint limit - for example, maximum feed - by looking at the operation of upstream and downstream equipment.

Economics. Benefits of Expert System Process Advisors include:

* Better unit monitoring for safer operation and...

### ...Texas.

Hydrocracker/hydrotreater

Application. This advanced control and optimization package provides refineries with the necessary tool to maximize their profits during seasonal market variations by easily adjusting the gasoline/distillate production...widely different feedstocks and operating conditions. These reactor models can easily be connected to other equipment models, e.g., rigorous fractionation, to create a fully integrated model of the entire hydrotreater...

...achieved by linking the optimization systems to other rigorous models and to off line planning tools such as Aspen PIMS.

Commercial installations. AspenTech has commissioned 27 hydrocracker and 13 hydrotreater advanced...

...and loads, operating severity); extensive literature and patent search for hydrocracking reactor catalytic and kinetics mechanism, design and operations; technical staffs operating expertise and market forces psychology as the knowledge base. The latest economic, kinetics theory, artificial intelligence, fuzzy logic, neural net and chaos theory-based expert systems are applied. These systems simulate global central...costs, particularly when there are large differences in feed prices and qualities and when feed availabilities vary with time. As a rough estimate, the package would achieve an increase of 5-10% of the cheapest feed and a...

...combustion controllers combine to reduce furnace energy consumption by 5-10%. The smooth operation increases **equipment** life and furnace safety. Long periods of hydrogen over-production are virtually eliminated.

Commercial installations...

...The purposes of a hydrogen system Expert System Process Advisor application are to provide the **tools** and advice for overall hydrogen system optimization. Gensym's G2 is used to store the...quality models are developed using Honeywell's soft sensor toolkit, a state-of-the-art neural network technology for developing inferential models.

Intermediate regulatory controls are supplied using standards packages to providesafety, **engineering**, operational, economic and more. In combination with Resolution's Target-Setting solution, one

In combination with Resolution's Target-Setting solution, one installation reported...

...DMCplus controllers obtain these benefits by continuously pushing the unit and operating it simultaneously at **equipment** and process constraints. Optimization system benefits are obtained by determining the most profitable set of...

...model utilizes rigorous kinetic models of the reactors, dehydrogenation catalyst deactivation and the associated process **equipment** to calculate the optimum targets for maximizing profitability. Dehydrogenation reactor catalyst deactivation provides many optimization...

...on the GMAXC multivariable predictive controller to maximize quality and economic goals while honoring safety/ equipment limits.

Strategy. The primary control strategies are:

* Product qualities: Maintain LPG product quality in terms...

...maximization: Maximize butane recovery from the feed gas

* Feed throughput: Maximize feed rate subject to equipment limits, quality specifications and inlet feed.

In addition to analyzer measurements, other variables like tower...

...for containing the process in case the analyzer indicators are not accurate or timely.

For **equipment** constraints, compressor speed, expander valve position and debutanizer delta pressure are also added to the... Application. Resolution's Offsite Data Management is built within the REPOSITORY database. This solution provides **tools** for reviewing and changing tank compositions, consolidated inventory reporting by area and stock category, movement...

...enable the movements' automation system to remain current with the actual configuration of the field **equipment**. OMIS also provides a relational database for reporting, storage of report transactional information and for connection to multiperiod planning **tools** and schedulers.

Economics. Economic benefits include greater utilization of tank ullage, spill and loss prevention, reduced demurrage charges due to improved utilization of capital **equipment** and opportunities to maintain a wider variety of product as required by market demands, or...automated movements resulting in reduced tanker demurrage. The following are typical benefits sources:

- * More efficient equipment utilization (faster job turnaround)
- * Decreased blender product quality giveaway and blending costs
- * Reduced oil losses...

...functions, such as maximum profit at fixed or unlimited production. Rigorous models for all major **equipment** are included.

The advanced process control system includes production controller, model-based furnace severity control...Distillation column pressures and product purities.

Constraint variables are typically designed to represent:

- * Process and **equipment** performance limitations (i.e., furnace tube metal temperatures, compressor speed and driver horsepower, and distillation...
- ...are implemented using the STAR multivariable predictive controller.

  Optimization strategy. NOVA consists of a solution engine for nonlinear optimization and equation solving problems, a library of equation-based unit operations models...
- ...limits for STAR multivariable predictive controllers that run every 1-3 minutes to ensure that **equipment** constraints are honored as the optimization results are implemented in the plant.

STAR multivariable predictive...

- ...capacity, optimization of yields and feedstock selection, and to provide valuable information to operators and **engineers** to operate the plant at optimum conditions. Model-based advanced control enforces the optimum setpoints...is complex, and it is impossible for the operator to deduce the optimum without modeling **tools** 
  - * The process is subject to constant change
  - * There are many degrees of freedom.

The overall...

...and plant operators' expertise and market psychology. The latest neoclassic economy, thermodynamic and kinetic theories, fuzzy logic, neural network and chaos theory have been applied to develop expert system-based decision simulators. Monetary policy...plantwide constraint control LPs. The model combines rigorous kinetic models with thermodynamic property models and equipment models. This model is also periodically parameterized or updated using data from the plant. This...

...and distributed to automation systems for execution.

The SAND module is a supply chain optimization **tool** that determines the optimal method of producing products and satisfying customer demand with multiple manufacturing...

- ...oils selected for processing and for preparing production plans.

  The RPMS module is a planning tool that supports evaluating and selecting raw materials, formulating optimal production plans, evaluating capital investments and...
- ...by RPMS or an equivalent appenables a scheduler to rapidly respond to events such as **equipment** outages, and supply and distribution changes, all while maintaining a robust, feasible and profitable schedule...as part

of the refinery operations. Taking advantage of the sequential and rules-based modeling **tools** minimizes base oil production cost. Extending the planning model to an AspenTech sequencing model results...

...Results from the short-term plan are fed to the AspenTech refinery scheduler for tactical equipment scheduling and inventory projections.

Lube plant planning establishes the demands for raw materials and inventory...

... Massachusetts, and Houston, Texas.

Planning and scheduling (refining)

Application. Aspen Technology's planning and scheduling **tools** are unique in their capability to provide accurate production targets by integrating plantwide optimization with process simulation and optimized event modeling. This suite of **tools** consists of Aspen PIMS, PIMS-SX and REF-SKED.

Strategy. Aspen PIMS planning and scheduling...

...planning and scheduling.

Aspen PIMS is a powerful yet easy-to-use family of productivity tools for economic planning in the process industries. It offers all the advantages of cost, accessibility...a solid foundation on which to apply ASM advanced technology solutions. The necessity of these tools and the overall alarm management improvement process is driven by the often less-than-disciplined...

...settings. This information is useful in rationalizing the alarm system, for on-going alarm system **engineering**, and can be provided to assist operators in responding to an alarm.

* The Alarm Rationalization...

...This can speed root-cause analysis and identify relations among various

Strategy. Apply these **tools** in a coordinated manner to assess performance of an alarm system, identify problems and improve...

...a reasonable time frame. To complicate matters further, alarm strategies are usually installed, rather than **engineered**. Consequently, many nuisance alarms constantly interfere with daily operator activities. Operators can easily separate important...

...alarms, and organize the visual format of incoming data to suit their needs. The analysis **tools** can detect redundant and predictable alarms, possibly eliminating over half of the alarms altogether.

Strategy...

...and incorrect limit alarms.

- *  Find predictable alarms: Find the alarms that are predictable using statistical  $\verb"tools"$  .
- * Cause-and-effect analysis: Which alarms showed the root-cause of the upset?

Economics. An...operation can be readily identified.

This factual presentation challenges operators, managers, laboratory, control and process **engineers** to provide reasons and explanations. In rising to these challenges much new insight and process...

...explored. The very ergonomic display controls provided by CVE lead to a considerable rise in **engineer** productivity whether measured by the increase in analysis achieved in a given time, or the additional benefits achieved from the use of the **engineer** 's time.

Users have identified 11 key benefit areas.
* Setting efficient operating guidelines and better...

...the process units. Sensor elements are then configured into their required relationships to the process **equipment** to detect abnormal operation scenarios before they escalate into major incidents. Failure analysis is based...

...of their performances based on material and energy balance models configured from a library of **equipment** objects. As a failure is diagnosed, a message will be propagated to the operator on... ...developing production and reliability management applications. ASM4G2 integrates browsers and ActiveX components to support multiple **neural networks**, control systems, operating systems and databases. ASM4G2 also supports the client's legacy and third...

...Reliability management applications integrate dynamic sensor data from vibration analysis systems with ASM4G2 to include **equipment** health logic in the operations diagnostics.

Production management applications. The rules and procedural-based reasoning...

...ASM4G2 software to provide dynamic advisories to operators as abnormal situations are detected. The knowledge **engineering** interviewing process yields additional process management rules beyond the standard operational procedures.

Benefits. Typical savings...

...but are not fully appreciated until the site experiences a major production outage due to **equipment** failure that should have been predicted.

Commercial Installations. Petrochemicals - four sites; Refining - two sites, 25 units; pulp and paper - one site.

Licensor. Nexus Engineering, Kingwood, Texas.

Plant information (asset management)

Application. The @sset.MAX Alert Manager is an advanced...integrates information from a variety of sources to detect long-term changes in performance and mechanical behavior. All sub-systems are monitored to provide early and specific warnings and reduce unnecessary...

...and notifications occur automatically, without human intervention. Actionable information is presented to operating, maintenance and **engineering** staff. Collaboration with world-class experts, system manufacturers and internal staff is facilitated to assist...

...can have large financial and environmental impacts. Lost production, flaring and incremental damage to the **machine** may occur with each shutdown. When a large asset fails, a significant process event or...

...a complete package for representing what actually happens in a process plant for both process **engineers** and yield accountants. It combines:

- * A state-of-the-art process flow diagram interface
- * A...

...measurements and produces a single consistent set of the most accurate reconciled data possible. Accounting, **engineering**, planning/scheduling, maintenance and management use this data toward a goal of more profitable operations...

...of operating tips/art/techniques for planning an optimized transition.

Objectives.

- * Provide intelligent support to engineers for planning transitions
- * Provide seamless access to data from multiple sources, including real-time plant...A computer with a Pentium processor running Microsoft NT Server 4.0 supplies the human- machine interface (HMI) to the MVC/Database system with data collection, trending and data retrieval abilities... technology into finance, cost accounting, human resources, feedstock, fuels procurement, inventory, plant daily operating information, equipment and instrumentation/DCS maintenance, emergency shutdown, startup and explosion accident information systems support and information...

#### ...information.

* Process plant operating DCS management process startup, emergency shutdown, troubleshooting, waste minimization energy conservation, equipment design and maintenance information.

Operations management implementation. OSA consultant will conduct the corporate/plant operations... ...standard products already exist.

Benefits. RESOLUTION provides for integration of ALL plant data: operational, economic, engineering, planning, maintenance, documentation

and more. This both provides "one stop" shopping for data, and eliminates

...drastically reduced hence, greatly reducing costs.

RESOLUTION is designed with integration in mind: its RELAYER tools for third-party system integration greatly reduce the cost of an integrated system integration project...view all data.

Economics. ProcessNet exposes highly valuable process data and reports to operators and engineers who use it for improved productivity, higher profits and better flexibility. In addition, ProcessNet effectively

- ...modeling, can lead to avoiding substantial capita) expenditures.
- * Personnel productivity: Availability of sound decision support tools , reports and process analysis tools has resulted in significant, audited improvements in operating staff productivity.
  - * Regulatory compliance: Information management systems...
- ... historian to manage all types of plant information; an integrated plant reference model; and desktop tools to display, analyze, report on and navigate through plant data. The unified database approach allows for managing plant data as diverse as continuous process data, discrete events, equipment records, product specifications and shipment records. More than an historian, the embedded plant reference model...
- ...the infrastructure for the industrial desktop, a development environment that fosters integration using standard Microsoft tools such as ... Microsoft's dominance in the market creates a de facto standard for integrating refinery software. Tools such as ActiveX controls and ODBC coupled with the VBA development environment eliminate the need...
- ...information integration to maintenance asset management minimizes unnecessary maintenance procedures and inventories, while ensuring plant equipment and personnel availability. Automated business reporting driven from production systems allows up-to-the-minute...
- ... are accurately translated into production targets and properly communicated. The Business.FLEX planning and scheduling tools , and Honeywell's advanced control system can be integrated to streamline the process of translating plans into production.

Operations Monitoring compares operating targets to actual results, and provides tools for explaining and analyzing the differences. Operations Monitoring helps reduce production variability and cost, and... and emergency operations); management and plant operators' expertise. The latest statistical, thermodynamic and kinetic theories, artificial intelligence in fuzzy logic, neural network and chaos theory have been applied to develop expert system-based decision simulators covering the...

#### ...and minimization

- * Maximize product recovery while minimize off-spec loss
- * Process plant quality assurance and equipment preventive safety and maintenance management
- $\,\,^*$  Process plant technical, operating and DCS staff on-the-job... benefits include:
  - * More stable operation
  - * Less operator interaction
  - * Improved process safety
  - * Better utilization of process equipment .

Tangible benefits include:

- * Increased revenues
- * Decreased operating costs
- * Reduced occurences of off-specification penalties.

- * Sitewide LP modeling
- * Unit simulation and optimization
- * Equipment performance monitoring
- * Advanced process control, including model-based techniques
- * Process alarm management.

Implementation. Computer systems...with modern higher-level business systems.

Cost Management - Provides calculation of production costs by major equipment , major unit and mode of operation. Actual results are calculated against a plan. Performance indices...

...different plants continuously.

Intelligent Performance Monitoring - Supports rigorous performance monitoring of individual units and major equipment. Both long-term trends and sudden changes in performance can be detected. This helps identify likely candidate equipment for preventive maintenance.

Quality Management - Laboratory data are associated with the batch or lot produced...

 $\ldots$  of production. This facilitates problem solving and data retrieval for reporting purposes.

Process Analysis - Provides tools for advanced statistical analysis and trending of process and laboratory data. This provides operations, technical...

...FORWARD C is an interactive system dedicated to scheduling refinery operations. It provides a single **tool** to solve all refinery scheduling problems from crude arrivals to finished product delivery.

Strategy. FORWARD C combines the experience of the scheduling team and the power of object-oriented programming, artificial intelligence, constraint propagation, linear programming, simulation and efficient user interface techniques to solve refinery scheduling problems...

...sales gas flow, CV, etc.).

* The gas separation plant, which represents the stream routing, separation equipment and process constraints. The model may be targeted to achieve one ...the APCS calculations are highly interactive and interdependent. Most of these equations are based on engineering principles, rather than regressions or curve fits of plant operating data. The controls are very...

...range of current values, trends and accumulative information of benefit to operations, management, accounting and **engineering** personnel.

Economics. APCS will typically have a payback of six months to two years, depending...

...The online models combine information from plant data (operation) and physical models (knowledge) into a **neural network**. Model predictive control and continuous optimization are nonlinear, important in this process where gains can...index, density, flow index, stress exponent and MFR are inferred using an Aspen IQ hybrid **neural network** -based virtual analyzer. Inferential properties are developed by modeling real-time data and using predictive...

...a nonlinear dynamic process model into a single optimization problem. Dot Products' large scale optimization **engine**, NOVA, is then used to solve for the appropriate control action. The control that can...

...the APCS calculations are highly interactive and interdependent. Most of these equations are based on **engineering** principles, rather than regressions or curve fits of plant operating data.

The controls are very...range of current values, trends and accumulative information of benefit to operations, management, accounting and engineering personnel.

Economics. Better steady-state operation due to the APCS yields 0-20% improvement in...

... The online models combine information from plant data (operation) and physical models (knowledge) into a **neural network**. Model predictive control and continuous optimization are nonlinear, important in this process where gains can...

...model for immediate comparison of actual vs. planned performance.

As a stand-alone production accounting **tool**, Aspen Advisor provides much more capability than traditional in-house custom spreadsheets. The system combines...constraint-handling capabilities.

The Aspen RT-Opt rigorous modeling and optimization system provides a superior tool for real-time process simulation. Aspen RT-Opt determines in real-time the optimum operating...number of plant areas are subject to performance degradation, online performance monitoring is an important tool to maximize plant utilization. The key areas for performance monitoring are:

- * Furnace thermal efficiency
- * Catalyst...

 $\dots$  problem from hydrocarbons being carried over in the rich amine or sour water streams.

- * Monitor equipment such as pumps, compressors, condensers and exchangers for changes that could indicate potential problems, which would affect the process or could lead to equipment failure (e.g., pump cavitation, exchanger fouling, exchanger cross leaks, finfan failure, etc.).
  - * Identify potential...

...Park, California, and Katy, Texas.

Supply chain technology (refining)

Application. Aspen Technology's supply chain tools , together with the other Enterprise Optimization technologies, enable dynamic and holistic management of a demand...

- ...moving the supply chain management on to a demand-driven basis AspenTech's supply chain tools, together with the other Enterprise Optimization technologies, enable dynamic and holistic management of a demand...the enterprise to manage and optimise each facet of the business enables integrating and re-engineering business processes to permit accurate implementation and realization of the optimal supply chain plans, thereby...
- ...applications. Linear and nonlinear computational tasks include at least the following: alarm or limit checking; equipment performance (efficiency) calculations; capacity calculation for boilers; steam surplus calculation; electric surplus calculation; peak demand...
- ...buy" advisory calculations; fuel selection; report generation; alarm logging and display; and display formatting. Process, equipment and environmental constraints are honored continuously and may be weighted in importance or value according...
- ...heat and electrical power.

Factors such as ambient air conditions, electricity prices, process demands and **equipment** degradation can greatly affect the optimal operating points.

Tightening environmental limits on N(O.sub...

...optimum, and a case with "day zero" or clean parameters to evaluate the cost of equipment degradation.

The plant optimization application uses MDC Technology's advanced optimization system, incorporating a variety...

- ... operator. Total plant optimization is achieved by employing a tiered system:
- * Continuous optimization allows current **equipment** to operate at minimum cost for a given demand and within the emissions and **equipment** constraints.
- * Configuration optimization performs the optimal **equipment** selection with current **equipment** performance and penalties to prevent excessive **equipment** starting and stopping.
- * Look-ahead optimization predicts future plant operation based on profiled demands/prices...
- ...demand for new process plants.

Strategy. Visual MESA is an online, graphical steam system management tool that can solve the problems described above.

- * Visual MESA protects your steam system by monitoring...
- ...minimum operating cost using optimization. Visual MESA also optimizes starting and stopping of individual spared **equipment**, like turning on a motor and turning off a turbine. Optimization is customized to your...
- ...can be achieved simultaneously by OSA-based reactors yield and fractionation system operations improvement.

These artificial intelligence expert system-based integrated system rigorous models have been developed out of the entire operating...

...and debottlenecking

- * Process startup, emergency shutdown and troubleshooting simulations, and energy minimization
  - * Process plant energy equipment preventive safety and maintenance
  - * Maximum product recovery at minimum energy and waste pollution
  - * Process utility...

...50% energy saved or millions of U. S. dollars saved in energy costs annually without **equipment** hardware investment

Commercial installations. Two refinery, three olefins, two caprolactam, two styrene, two polyolefin, 12...Application. Steam System Program (SSP) is a general steam balance package that allows the utility engineer to easily add, delete and modify equipment models as needed to accurately reflect and optimize current utility system operations.

Strategy. SSP provides convenient modeling and optimization of plantwide utility systems. Preprogrammed **equipment** modules are included, such as boilers, gas turbines, steam turbines, cogen units, electric drives, generators...

...steam systems. Thermodynamic properties of steam and water are automatically calculated for use in the **equipment** models. Plant operating costs are computed based on electrical generation and consumption and the required...

...be used offline in a case study manner to study potential operating modes or future **equipment** changes.

Economics. Typical savings range from \$50\$ to \$400/hr depending on plant size and...

...doing. The purposes of a steam system Expert System Process Advisor are to provide the **tools** and advice for overall steam system optimization. Gensym's G2 is used to store the...setpoint ramping functions, help to reduce process upsets as well as relieve operator excessive attention. Engineering calculations improve process monitoring and safety, e.g., calculating and displaying reactor explosive limits and...

19990901

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Set
        Items
                Description
                AU=(DARKEN C? OR DARKEN, C? OR LOECHER M? OR LOECHER, M? OR
S1
          398
              LOCHER M? OR LOCHER, M?)
                CHRIS? (2N) DARKEN OR MARK? (2N) (LOCHER OR LOECHER)
S2
S3
       678322
                NEURAL()NETWORK? OR VIRTUAL()AGE OR RADIAL()BIAS OR RBF OR
             BIAS() FUNCTION? OR WEAR?() INCREMENT? OR ARTIFICIAL() INTELLIG?
             OR AI OR MACHINE?() LEARN?
                S1:S2 AND S3
S4
           23
S5
                RD (unique items)
           11
? show files
File
       2:INSPEC 1969-2005/Jul W4
         (c) 2005 Institution of Electrical Engineers
File
       6:NTIS 1964-2005/Jul W4
         (c) 2005 NTIS, Intl Cpyrght All Rights Res
       8:Ei Compendex(R) 1970-2005/Jul W4
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         (c) 2005 Elsevier Eng. Info. Inc.
      34:SciSearch(R) Cited Ref Sci 1990-2005/Jul W4
File
         (c) 2005 Inst for Sci Info
File
      35:Dissertation Abs Online 1861-2005/Jul
         (c) 2005 ProQuest Info&Learning
File
      62:SPIN(R) 1975-2005/May W3
         (c) 2005 American Institute of Physics
      65:Inside Conferences 1993-2005/Jul W5
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         (c) 2005 BLDSC all rts. reserv.
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      99:Wilson Appl. Sci & Tech Abs 1983-2005/Jul
File
         (c) 2005 The HW Wilson Co.
File 111:TGG Natl.Newspaper Index(SM) 1979-2005/Aug 02
         (c) 2005 The Gale Group.
File 144:Pascal 1973-2005/Jul W4
         (c) 2005 INIST/CNRS
File 239:Mathsci 1940-2005/Sep
         (c) 2005 American Mathematical Society
File 256:TecInfoSource 82-2005/Jun
         (c) 2005 Info. Sources Inc
File 434:SciSearch(R) Cited Ref Sci 1974-1989/Dec
         (c) 1998 Inst for Sci Info
```

(Item 1 from file: 2) 5/3,K/1 DIALOG(R)File 2:INSPEC (c) 2005 Institution of Electrical Engineers. All rts. reserv. INSPEC Abstract Number: B9706-8310E-024, C9706-5290-010 Title: A neural network autoassociator for induction motor failure prediction Author(s): Petsche, T.; Marcantonio, A.; Darken, C.; Hanson, S.J.; Kuhn, G.M.; Santoso, I. Author Affiliation: Siemens Corp. Res. Inc., Princeton, NJ, USA Advances in Neural Information Processing 8. Conference Title: Proceedings of the 1995 Conference p.924-30 Editor(s): Touretzky, D.S.; Mozer, M.C.; Hasselmo, M.E. Publisher: MIT Press, Cambridge, MA, USA Publication Date: 1996 Country of Publication: USA xix+1098 pp. . ISBN: 0 262 20107 0 Material Identity Number: XX96-02161 Conference Title: Proceedings of 1995 Conference on Advances in Neural Information Processing Systems 8 (ISBN 0 262 20107 0) Conference Date: 27-30 Nov. 1995 Conference Location: Denver, CO, USA Language: English Subfile: B C Copyright 1997, IEE Title: A neural network autoassociator for induction motor failure prediction Author(s): Petsche, T.; Marcantonio, A.; Darken, C.; Hanson, S.J.; Kuhn, G.M.; Santoso, I. Abstract: We present results on the use of neural network based autoassociators which act as novelty or anomaly detectors to detect imminent motor failures. The... Identifiers: neural network autoassociator... (Item 2 from file: 2) 5/3, K/2DIALOG(R) File 2:INSPEC (c) 2005 Institution of Electrical Engineers. All rts. reserv. 5364560 INSPEC Abstract Number: C9610-6170-008 Title: VR+ AI =intelligent environments: a synergistic approach to engineering design support Author(s): Darken, R.P.; Darken, C.J. Author Affiliation: Naval Res. Lab., Washington, DC, USA Journal: Proceedings of the SPIE - The International Society for Optical Engineering Conference Title: Proc. SPIE - Int. Soc. Opt. Eng. (USA) vol.2653 p.292-300 Publisher: SPIE-Int. Soc. Opt. Eng, Publication Date: 1996 Country of Publication: USA CODEN: PSISDG ISSN: 0277-786X SICI: 0277-786X(1996)2653L.292:VESA;1-9 Material Identity Number: C574-96121 U.S. Copyright Clearance Center Code: 0 8194 2027 1/96/\$6.00 Conference Title: Stereoscopic Displays and Virtual Reality Systems III Conference Sponsor: SPIE; Soc. Imaging Sci. & Technol Conference Date: 30 Jan.-2 Feb. 1996 Conference Location: San Jose, CA, USA Language: English Subfile: C Copyright 1996, IEE Title: VR+ AI =intelligent environments: a synergistic approach to engineering design support

Author(s): Darken, R.P.; Darken, C.J.

Abstract: Both VR and AI have the potential to be huge productivity enhancers for engineering design, and in complementary ways. VR is a visualization tool allowing users to comprehend complex spatial relationships among many variables. AI is an exploration tool capable of finding and exploiting relationships which are very difficult to...

...effective with few variables. Using engineering design as an example, we explore how VR and AI might be integrated to yield productivity gains greater than either might alone. The typical engineering...

...through design, simulation, and analysis phases. VR is used to visualize a design simulation while AI is used to assist in the subsequent redesign. The role of the VR subsystem is...

... engineer can describe how the design is to be improved in the next iteration. The AI subsystem then acts on the redesign descriptions to suggest design modifications. These suggestions are integrated...

... the user, and the redesigned system is simulated again. The synthesis between the VR and AI subsystems results in a closed loop design system capable of effectively undertaking complex engineering design...
...Identifiers: AI;

5/3,K/3 (Item 3 from file: 2)

DIALOG(R) File 2: INSPEC

(c) 2005 Institution of Electrical Engineers. All rts. reserv.

5009469 INSPEC Abstract Number: C9509-1230D-067

Title: Rate of approximation results motivated by robust neural network learning

Author(s): Darken, C.; Donahue, M.; Gurvits, L.; Sontag, E.

Author Affiliation: Learning Syst. Dept., Siemens Corp. Res. Inc., Princeton, NJ, USA

Conference Title: Proceeding of the Sixth Annual ACM Conference on Computational Learning Theory p.303-9

Publisher: ACM, New York, NY, USA

Publication Date: 1993 Country of Publication: USA vi+463 pp.

ISBN: 0 89791 611 5

U.S. Copyright Clearance Center Code: 0 89791 611 5/93/0007/0303\$1.50 Conference Title: Proceedings of COLT '93. 6th ACM Conference on Computational Learning Theory

Conference Sponsor: ACM

Conference Date: 26-28 July 1993 Conference Location: Santa Cruz, CA, USA

Language: English

Subfile: C

Copyright 1995, IEE

Title: Rate of approximation results motivated by robust neural network learning

Author(s): Darken, C.; Donahue, M.; Gurvits, L.; Sontag, E.

Abstract: The set of functions which a single hidden-layer neural network can approximate is increasingly well understood, yet our knowledge of how the approximation error depends...

...Identifiers: robust neural network learning...

...single hidden-layer neural network

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5/3,K/4
             (Item 4 from file: 2)
DIALOG(R)File 2:INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
          INSPEC Abstract Number: C9401-1230-058
 Title: Learning rate schedules for faster stochastic gradient search
  Author(s): Darken, C.; Chang, J.; Moody, J.
 Author Affiliation: Yale Univ., New Haven, CT, USA
Conference Title: Neural Networks for Signal Processing II. Proceedings
of the IEEE-SP Workshop (Cat. No.92TH0430-9)
                                               p.3-12
  Publisher: IEEE, New York, NY, USA
  Publication Date: 1992 Country of Publication: USA
                                                         618 pp.
  ISBN: 0 7803 0557 4
 .U.S. Copyright Clearance Center Code: 0 7803 0557 4/92/$3.00
  Conference Sponsor: IEEE; Danish Comput. Neural Network Center; Tech.
Univ. Denmark
  Conference Date: 31 Aug.-2 Sept. 1992 Conference Location: Helsingoer,
Denmark
  Language: English
  Subfile: C
  Author(s): Darken, C.; Chang, J.; Moody, J.
  ...Descriptors: learning ( artificial intelligence );
 5/3,K/5
             (Item 5 from file: 2)
DIALOG(R)File
              2:INSPEC
(c) 2005 Institution of Electrical Engineers. All rts. reserv.
03960443
         INSPEC Abstract Number: A91118620
            Neural
                         network
                                         conventional
                                     and
                                                          classifiers
fluorescence-guided laser angioplasty
  Author(s): Gindi, G.R.; Darken, C.J.; O'Brien, K.M.; Stetz, M.L.;
Deckelbaum, L.I.
  Author Affiliation: Yale Univ., New Haven, CT, USA
  Journal: IEEE Transactions on Biomedical Engineering
                                                          vol.38, no.3
p.246-52
  Publication Date: March 1991 Country of Publication: USA
  CODEN: IEBEAX ISSN: 0018-9294
  U.S. Copyright Clearance Center Code: 0018-9294/91/0300-0246$01.00
  Language: English
  Subfile: A
   Title:
            Neural
                          network
                                     and
                                         conventional classifiers
fluorescence-guided laser angioplasty
  Author(s): Gindi, G.R.;
                             Darken, C.J.; O'Brien, K.M.; Stetz, M.L.;
Deckelbaum, L.I.
  ... Identifiers: neural network classifiers
5/3, K/6
            (Item 1 from file: 8)
DIALOG(R)File 8:Ei Compendex(R)
(c) 2005 Elsevier Eng. Info. Inc. All rts. reserv.
04512361
          E.I. No: EIP96063217501
   Title: VR plus
                     ΑI
                          equals intelligent environments: a synergistic
approach to engineering design support
  Author: Darken, Rudolph P.; Darken, Christian J.
  Corporate Source: Naval Research Lab., Washington, DC, USA
  Conference Title: Stereoscopic Displays and Virtual Reality Systems III
```

Conference Location: San Jose, CA, USA Conference Date: 19960130-19960201

E.I. Conference No.: 22545

Source: Proceedings of SPIE - The International Society for Optical Engineering v 2653 1996. Society of Photo-Optical Instrumentation Engineers, Bellingham, WA, USA. p 292-300

Publication Year: 1996

CODEN: PSISDG ISSN: 0277-786X ISBN: 0-8194-2027-1

Language: English

Title: VR plus AI equals intelligent environments: a synergistic approach to engineering design support

Author: Darken, Rudolph P.; Darken, Christian J.

Abstract: Both VR and AI have the potential to be huge productivity enhancers for engineering design, and in complementary ways. VR is a visualization tool allowing users to comprehend complex spatial relationships among many variables. AI is an exploration tool capable of finding and exploiting relationships which are very difficult to...

- ...effective with few variables. Using engineering design as an example, we explore how VR and AI might be integrated to yield productivity gains greater than either might alone. The typical engineering...
- ...through design, simulation, and analysis phases. VR is used to visualize a design simulation while AI is used to assist in the subsequent redesign. The role of the VR subsystem is...
- ...engineer can describe how the design is to be improved in the next iteration. The AI subsystem then acts on the redesign descriptions to suggest design modifications. These suggestions are integrated...
- ...the user, and the redesigned system is simulated again. The synthesis between the VR and AI subsystems results in a closed loop design system capable of effectively undertaking complex engineering design...

Descriptors: *Virtual reality; Artificial intelligence; Computer aided design; Visualization; Production control; Computer simulation; Data processing; Diagnosis

5/3,K/7 (Item 1 from file: 34)
DIALOG(R)File 34:SciSearch(R) Cited Ref Sci
(c) 2005 Inst for Sci Info. All rts. reserv.

05684162 Genuine Article#: WQ220 No. References: 28

Title: Rates of convex approximation in non-Hilbert spaces

Author(s): Donahue MJ (REPRINT); Gurvits L; Darken C; Sontag E

Corporate Source: UNIV MINNESOTA, INST MATH & APPLICAT/MINNEAPOLIS//MN/55455
 (REPRINT); SIEMENS CORP RES INC, LEARNING SYST DEPT/PRINCETON//NJ/08540;

NEC RES INST,/PRINCETON//NJ/08540; RUTGERS STATE UNIV, DEPT MATH/NEW

BRUNSWICK//NJ/08903

Journal: CONSTRUCTIVE APPROXIMATION, 1997, V13, N2, P187-220

ISSN: 0176-4276 Publication date: 19970000

Publisher: SPRINGER VERLAG, 175 FIFTH AVE, NEW YORK, NY 10010 Language: English Document Type: ARTICLE (ABSTRACT AVAILABLE)

Author(s): Donahue MJ (REPRINT); Gurvits L; Darken C; Sontag E ...Abstract: p = 2.

One motivation for the questions studied here arises from the area of ''artificial neural networks ,'' where the problem can be stated

(Item 2 from file: 34) 5/3,K/8 DIALOG(R) File 34: SciSearch(R) Cited Ref Sci (c) 2005 Inst for Sci Info. All rts. reserv. 03177375 Genuine Article#: NK409 No. References: 106 Title: NEURAL NETWORKS AND RELATED METHODS FOR CLASSIFICATION -DISCUSSION Author(s): WHITTLE P; KAY J; HAND DJ; TARASSENKO L; BROWN PJ; TITTERINGTON DM; TAYLOR C; GILKS WR; CRITCHLEY F; MAYNE AJ; WAHBA G; LUTTRELL SP; BACZKOWSKI AJ; MARDIA KV; BREIMAN L; BUNTINE W; CHATFIELD C; DEVEAUX RD DARKEN CJ; UNGAR LH; GLENDINNING RH; HASTIE T; TIBSHIRANI R; MCLACHLAN GJ; MICHIE D; OWEN AB; WOLPERT DH; RIPLEY BD Corporate Source: UNIV CAMBRIDGE/CAMBRIDGE//ENGLAND/; UNIV EDINBURGH/EDINBURGH EH8 9YL/MIDLOTHIAN/SCOTLAND/; TURING INST/GLASGOW//SCOTLAND/; SANTA FE INST/SANTA FE//NM/00000; UNIV OXFORD, DEPT STAT/OXFORD OX1 3TG//ENGLAND/; PRINCETON UNIV/PRINCETON//NJ/08544; SIEMENS CORP/PRINCETON//NJ/00000; UNIV STIRLING/STIRLING FK9 4LA//SCOTLAND/; OPEN UNIV/MILTON KEYNES MK7 6AA/BUCKS/ENGLAND/; UNIV TORONTO/TORONTO M5S 1A1/ONTARIO/CANADA/; UNIV QUEENSLAND/ST LUCIA/QLD 4067/AUSTRALIA/; UNIV LIVERPOOL/LIVERPOOL L69 3BX//ENGLAND/; UNIV GLASGOW/GLASGOW G12 8QQ//SCOTLAND/; UNIV LEEDS/LEEDS LS2 9JT/W YORKSHIRE/ENGLAND/; STANFORD UNIV/STANFORD//CA/94305; MRC, BIOSTAT UNIT/CAMBRIDGE//ENGLAND/; UNIV BIRMINGHAM/BIRMINGHAM B15 2TT/W MIDLANDS/ENGLAND/; UNIV WISCONSIN/MADISON//WI/53706; UNIV CALIF BERKELEY/BERKELEY//CA/94720; UNIV PENN/PHILADELPHIA//PA/19104; AT&T BELL LABS/MURRAY HILL//NJ/07974; DEF RES AGCY/MALVERN//PA/00000; RES INST ADV COMP SCI/MOFFETT FIELD//CA/00000; UNIV BATH/BATH BA2 7AY/AVON/ENGLAND/ Journal: JOURNAL OF THE ROYAL STATISTICAL SOCIETY SERIES B-METHODOLOGICAL, 1994, V56, N3, P437-456 · ISSN: 0035-9246 Language: ENGLISH Document Type: DISCUSSION Title: NEURAL NETWORKS AND RELATED METHODS FOR CLASSIFICATION -DISCUSSION ... Author(s): G; LUTTRELL SP; BACZKOWSKI AJ; MARDIA KV; BREIMAN L; BUNTINE W; CHATFIELD C; DEVEAUX RD; DARKEN CJ; UNGAR LH; GLENDINNING RH; HASTIE T; TIBSHIRANI R; MCLACHLAN GJ; MICHIE D; OWEN AB... Research Fronts: 92-0349 001 (NEURAL NETWORK BASED IMAGE COMPRESSION SYSTEM; VECTOR QUANTIZER DESIGN; ARITHMETIC CODING) 92-1210 001 (NUREG-1150 PROBABILISTIC... ...IN IMAGES; NONLINEAR DIFFUSION; TEXTURE CLASSIFICATION USING QMF

5/3,K/9 (Item 1 from file: 65)
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BANK-BASED SUBBAND DECOMPOSITION)

COMPONENTS OF NATURAL IMAGES)

92-7198 001 ( **NEURAL** 

02482824 INSIDE CONFERENCE ITEM ID: CN025919646

Why Experimentation can be better than "Perfect Guidance"

Scheffer, T.; Greiner, R.; Darken, C.

CONFERENCE: Machine learning-International conference; 14th

MACHINE LEARNING -INTERNATIONAL WORKSHOP THEN CONFERENCE-, 1997; CONF 14

NETWORKS ; LEARNING ALGORITHM; PRINCIPAL

P: 331-339

Morgan Kaufmann, 1997

ISSN: 1049-1910 ISBN: 1558604863

LANGUAGE: English DOCUMENT TYPE: Conference Papers

CONFERENCE EDITOR(S): Fisher, D. H. CONFERENCE LOCATION: Nashville, TN

CONFERENCE DATE: Jul 1997 (199707) (199707)

NOTE:

Described as proceedings. Also known as ICML'97

Scheffer, T.; Greiner, R.; Darken, C. DESCRIPTORS: machine learning; ICML

5/3,K/10 (Item 1 from file: 144)

DIALOG(R) File 144: Pascal

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12155601 PASCAL No.: 95-0312191

Neural networks and related methods for classification. Discussion.

Reply

RIPLEY B D; WHITTLE P comment; KAY J comment; HAND D J comment; TARASSENKO L comment; BROWN P J comment; TITTERINGTON D M comment; TAYLOR C comment; GILKS W R comment; CRITCHEY F comment; MAYNE A J comment; WAHBA G comment; LUTTRELL S P comment; BACZKOWSKI A J comment; MARDIA K V comment; BREIMAN L comment; BUNTINE W comment; CHATFIELD C comment; DE VEAUX R D comment; DARKEN C J comment; UNGAR L H comment; GLENDINNING R H comment; HASTIE T comment; MCLACHLAN G J comment; MICHIE D comment; OWEN A B comment ; WOLPERT D H comment

Univ. Oxford, Oxford OX1 3TG, United Kingdom

Journal: Journal of the Royal Statistical Society. Series B.

Methodological, 1994, 56 (3) 409-456

Language: English

Neural networks and related methods for classification. Discussion. Reply

...comment; BREIMAN L comment; BUNTINE W comment; CHATFIELD C comment; DE VEAUX R D comment; DARKEN C J comment; UNGAR L H comment; GLENDINNING R H comment; HASTIE T comment; MCLACHLAN...

5/3,K/11 (Item 1 from file: 239)

DIALOG(R) File 239: Mathsci

(c) 2005 American Mathematical Society. All rts. reserv.

03626312 MR 2005a#82056

Noise sustained patterns.

Fluctuations and nonlinearities.

Loecher, Markus (Siemens Corporate Research, Inc., Princeton, New Jersey, 08540

Corporate Source Codes: 1-SMR

Publ: World Scientific Publishing Co., Inc., River Edge, NJ,

2003, xiv+238 pp. ISBN: 981-02-4676-5

Series: World Scientific Lecture Notes in Physics, 70.

Language: English

Subfile: MR (Mathematical Reviews) AMS

Abstract Length: LONG (26 lines)

Reviewer: Passot, Thierry (F-ODCA)

Loecher, Markus ...

...and information theoretic measures are reviewed in contexts directly applicable to biophysical processes such as **neural networks**. This book reports on many numerical simulations of model equations as well as experiments, many...

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Items
                 Description
Set
S1
            17
                 AU=(DARKEN C? OR DARKEN, C? OR LOECHER M? OR LOECHER, M? OR
               LOCHER M? OR LOCHER, M?)
S2
           106
                 CHRIS? (2N) DARKEN OR MARK? (2N) (LOCHER OR LOECHER)
S3
       242961
                 NEURAL()NETWORK? OR VIRTUAL()AGE OR RADIAL()BIAS OR RBF OR
              BIAS () FUNCTION? OR WEAR? () INCREMENT? OR ARTIFICIAL () INTELLIG?
              OR AI OR MACHINE? () LEARN?
S4
                 S1:S2 AND S3
File
        9:Business & Industry(R) Jul/1994-2005/Aug 02
          (c) 2005 The Gale Group
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      13:BAMP 2005/Jul W4
          (c) 2005 The Gale Group
      15:ABI/Inform(R) 1971-2005/Aug 03
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          (c) 2005 ProQuest Info&Learning
      16:Gale Group PROMT(R) 1990-2005/Aug 02
File
          (c) 2005 The Gale Group
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      20: Dialog Global Reporter 1997-2005/Aug 03
          (c) 2005 Dialog
File
      47: Gale Group Magazine DB(TM) 1959-2005/Aug 03
          (c) 2005 The Gale group
      75:TGG Management Contents(R) 86-2005/Jul W4
File
          (c) 2005 The Gale Group
File
      88:Gale Group Business A.R.T.S. 1976-2005/Aug 02
          (c) 2005 The Gale Group
      98:General Sci Abs/Full-Text 1984-2004/Dec
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          (c) 2005 The HW Wilson Co.
File 141: Readers Guide 1983-2004/Dec
          (c) 2005 The HW Wilson Co
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          (c) 2005 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
          (c) 1999 The Gale Group
File 275: Gale Group Computer DB(TM) 1983-2005/Aug 03
          (c) 2005 The Gale Group
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          (c) 2005 Reed Business Information Ltd.
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          (c) 1999 AAAS
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          (c) 2005 ProQuest
File 553: Wilson Bus. Abs. FullText 1982-2004/Dec
          (c) 2005 The HW Wilson Co
File 610: Business Wire 1999-2005/Aug 03
          (c) 2005 Business Wire.
File 613:PR Newswire 1999-2005/Aug 03
          (c) 2005 PR Newswire Association Inc
File 621:Gale Group New Prod. Annou. (R) 1985-2005/Aug 03
          (c) 2005 The Gale Group
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          (c) 2005 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2005/Aug 02
          (c) 2005 San Jose Mercury News
File 635:Business Dateline(R) 1985-2005/Aug 03
          (c) 2005 ProQuest Info&Learning
File 636: Gale Group Newsletter DB(TM) 1987-2005/Aug 02
          (c) 2005 The Gale Group
File 647:CMP Computer Fulltext 1988-2005/Jul W3
          (c) 2005 CMP Media, LLC
File 674: Computer News Fulltext 1989-2005/Jul W5
          (c) 2005 IDG Communications
File 696:DIALOG Telecom. Newsletters 1995-2005/Aug 02
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Efficient Reasoning. (in knowledge-based computers)

GREINER, RUSSELL; DARKEN, CHRISTIAN; SANTOSO, N. IWAN ACM Computing Surveys, 33, 1, 1

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# ... DARKEN, CHRISTIAN

the option of not expressing these assertions.

"Semantic Nets" and "Frame-based Systems" are alternative artificial intelligence representation formalisms. In hindsight, we can view much of the research in these areas as...HEDETNIEMI, S. M. 1998. Approximating MAPs for belief networks is NP-hard and other theorems. Artificial Intelligence 102, 21-38.

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